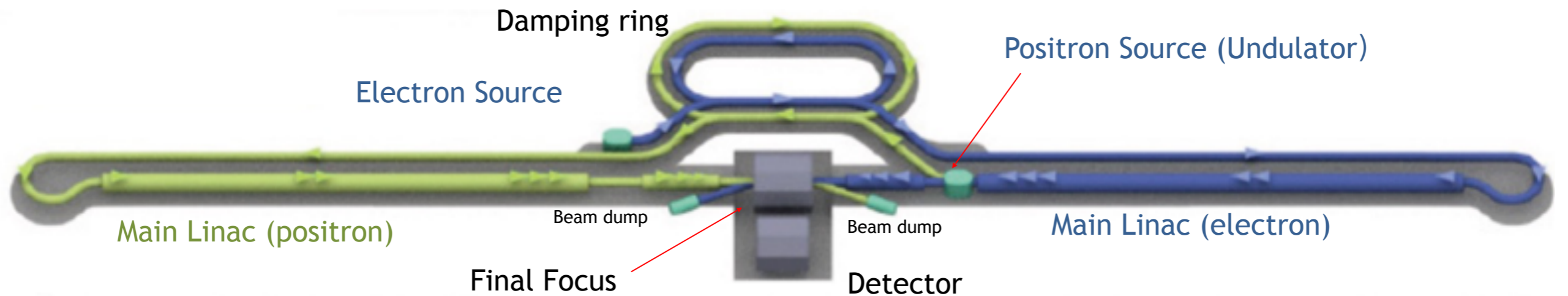


# Introduction to ILC Experiment



Junping Tian (U. Tokyo)

school for future e+e- colliders, Feb. 24-28, 2020 @ KEK

# plan

---

(i) Accelerator

Lecture 1

(ii) Detector

---

(iii) Physics analyses

Lecture 2

(iv) Higgs couplings

---

focus is introduction to principles & concepts  
find details and technicalities from references



(i)

# introduction to accelerators

theorists usually only need input

$$\sqrt{s} \quad L \left( \int L dt \right) \quad P$$

	$\sqrt{s}$	beam polarisation	$\int L dt$ for Higgs	R&D phase
<b>ILC</b>	0.1 - 1 TeV	e-: 80% e+: 30% (20%)	2000 fb <sup>-1</sup> @ 250 GeV 200 fb <sup>-1</sup> @ 350 GeV 4000 fb <sup>-1</sup> @ 500 GeV 8000 fb <sup>-1</sup> @ 1 TeV	TDR
<b>CLIC</b>	0.35 - 3 TeV	e-: (80%) e+: 0%	500 fb <sup>-1</sup> @ 380 GeV 1500 fb <sup>-1</sup> @ 1.4 TeV 2500 fb <sup>-1</sup> @ 3 TeV	CDR
<b>CEPC</b>	90 - 240 GeV	e-: 0% e+: 0%	5600 fb <sup>-1</sup> @ 240 GeV	CDR
<b>FCC-ee</b>	90 - 365 GeV	e-: 0% e+: 0%	5000 fb <sup>-1</sup> @ 240 GeV 1500 fb <sup>-1</sup> @ 365 GeV	CDR

(i)

## introduction to accelerators

what behind

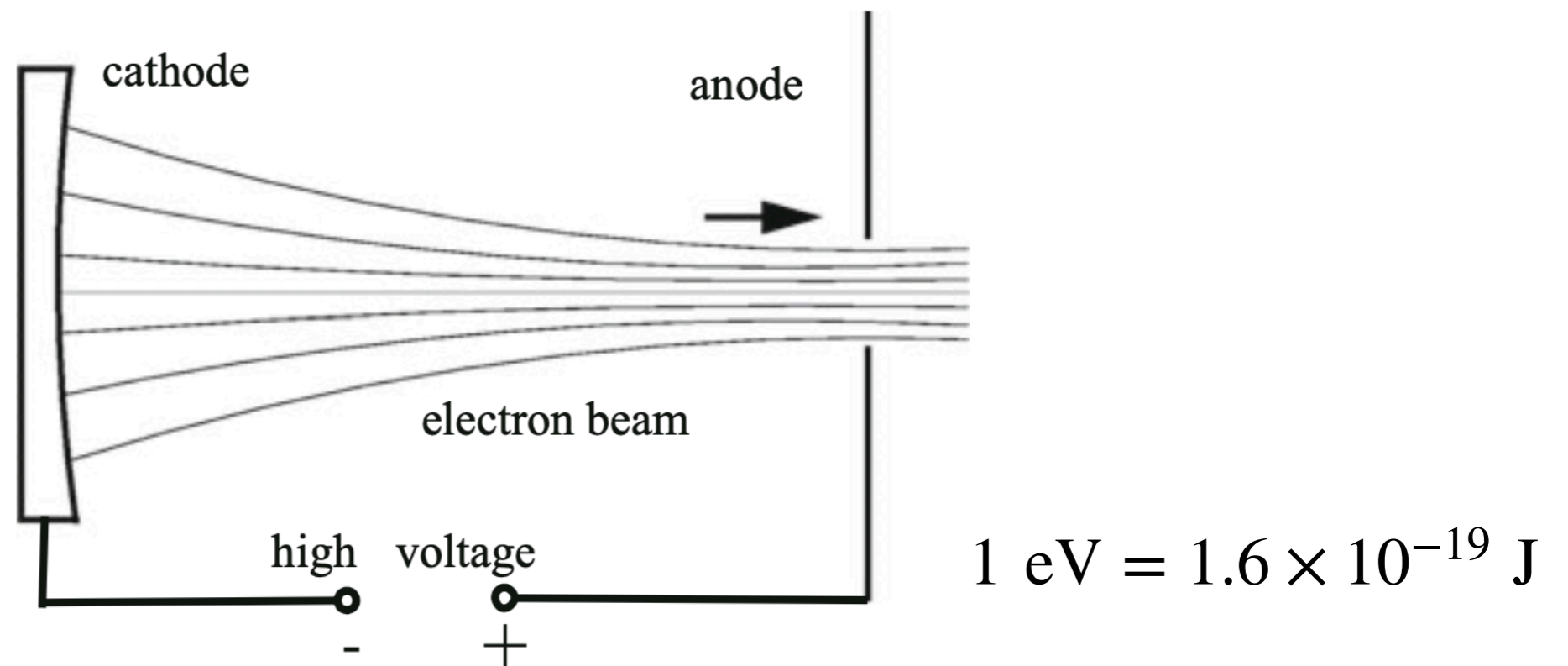
$$\sqrt{s} \quad L \left( \int L dt \right) \quad P$$

- (i.1) basic principles for acceleration
- (i.2) luminosity & a little beam dynamics
- (i.3) beam polarizations
- (i.4) ILC & its specifications

(i.1) basic principles of acceleration

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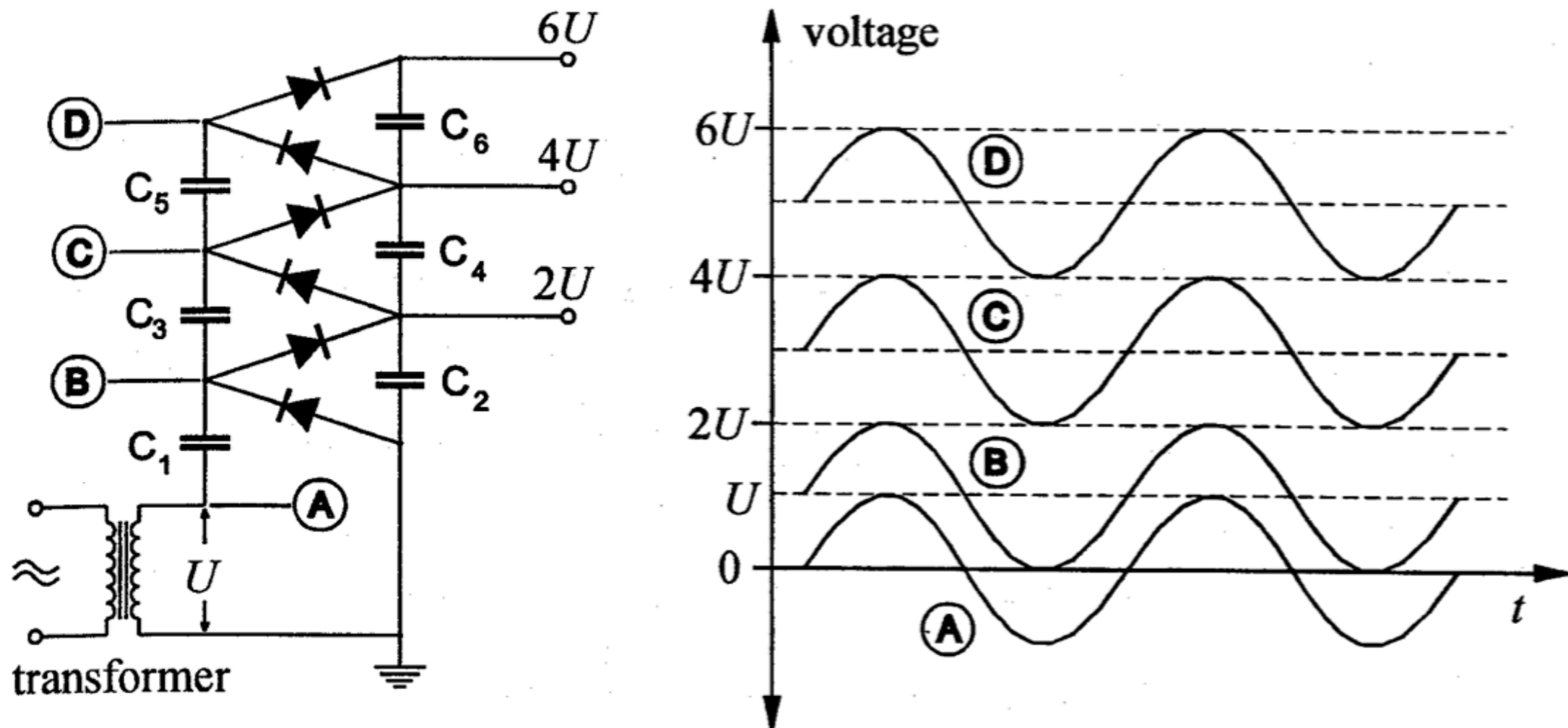
◦ **electrostatic accelerator**



early development: mainly about generating high voltage

(i.1) basic principles of acceleration

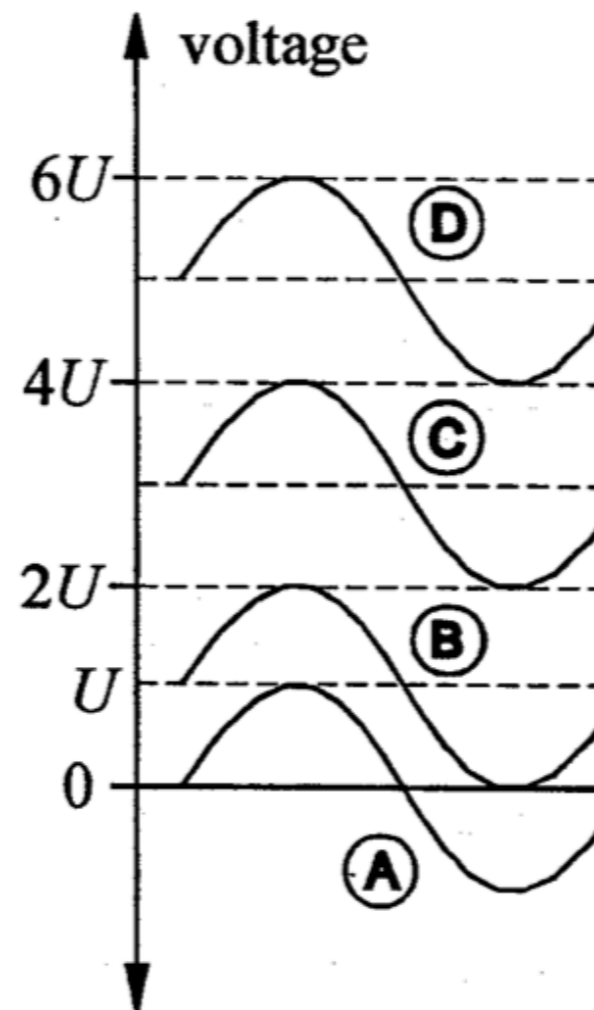
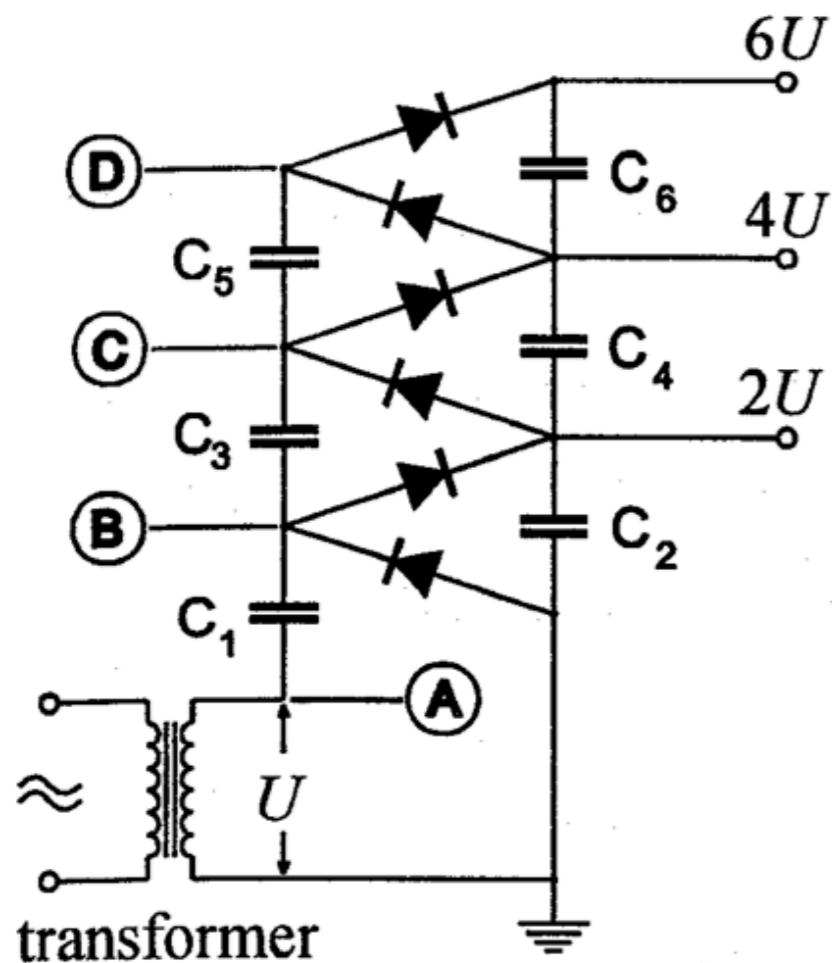
- Cockcroft-Walton cascade generator



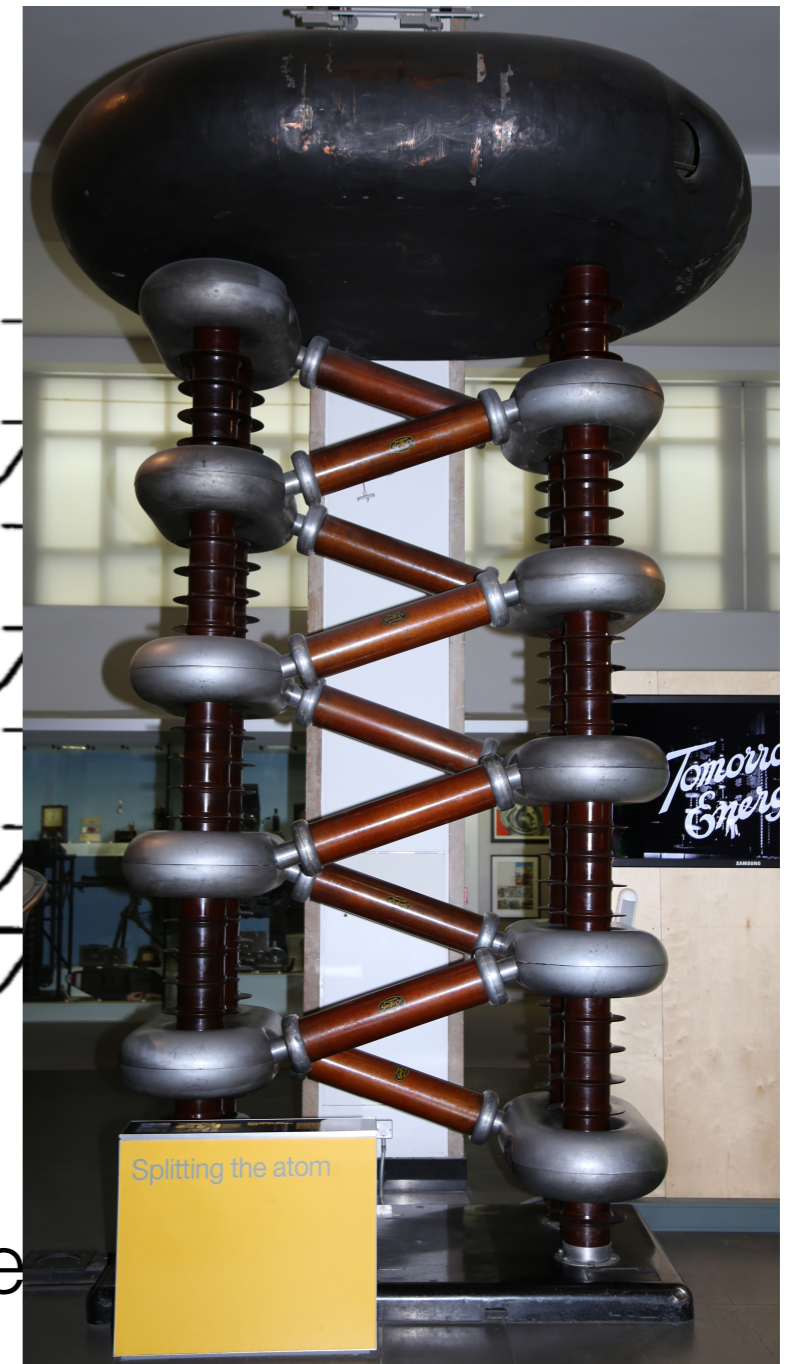
based on a system with multiple rectifiers  
reached  $\sim 0(1)$  MV

(i.1) basic principles of acceleration

- Cockcroft-Walton cascade generator



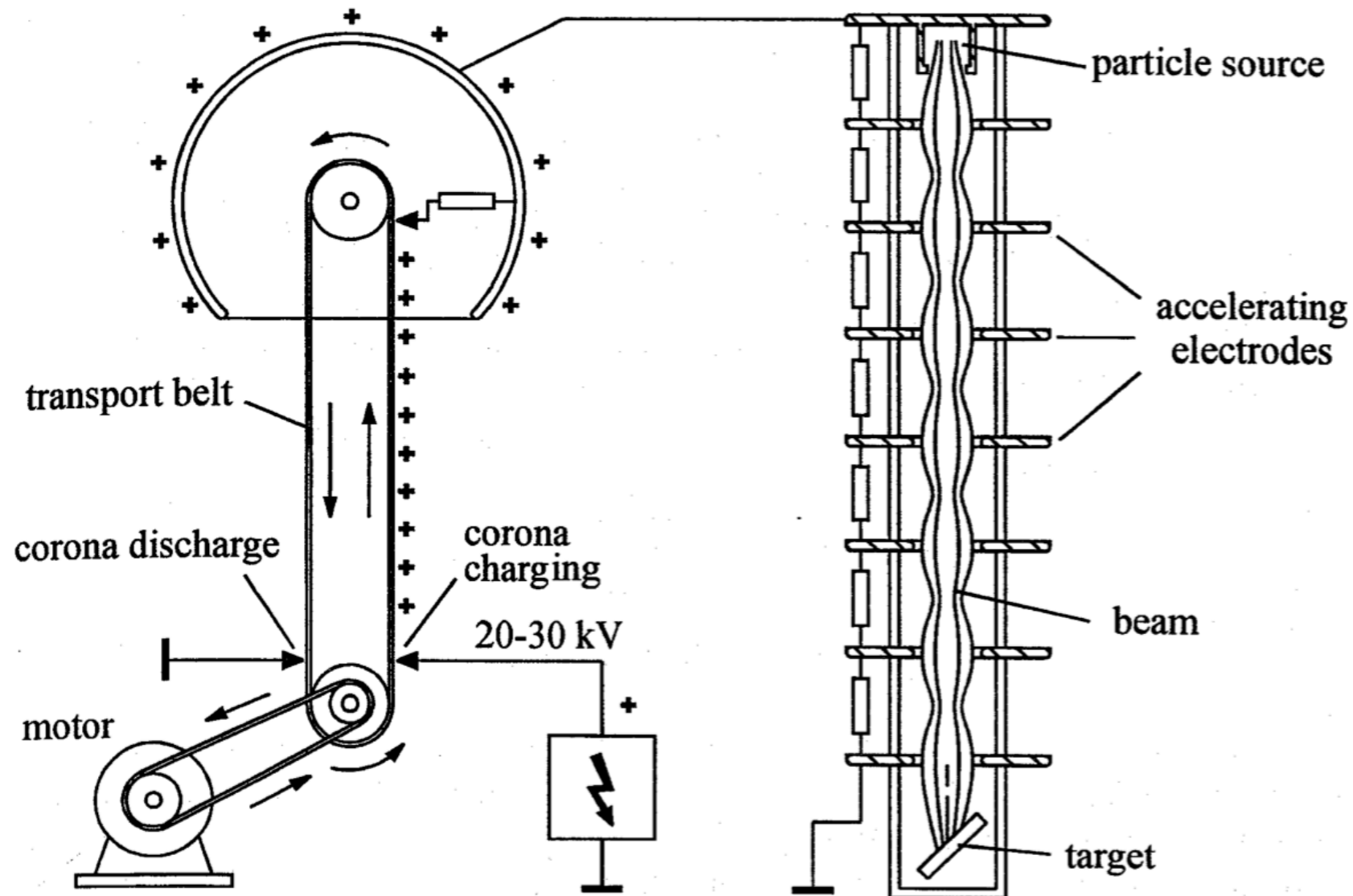
based on a system with multiple re  
reached  $\sim 0(1)$  MV



Nat'l Science Museum, London

## (i.1) basic principles of acceleration

- Van de Graaff generator



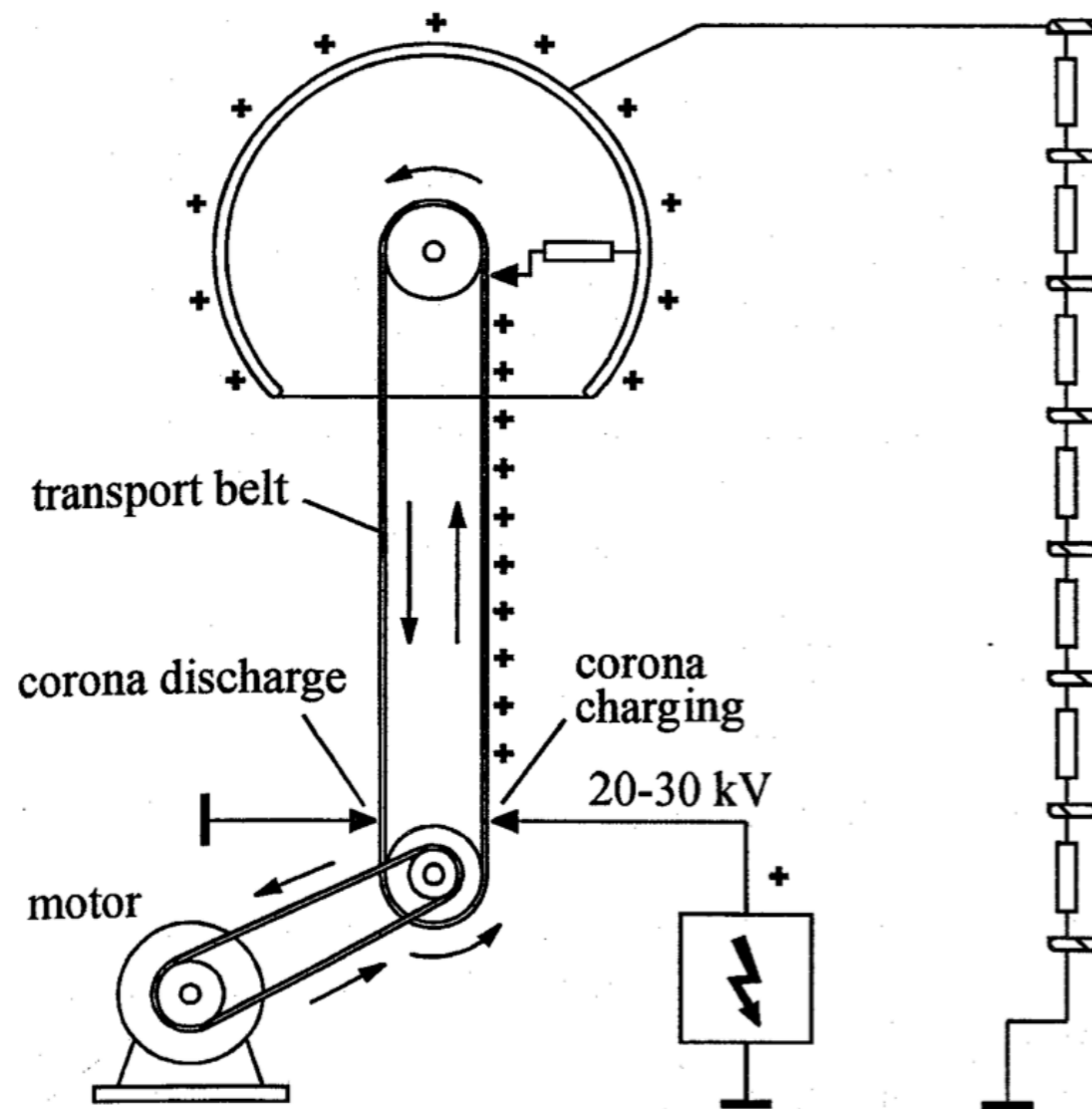
an isolating belt continuously transports charge to a conducting dome:  $O(1-1000)$  MeV



## (i.1) basic principles of acceleration

- Van de Graaff generator

Westinghouse Atom Smasher (1937) 5MV

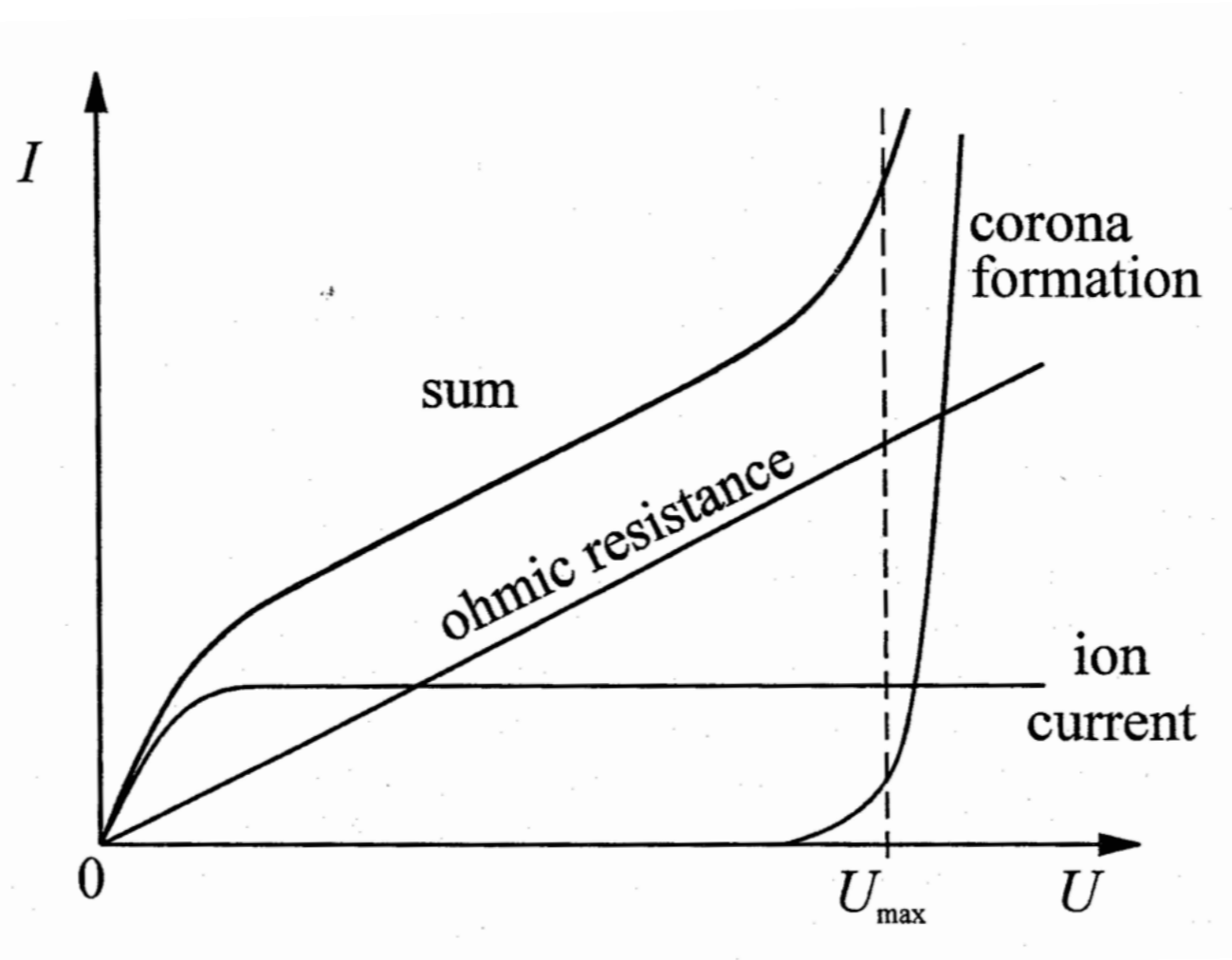


an isolating belt continuously transport  
to a conducting dome:  $O($



## (i.1) basic principles of acceleration

- high-voltage limitation



corona discharge: ionization avalanche near electrode



## (i.1) basic principles of acceleration

---

- high-voltage limitation

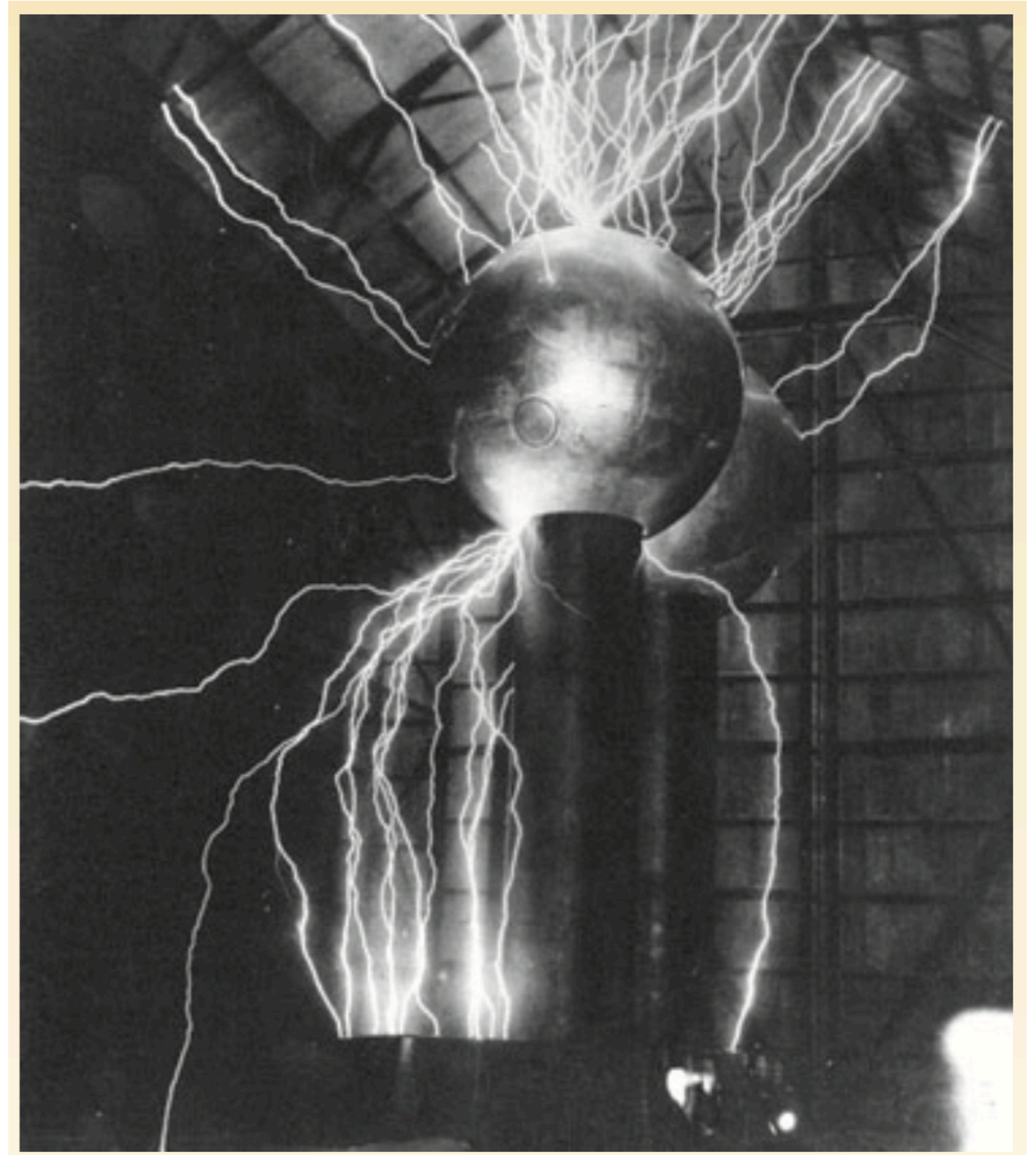




## (i.1) basic principles of acceleration

---

- high-voltage limitation





## (i.1) basic principles of acceleration

---

### ◦ **electrostatic accelerator**

played crucial role for  
the nuclear physics

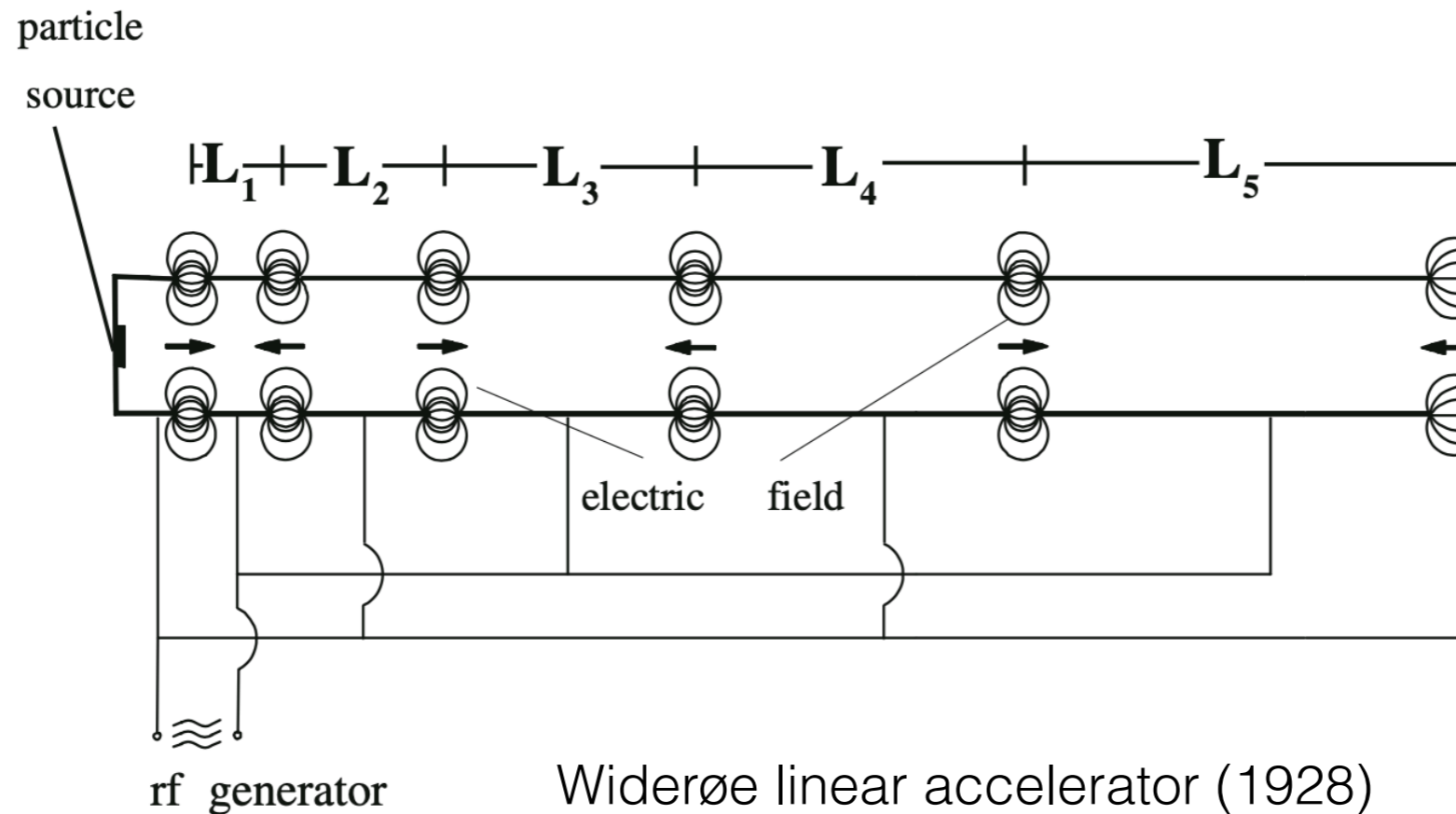
still used nowadays as  
pre-injector



@ CERN Exhibition

(i.1) basic principles of acceleration

◦ **Radio-Frequency (RF) accelerator**



crucial: synchronization of particle motion & RF field

$$L_i = v_i \frac{\tau_{\text{RF}}}{2} = \frac{v_i}{c} \frac{\lambda_{\text{RF}}}{2} = \beta_i \frac{\lambda_{\text{RF}}}{2}$$

for 10 MHz  
 $\lambda_{\text{RF}} = 30\text{m}$

## (i.1) basic principles of acceleration

---

- RF cavity

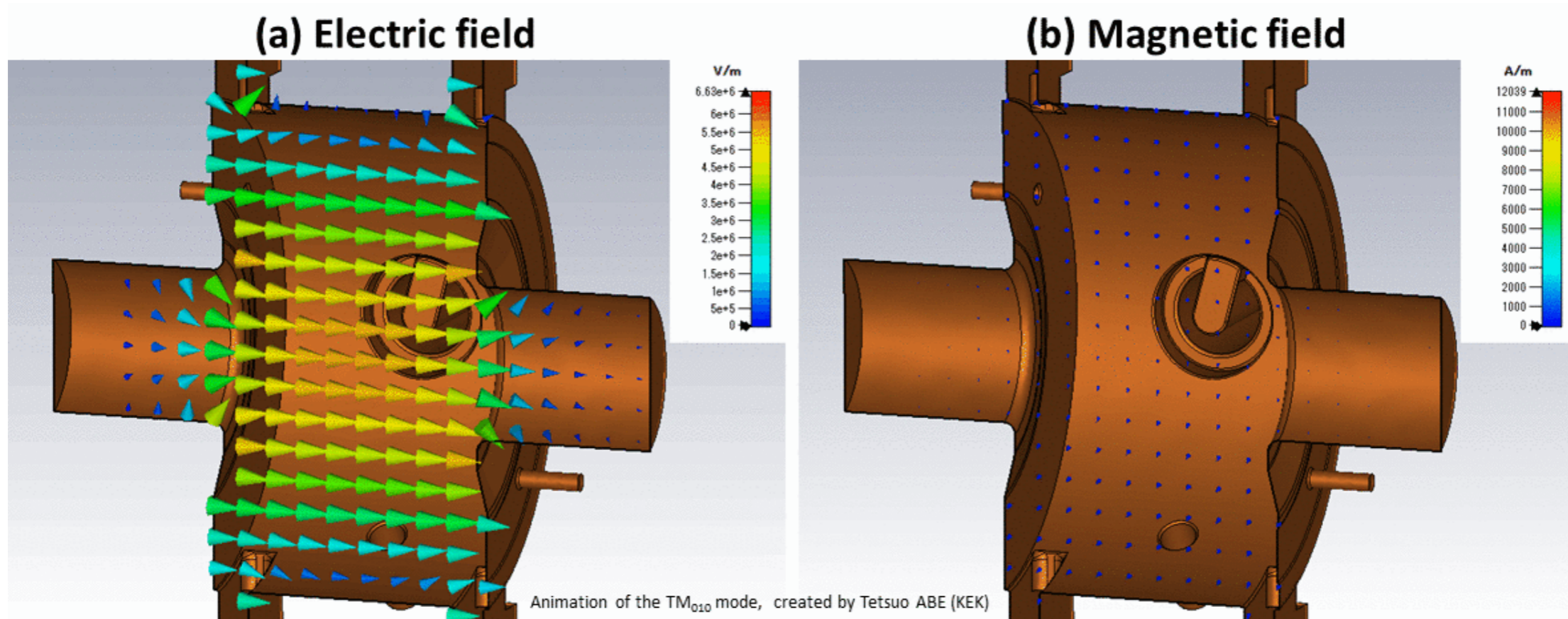
a metal resonator that can store electromagnetic fields



## (i.1) basic principles of acceleration

- RF cavity

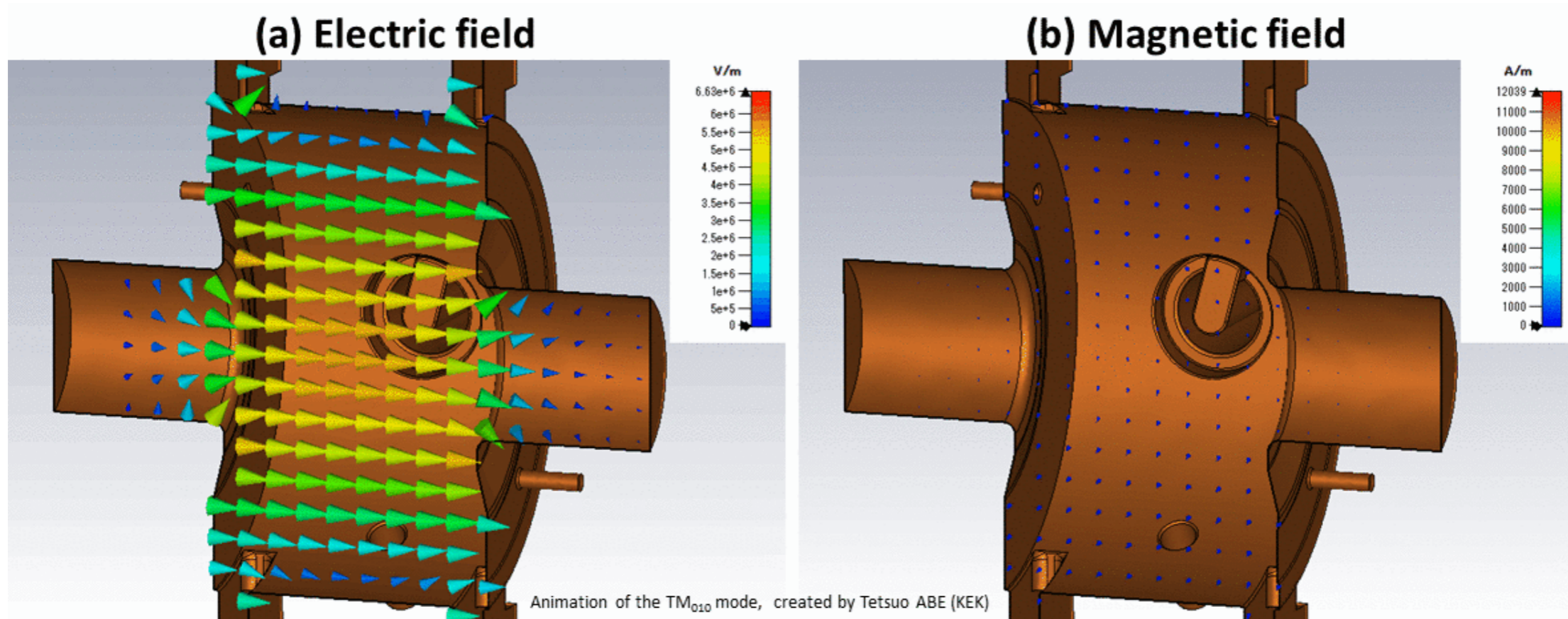
a metal resonator that can store electromagnetic fields



## (i.1) basic principles of acceleration

- RF cavity

a metal resonator that can store electromagnetic fields



most important performance:

Acceleration Gradient [MeV/m]

$Q_0$  (quality factor)  $\sim$  Peak Energy / Energy Loss

## (i.1) basic principles of acceleration

---

- Klystron: produce the RF for cavity

$$L_i = v_i \frac{\tau_{\text{RF}}}{2} = \frac{v_i}{c} \frac{\lambda_{\text{RF}}}{2} = \beta_i \frac{\lambda_{\text{RF}}}{2}$$

e.g. if for 10 MHz RF,  $\lambda_{\text{RF}} = 30\text{m}$

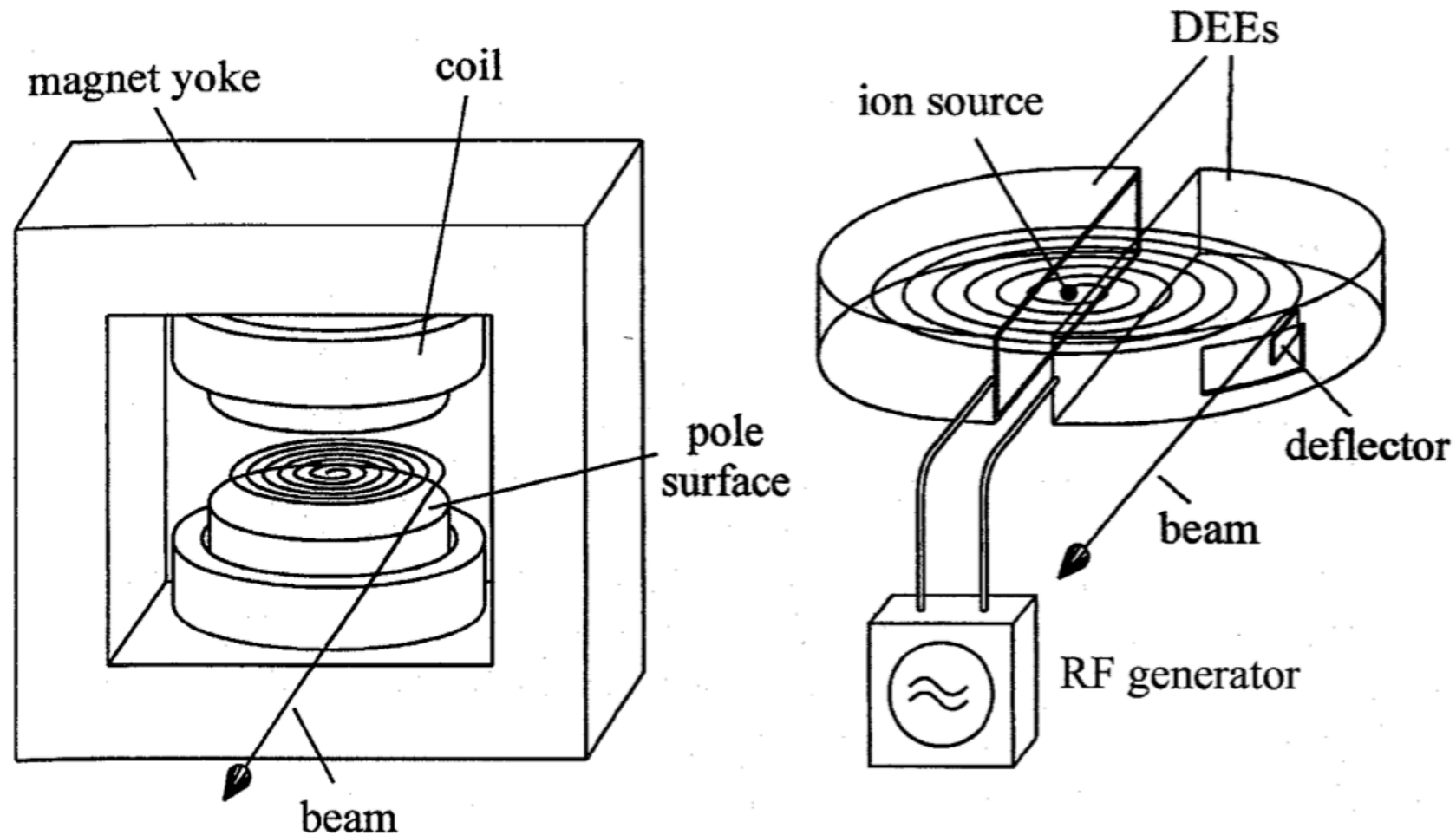
it is crucial to develop high frequency & high power Klystron

was highly developed during WW II for radar system



## (i.1) basic principles of acceleration

- Cyclotron



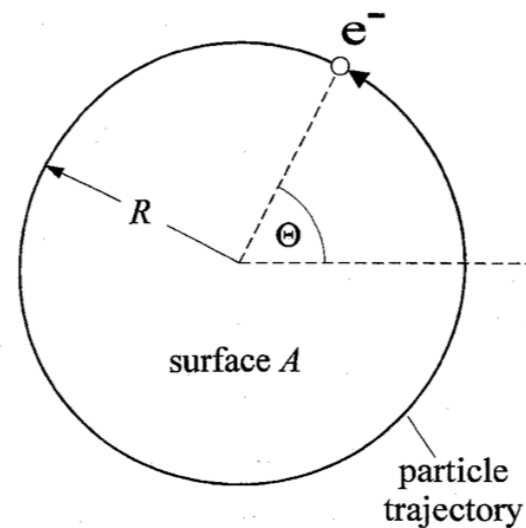
fixed frequency (for non-relativistic particle)

$$\omega = \frac{e}{m} B_z \quad \text{matched exactly by RF}$$

## (i.1) basic principles of acceleration

- Betatron

increasing B-field rapidly, keeping particle orbit fixed



law of induction -> no need any extra acceleration section

$$\oint \vec{E} \cdot d\vec{r} = - \iint \frac{d\vec{B}}{dt} \cdot d\vec{s}$$

Widerøe's betatron condition:  $|B(t)| = 1/2 \langle |B(t)| \rangle + |B_0|$

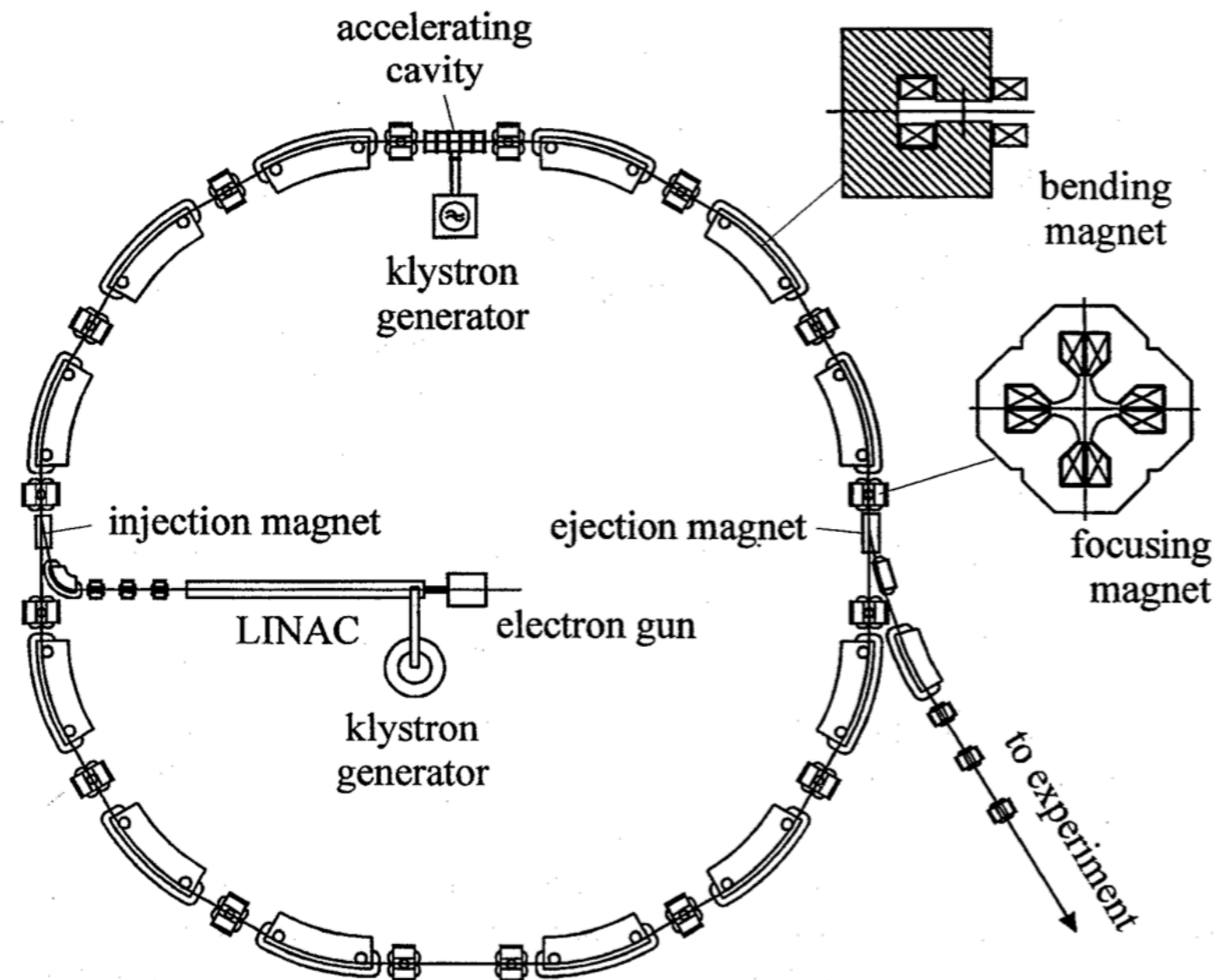


## (i.1) basic principles of acceleration

- synchrotron

fixed orbit; magnet only around orbit; RF acc. section;  
synchronizing magnetic field with energy

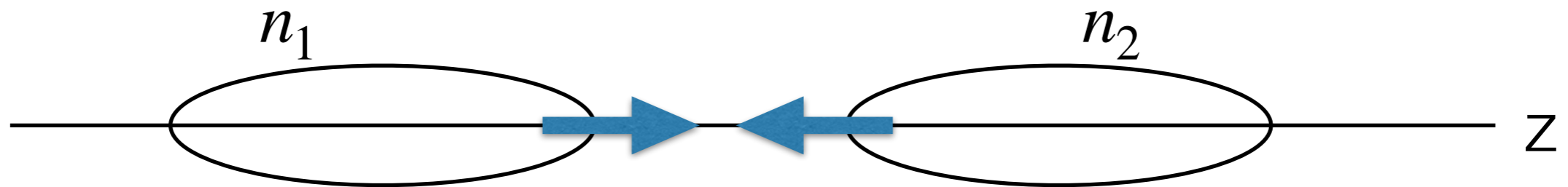
$$R = \frac{E}{ecB}$$



## (i.2) luminosity & beam dynamics

---

- Luminosity



$$L = f_{coll} \frac{n_1 n_2}{4\pi\sigma_x^* \sigma_y^*} F$$

$n_1, n_2$ : # particles in a bunch

$f_{coll}$ : average collision frequency

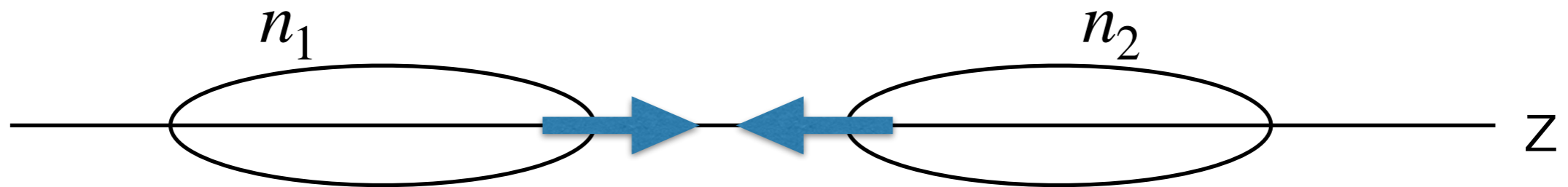
$F$ :  $\sim 1$ , geometric effect (crossing angle, etc)

$\sigma_x, \sigma_y$ : bunch size in the transverse direction  
most non-trivial part

## (i.2) luminosity & beam dynamics

---

- emittance & beta function



$$L = f_{coll} \frac{n_1 n_2}{4\pi\sigma_x^* \sigma_y^*} F$$

$$\sigma_x^2 = \epsilon \cdot \beta$$

↑                      ↙  
emittance            beta function

## (i.2) luminosity & beam dynamics

---

- beam dynamics

in an accelerator, by construction particles follow a nominal trajectory (orbit)

but particles in a beam will always have certain angular divergence, if not steered, after a long travel will hit the accelerator wall

beam steering is necessary

Lorentz force:

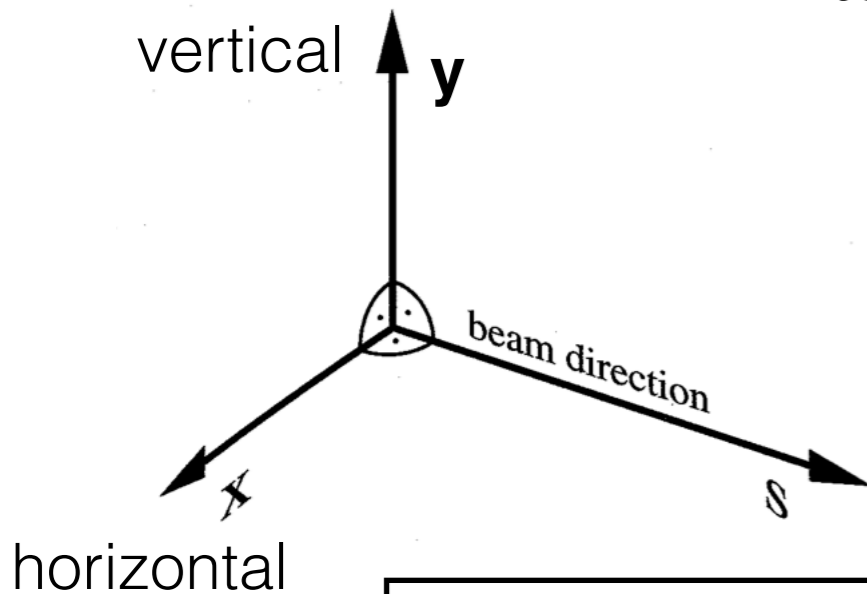
$$\mathbf{F} = e(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

$v=c$ , for  $B=1\text{T}$ ,  $E$  would be  $300\text{ MV/m}$  to compete  
beam steering is almost always done by magnets

## (i.2) luminosity & beam dynamics

- magnets

take as example motion in horizontal plane



$$\frac{1}{R(x)} = \frac{e}{p} B_z(x)$$

$$B_z(x) = B_{z0} + \frac{dB_z}{dx}x + \frac{1}{2!} \frac{d^2 B_z}{dx^2} x^2 + \frac{1}{3!} \frac{d^3 B_z}{dx^3} x^3 + \dots$$

$$\begin{aligned} \frac{e}{p} B_z(x) &= \frac{e}{p} B_{z0} + \frac{e}{p} \frac{dB_z}{dx} x + \frac{1}{2!} \frac{e}{p} \frac{d^2 B_z}{dx^2} x^2 + \frac{1}{3!} \frac{e}{p} \frac{d^3 B_z}{dx^3} x^3 + \dots \\ &= \frac{1}{R} + kx + \frac{1}{2!} mx^2 + \frac{1}{3!} ox^3 + \dots \end{aligned}$$

dipole

quadrupole

sextupole

octupole

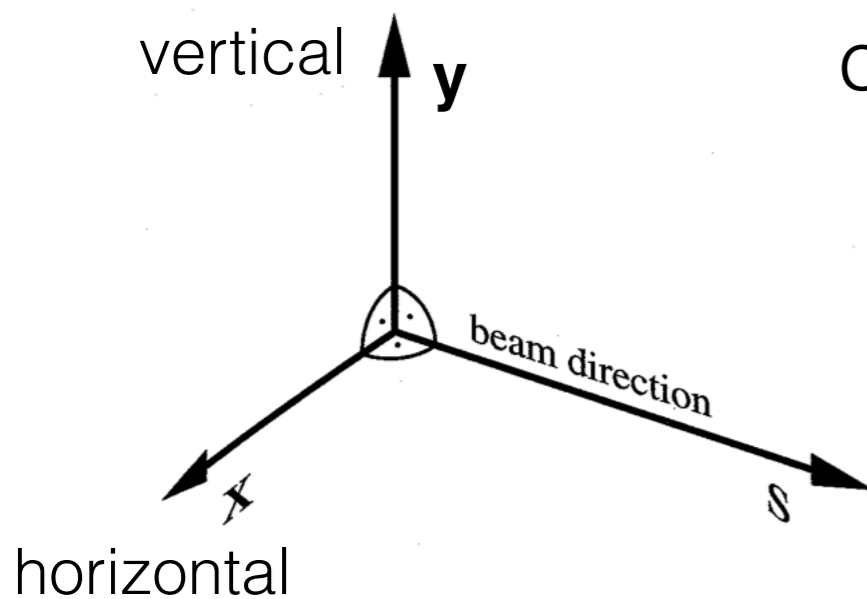
bending

**focusing (k>0)**  
**defocusing (k<0)**

## (i.2) luminosity & beam dynamics

---

- linear beam optics



co-moving coordinate system  $(x, y, s)$   
 $s$ : along the nominal trajectory

$$x' \equiv \frac{dx}{ds}$$

$$x''(s) + \left(\frac{1}{R^2} - k(s)\right)x(s) = 0$$

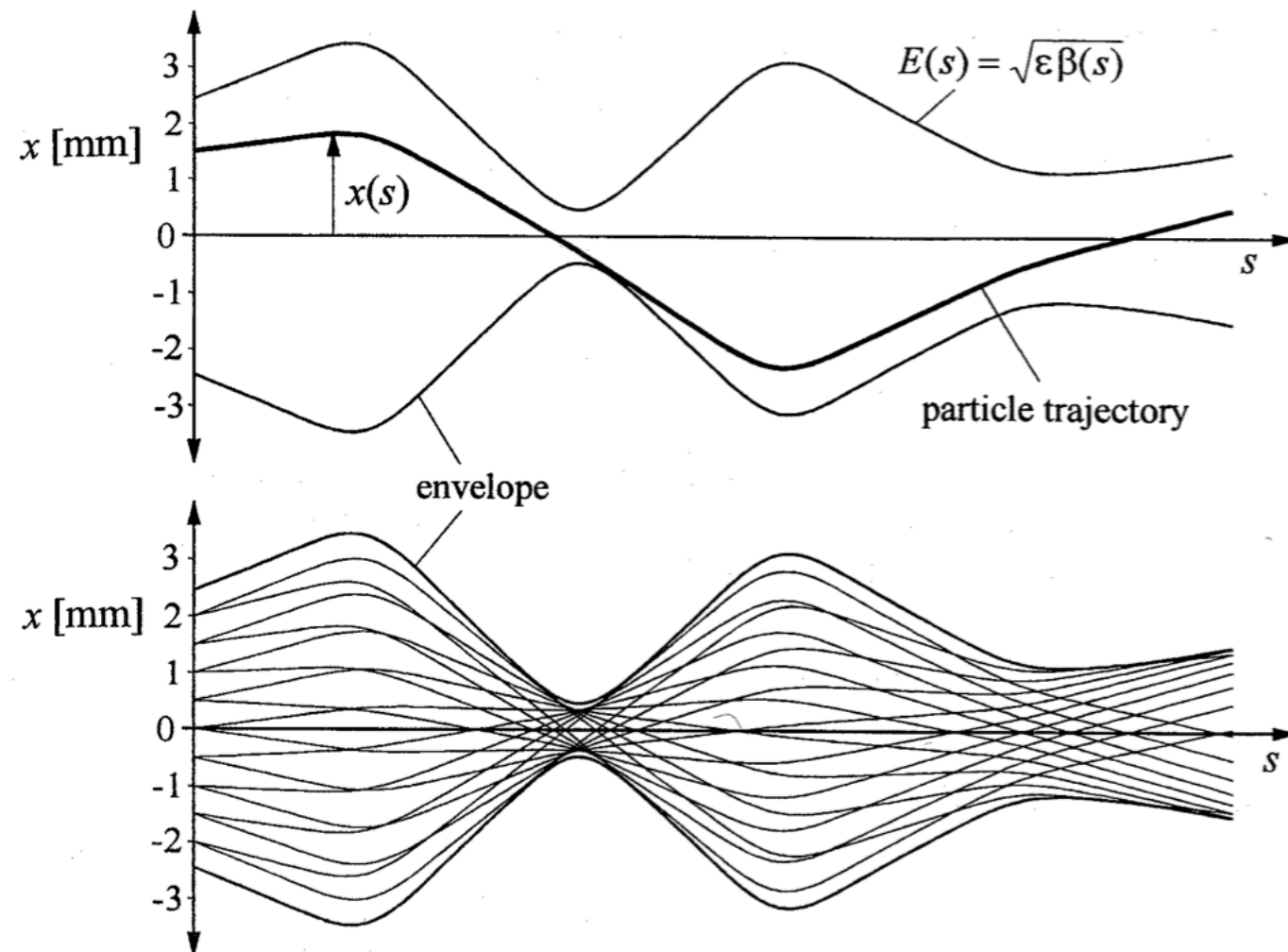
$$y''(s) + k(s)y(s) = 0$$

(betatron oscillations)



## (i.2) luminosity & beam dynamics

- back to luminosity

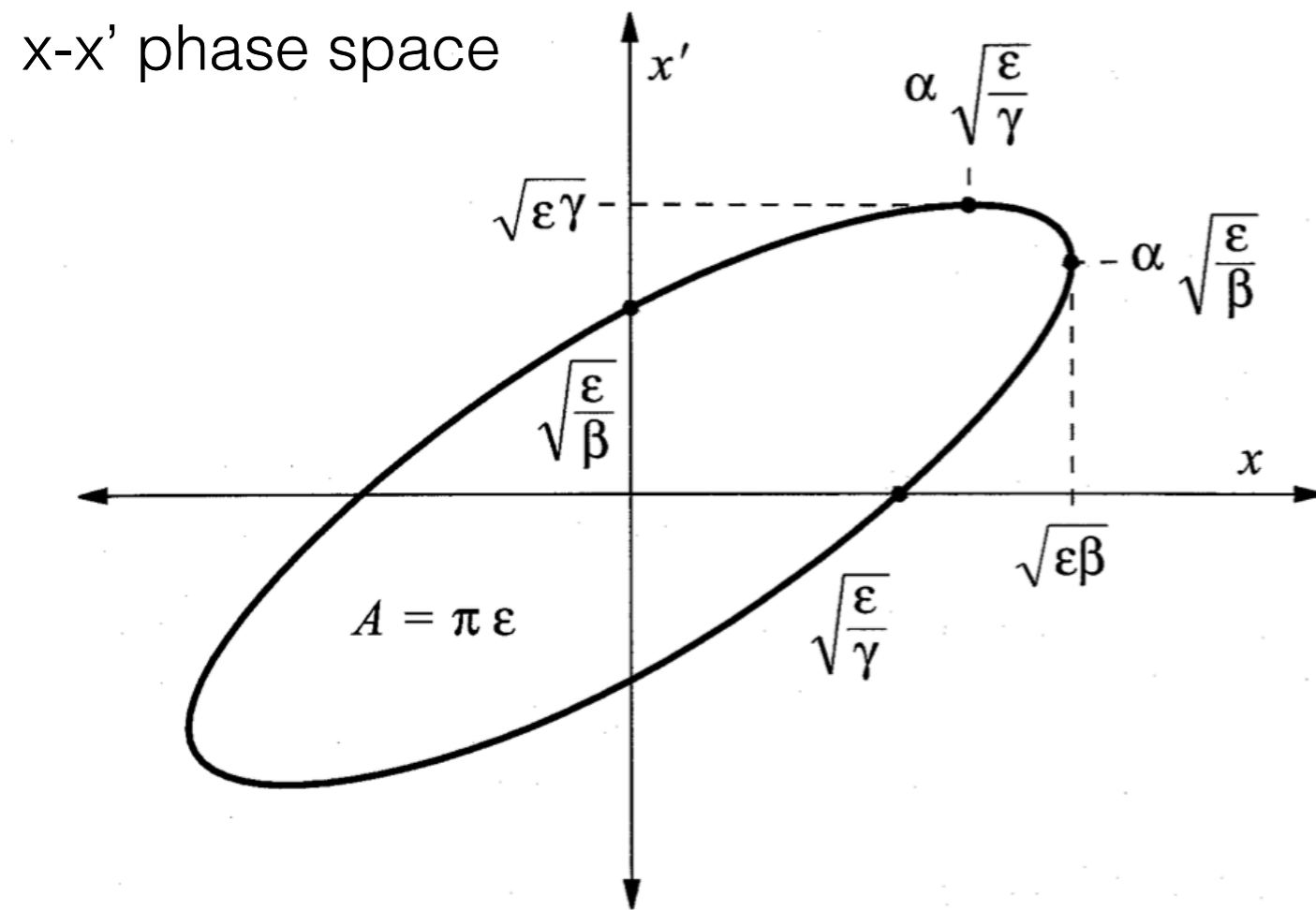


$$L = f_{coll} \frac{n_1 n_2}{4\pi\sigma_x^* \sigma_y^*} F$$

$$\sigma_x^2 = \epsilon \cdot \beta$$

## (i.2) luminosity & beam dynamics

- back to luminosity

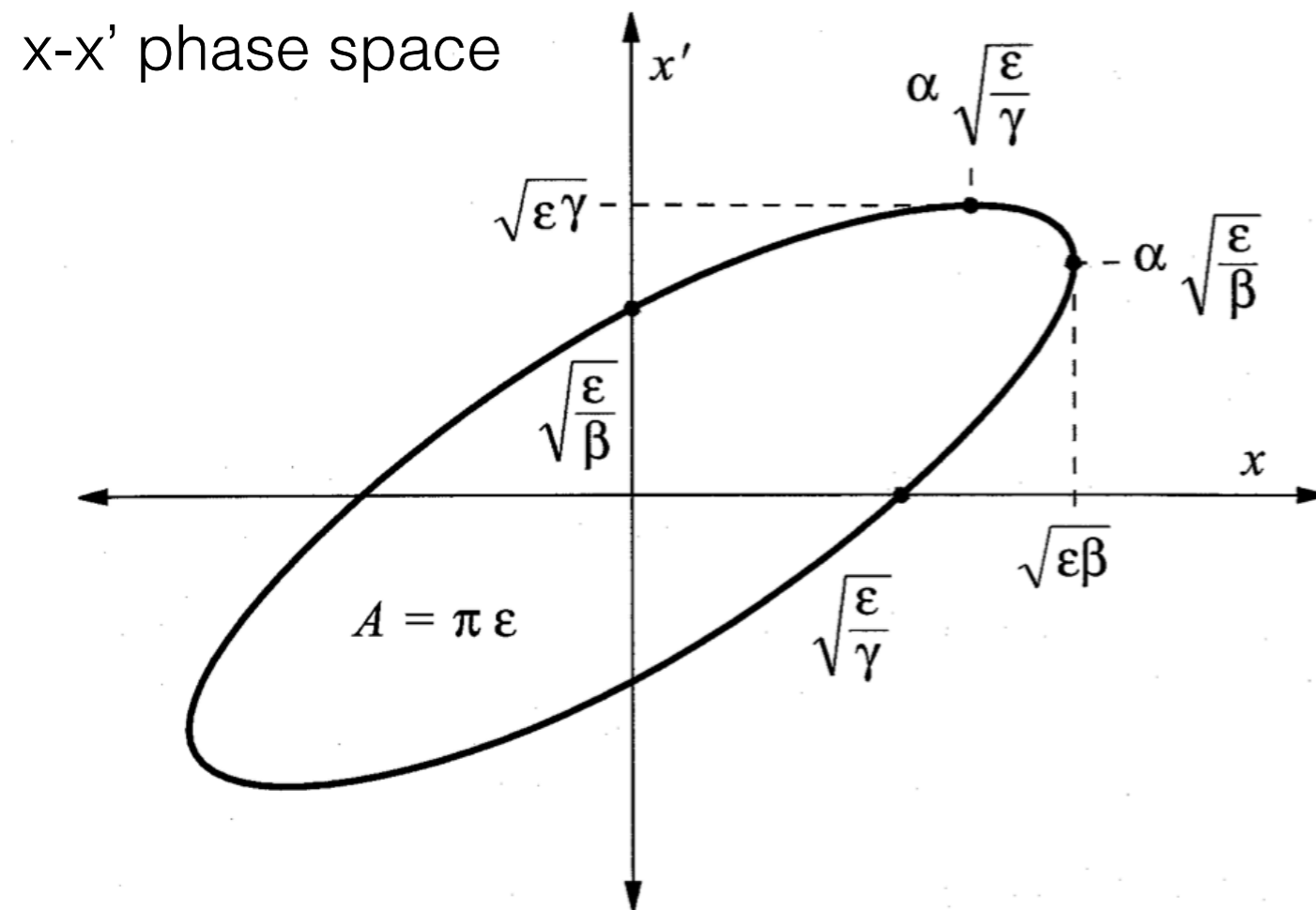


$$L = f_{coll} \frac{n_1 n_2}{4\pi \sigma_x^* \sigma_y^*} F$$

$$\sigma_x^2 = \epsilon \cdot \beta$$

## (i.2) luminosity & beam dynamics

- back to luminosity



$$L = f_{coll} \frac{n_1 n_2}{4\pi\sigma_x^* \sigma_y^*} F$$

$$\sigma_x^2 = \epsilon \cdot \beta$$

**emittance  $\times \pi$  = area of the ellipse**

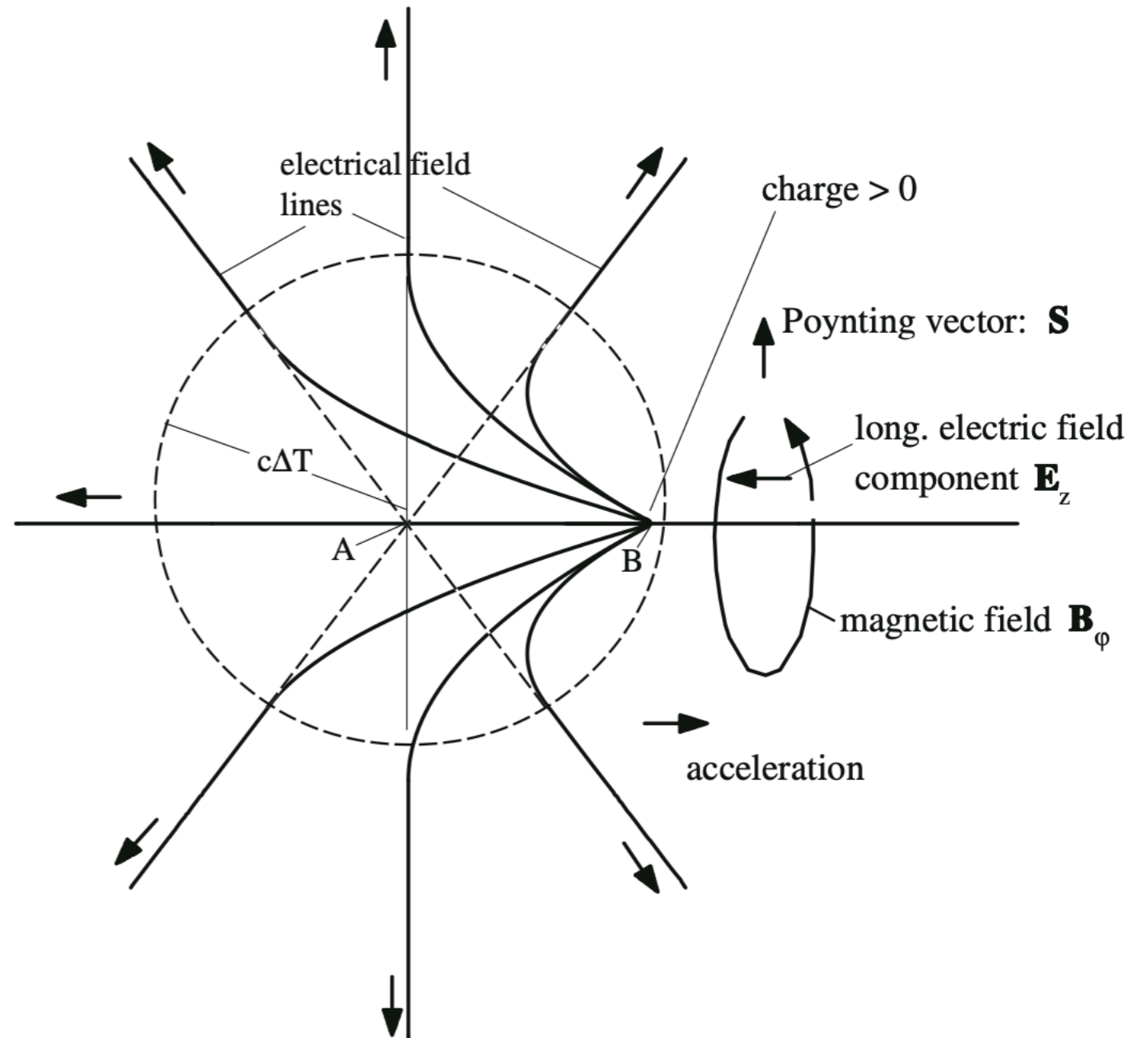
## (i.3) synchrotron radiation

- fundamental process

an accelerating charge

distort electromagnetic field

speed of light  $c$  is finite



propagation of distorted electromagnetic field = synchrotron radiation

(was first seen by eye at a synchrotron)

## (i.3) synchrotron radiation

---

- qualitatively: linear vs circular

synchrotron radiation depends on size of acceleration  $|\mathbf{a}|$

at a linear accelerator

for  $E=100\text{GeV}$ ,  $G=30\text{MeV/m}$ ;  $|\mathbf{a}|=1.4\times 10^3 \text{ m/s}^2$

at a circular accelerator

for  $E=100\text{GeV}$ ,  $R=100\text{km}$ ;  $|\mathbf{a}|=9\times 10^{11} \text{ m/s}^2$

$|\mathbf{a}|$  differ enormously

## (i.3) synchrotron radiation

---

- synchrotron radiation power

at a circular accelerator

$$P = \frac{e^2 c}{6\pi\epsilon_0} \frac{1}{(m_0 c^2)^4} \frac{E^4}{R^2}$$

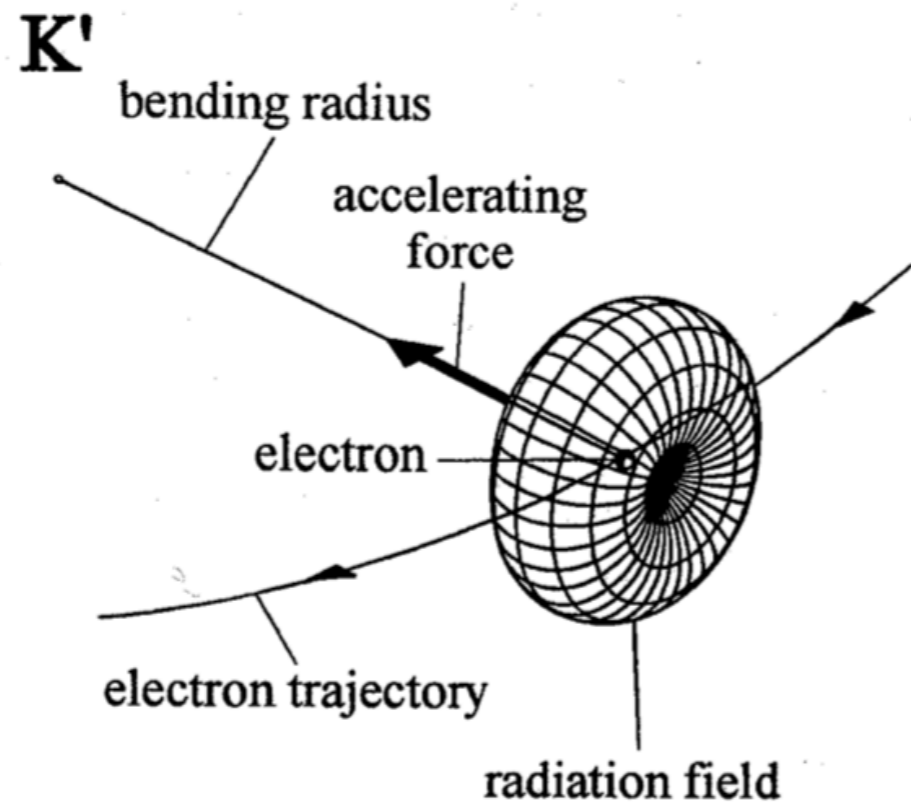
energy loss per turn (for electron)

$$\Delta E[\text{keV}] = 88.5 \frac{E^4[\text{GeV}]^4}{R[\text{m}]}$$

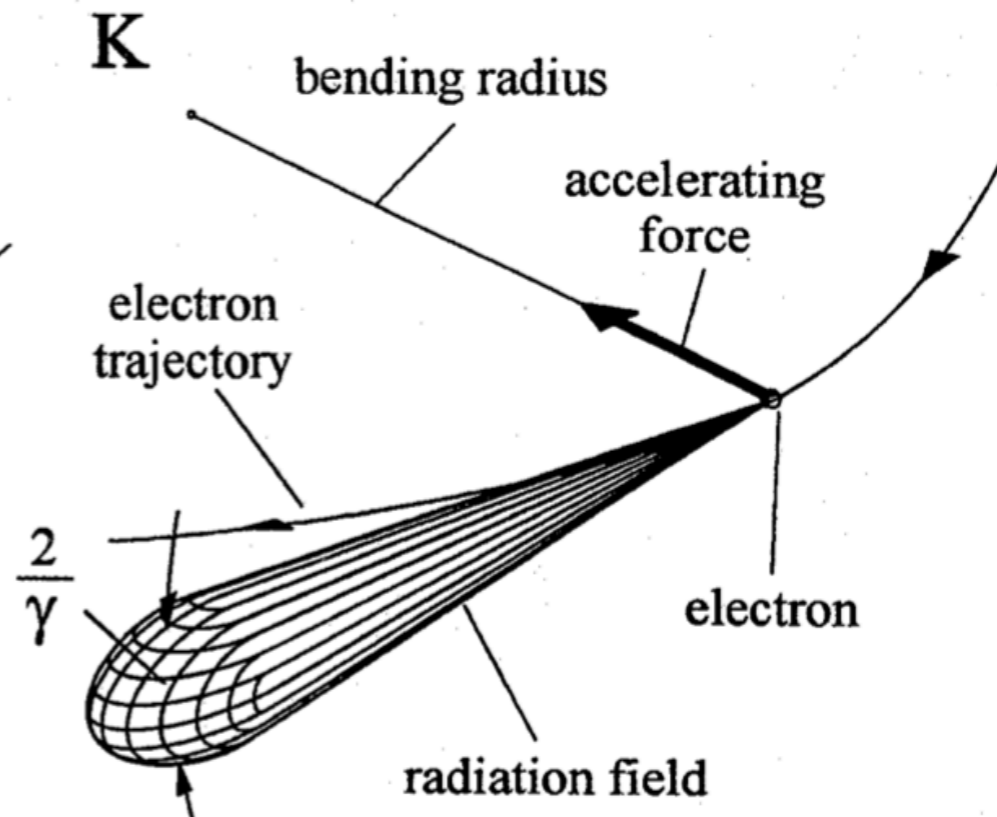
# (i.3) synchrotron radiation

- angular distribution

$$\tan \theta = \frac{1}{\gamma}$$



E.O.M. frame



Lab frame

## (i.3) synchrotron radiation

---

- crucial for linear colliders as well

damping ring: synchrotron radiation can reduce emittance

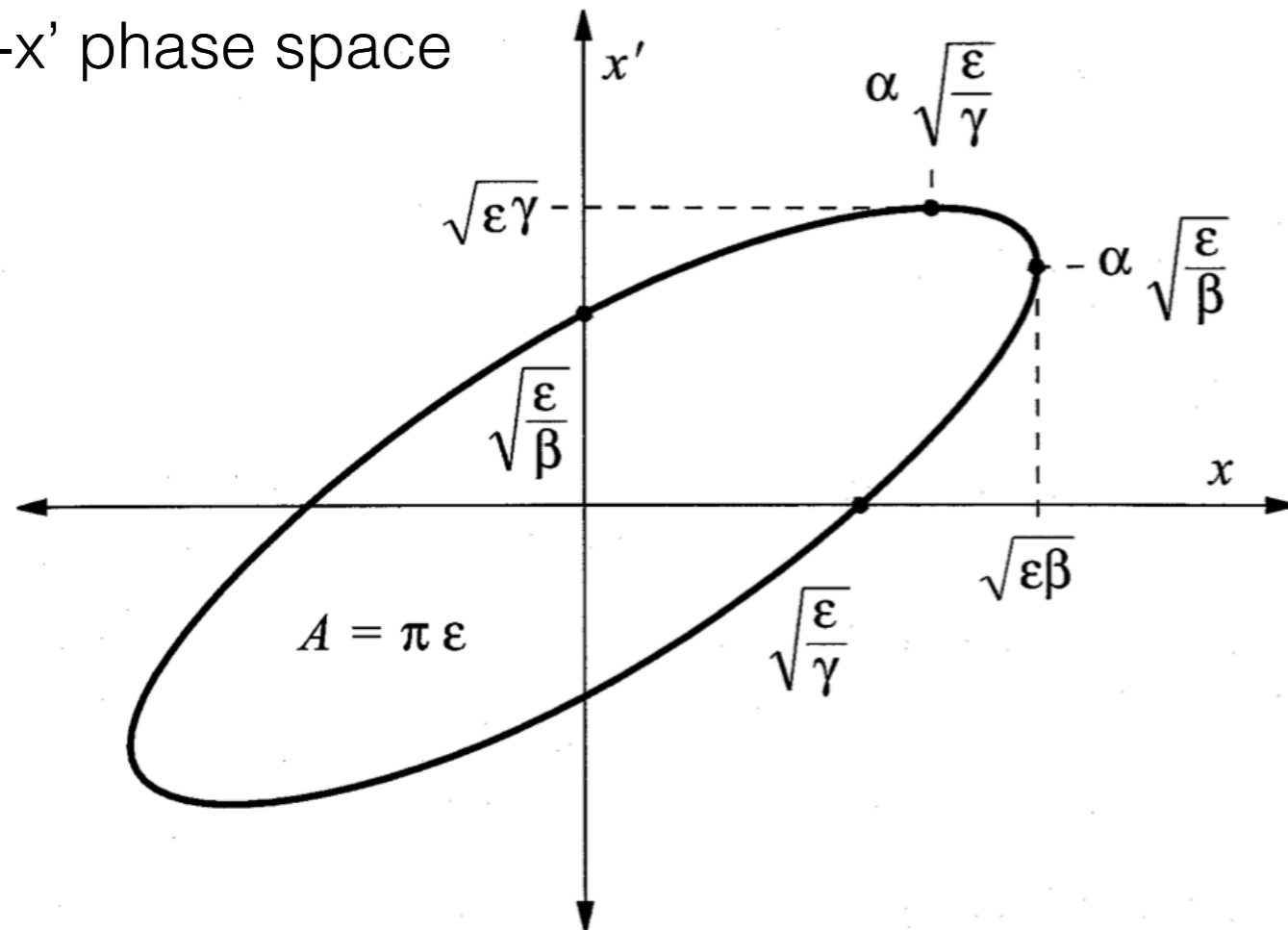


### (i.3) synchrotron radiation

- crucial for linear colliders as well

damping ring: synchrotron radiation can reduce emittance

x-x' phase space



## (i.4) beam polarization

---

- definitions

$$P = \frac{N_R - N_L}{N_R + N_L}$$

$N_{R/L}$ : number of R/L-handed e-(e+)

can be longitudinal or transverse

polarized electron source:

a polarized laser to hit a photocathode

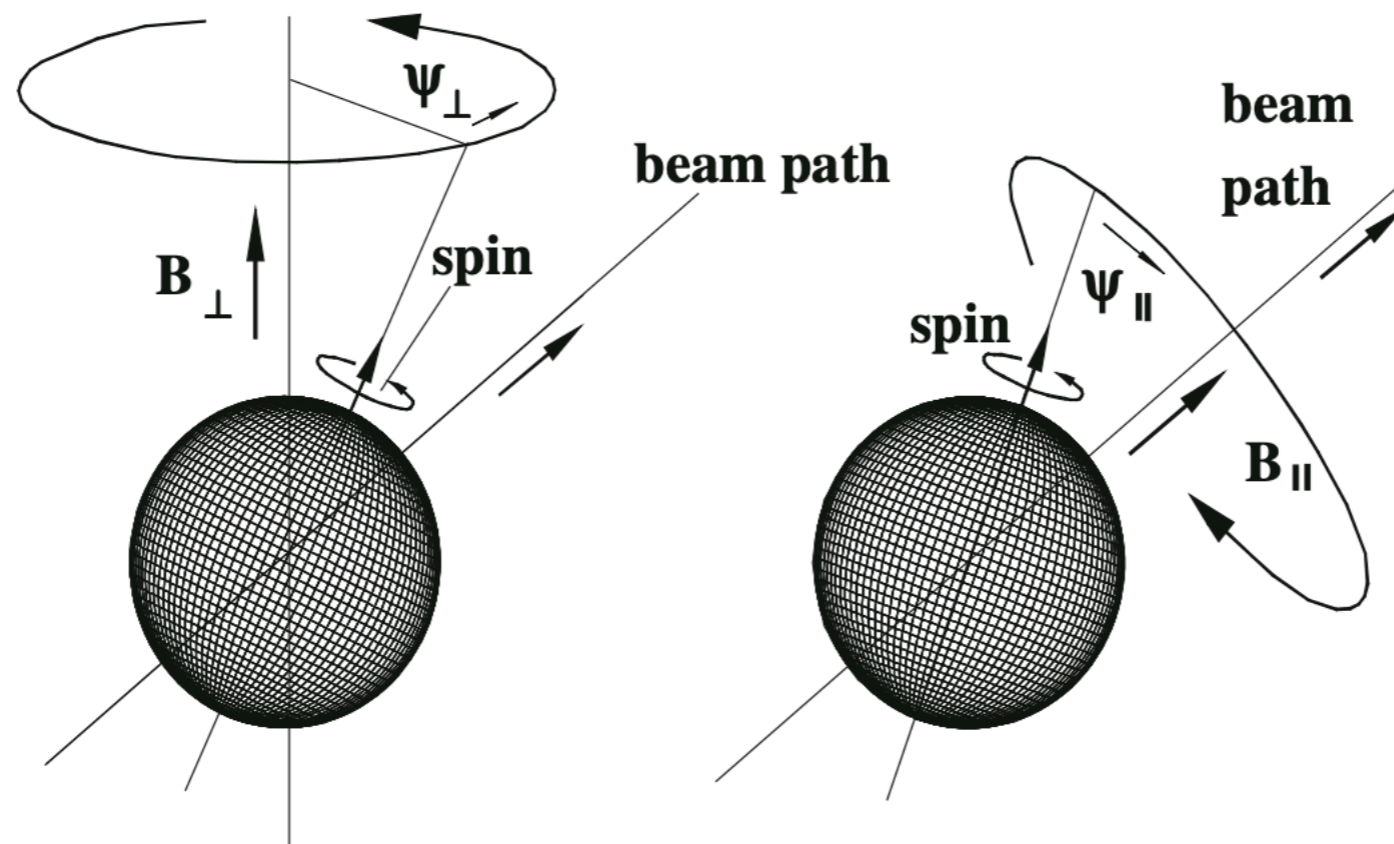
P=80% demonstrated at SLC

polarized positron source:

undulator @ ILC; P=30%

## (i.4) beam polarization

- precession of particle spin under B-field



at a linear collider, to preserve longitudinal beam polarizations,  
spin rotators are needed before & after damping ring  
at a circular collider, transverse beam polarizations are possible

## (i.5) ILC

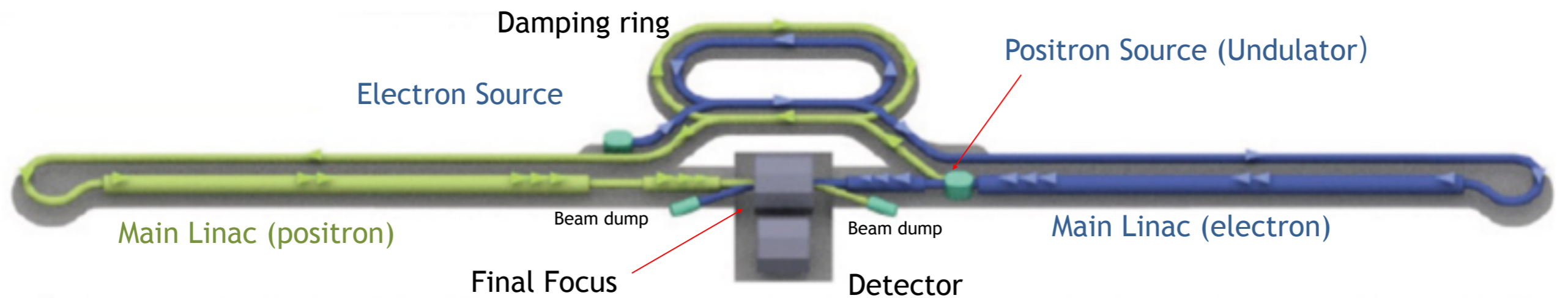
- International Linear Collider (ILC)

key technologies



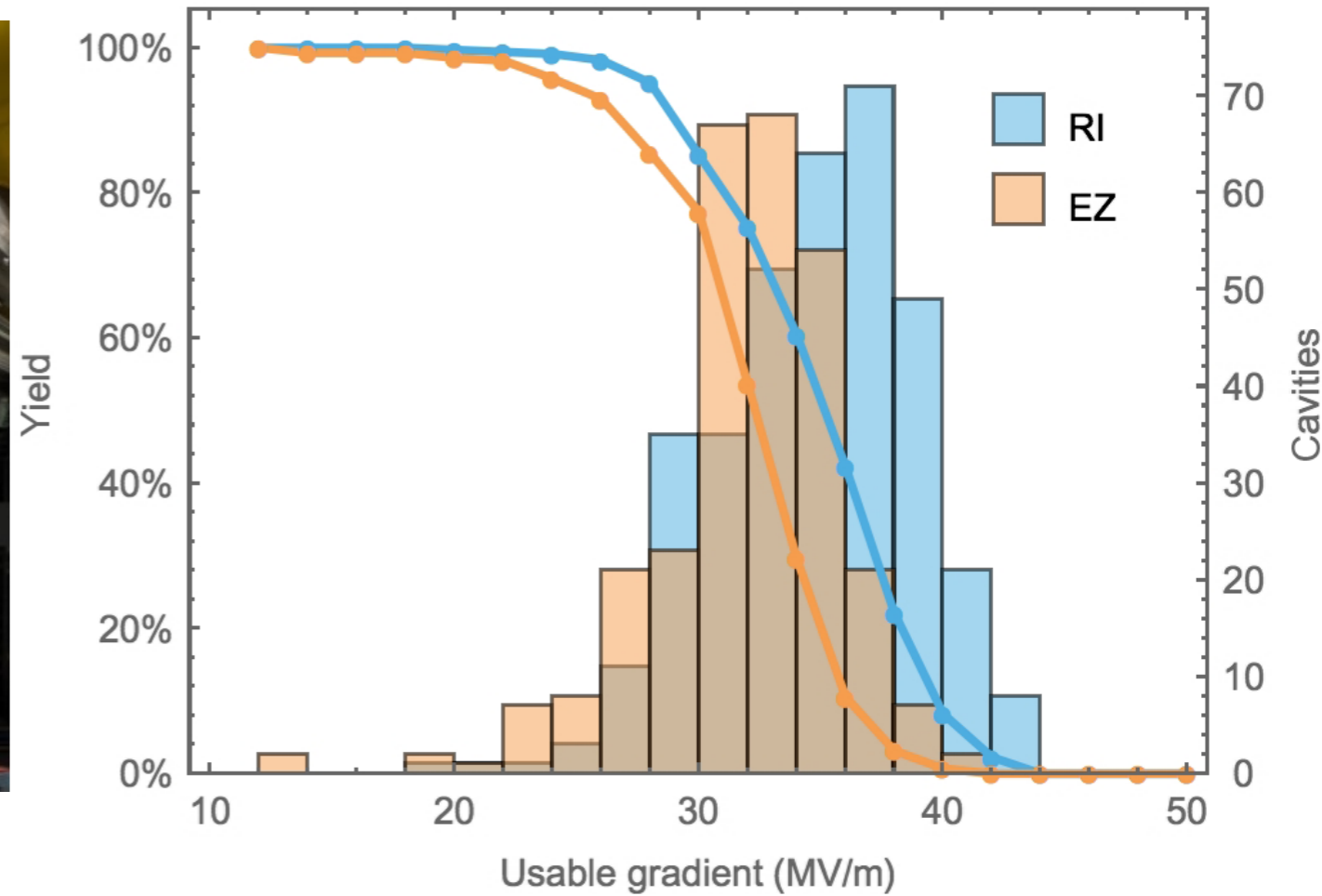
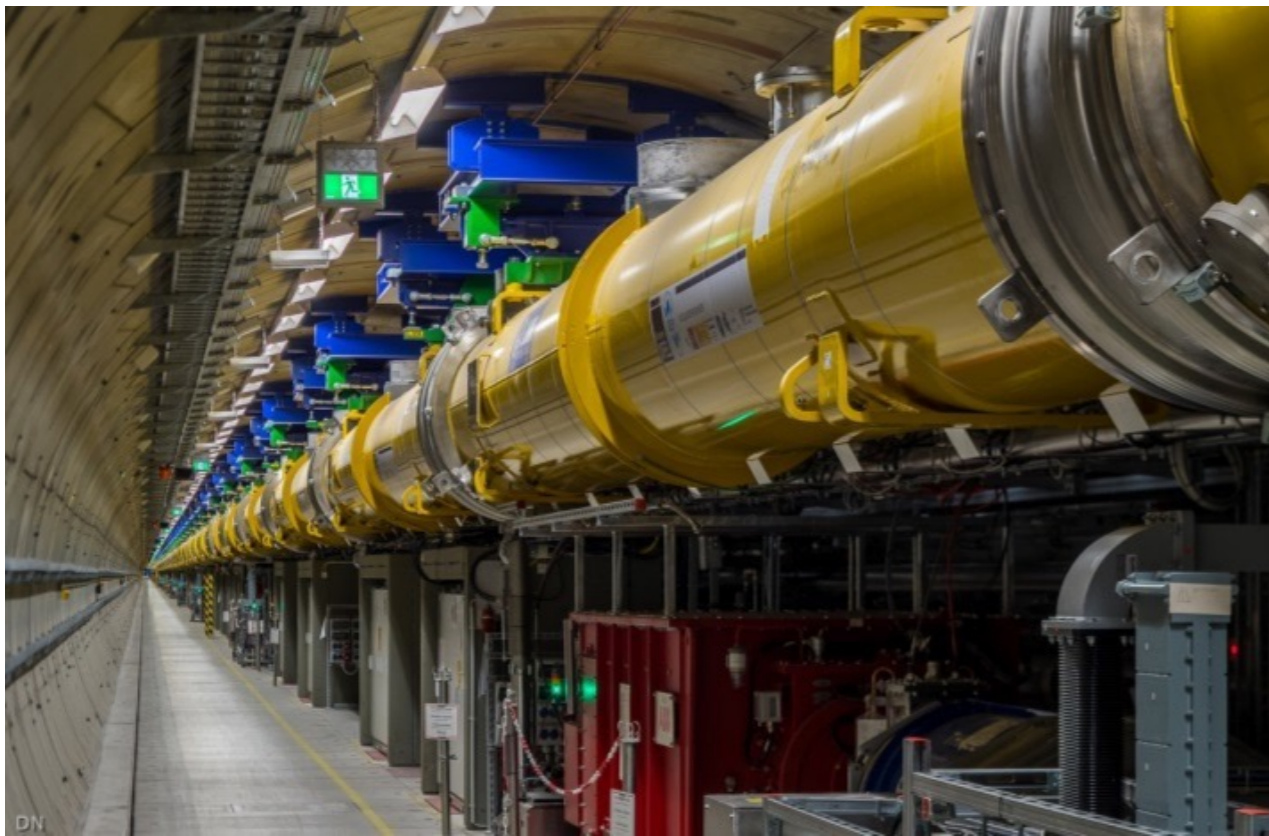
Superconducting RF: 1.3GHz;  $\sim 31.5\text{MeV/m}$

Nano beam:  $\sigma_y \sim 8\text{nm}$ ;  $\sigma_x \sim 500\text{nm}$



## (i.5) ILC

- SRF technology: mature & robust

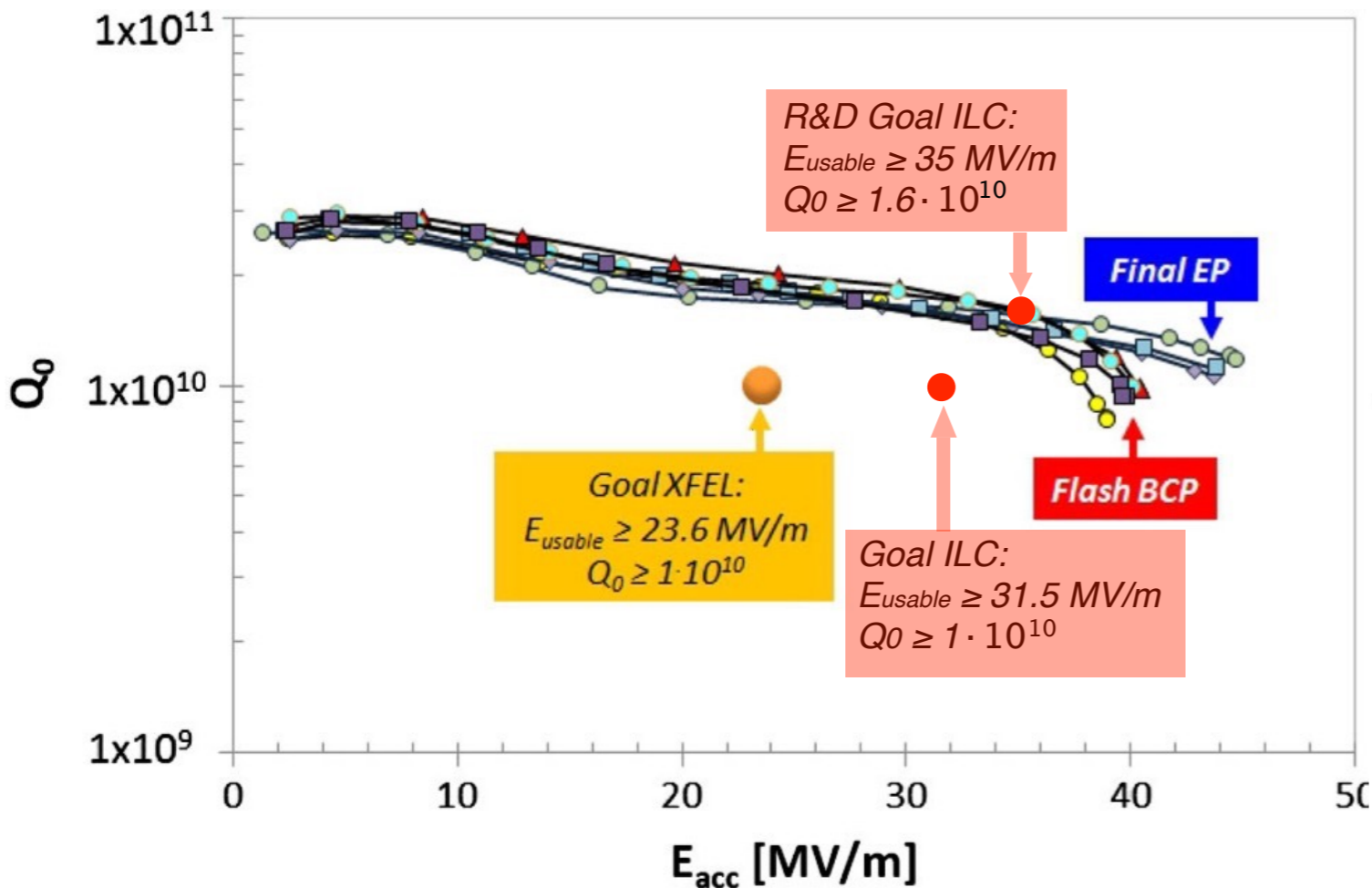


~800 cavities installed & running @ E-XFEL

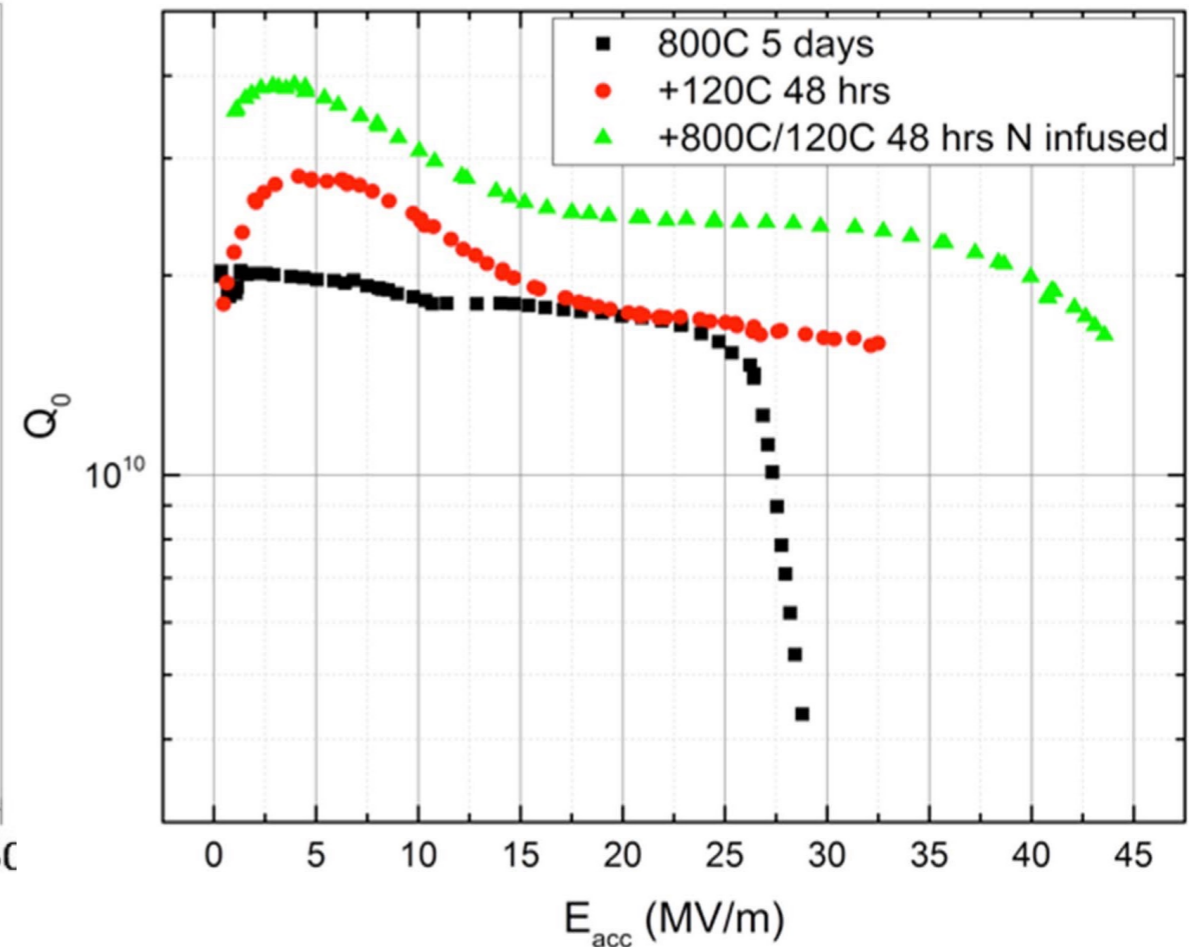


## (i.5) ILC

- SRF technology: potential for further improvement



some of the best cavities  
produced for E-XFEL

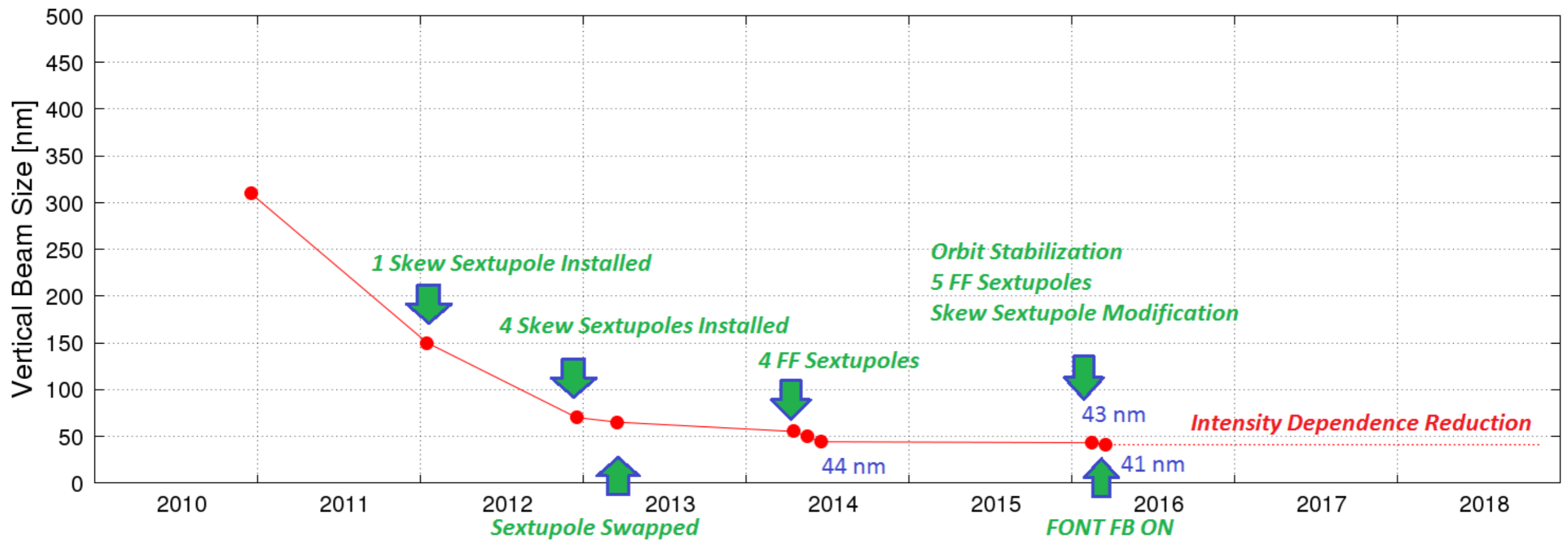


ongoing R&D  
Nitrogen infusion



# (i.5) ILC

- Nano beam: demonstration @ ATF2, KEK



37nm @ 1.3 GeV ~ 5.7nm @ 250 GeV

## status of ILC

- it's been a too long way (>30 years)
- very recently (January 30, 2020), ILC finally passed the barrier from academic side (SCJ Master Plan 2020: got to hearing)
- extremely positive messages from ministers (cabinet & MEXT)
- very positive statement by ICFA 2020 (released this morning); see the details from ICFA homepage
- (personally) ILC is about to fly soon (just get onboard)

(ii)

## introduction to detectors

(ii.1) passage of particles through matter

(ii.2) type of detectors

(ii.3) detector concepts @ ILC

(ii.4) detector simulation / reconstruction

## (ii.1) passage of particle through matter

---

- electronic energy loss by charged particles ( $m > m_e$ )

from ionization, atomic excitation

Bethe's equation:

$$\left\langle -\frac{dE}{dx} \right\rangle = K z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 W_{\max}}{I^2} - \beta^2 - \frac{\delta(\beta\gamma)}{2} \right]$$

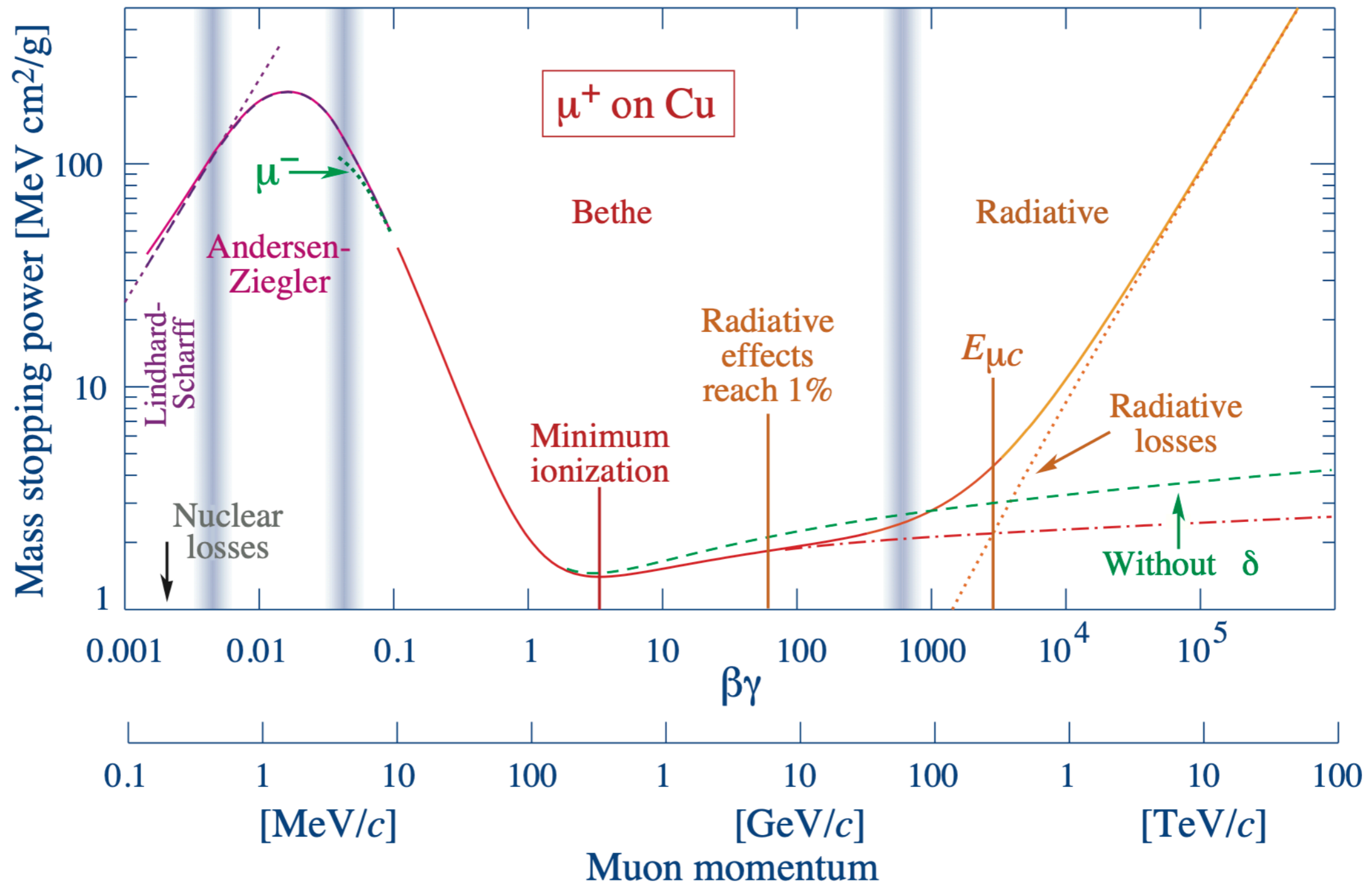
mass stopping power

linear stopping power:  $\times \rho$

logarithmic increase for relativistic particles

(ii.1) passage of particle through matter

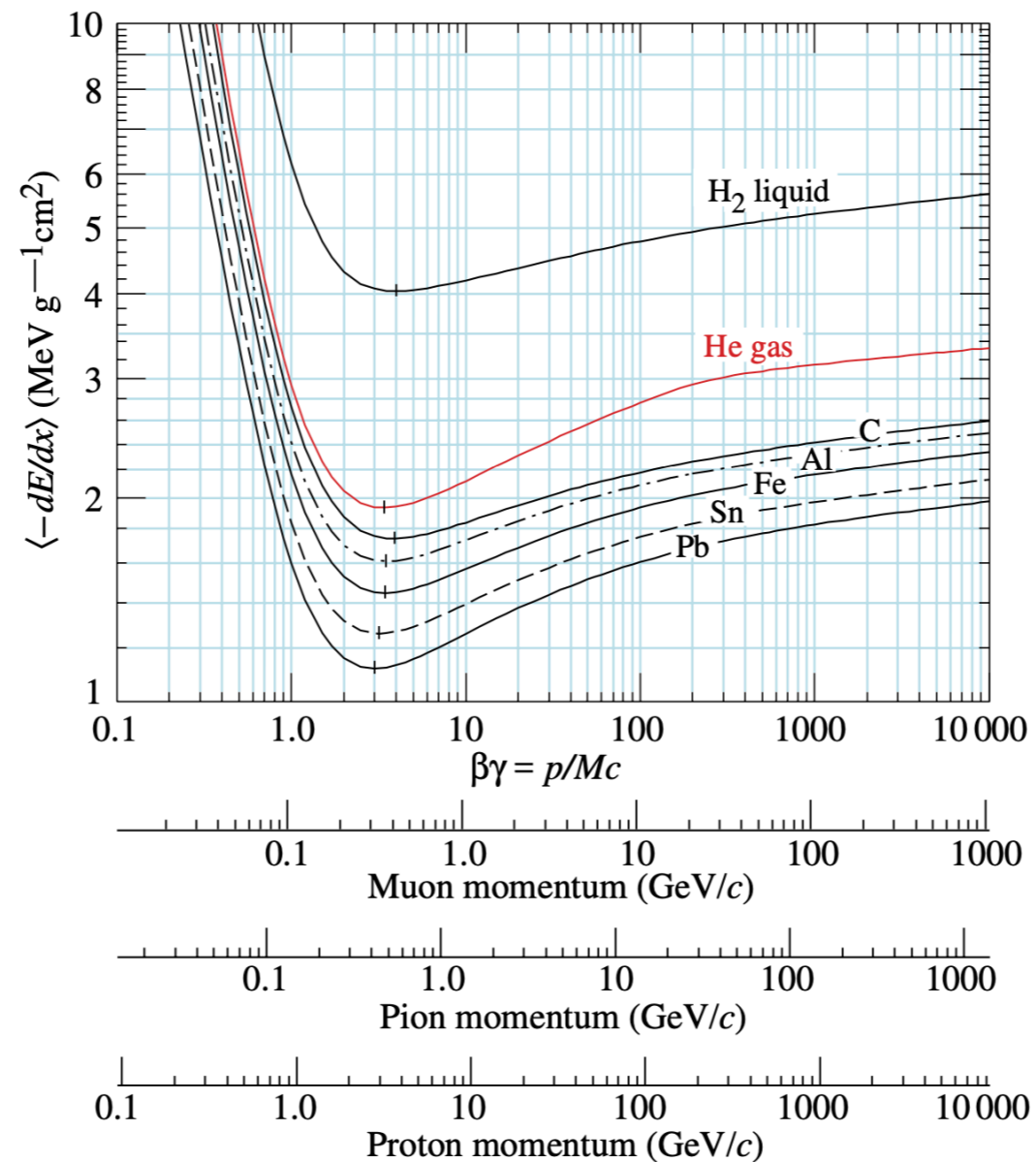
- electronic energy loss by charged particles



## (ii.1) passage of particle through matter

- concept of MIP

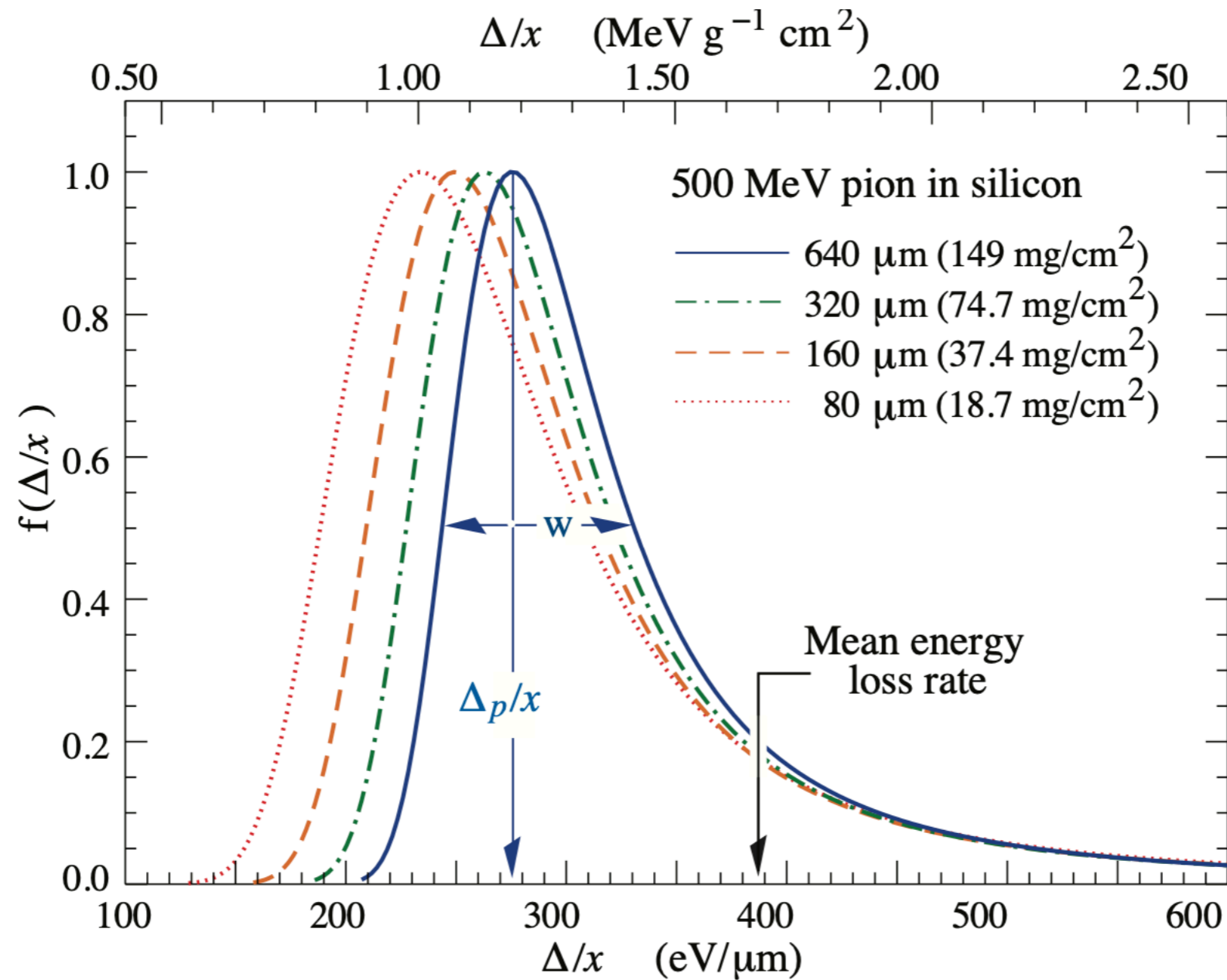
1 MIP: an energy deposition of one minimum ionizing particle





## (ii.1) passage of particle through matter

- dE/dx fluctuations: Landau distribution



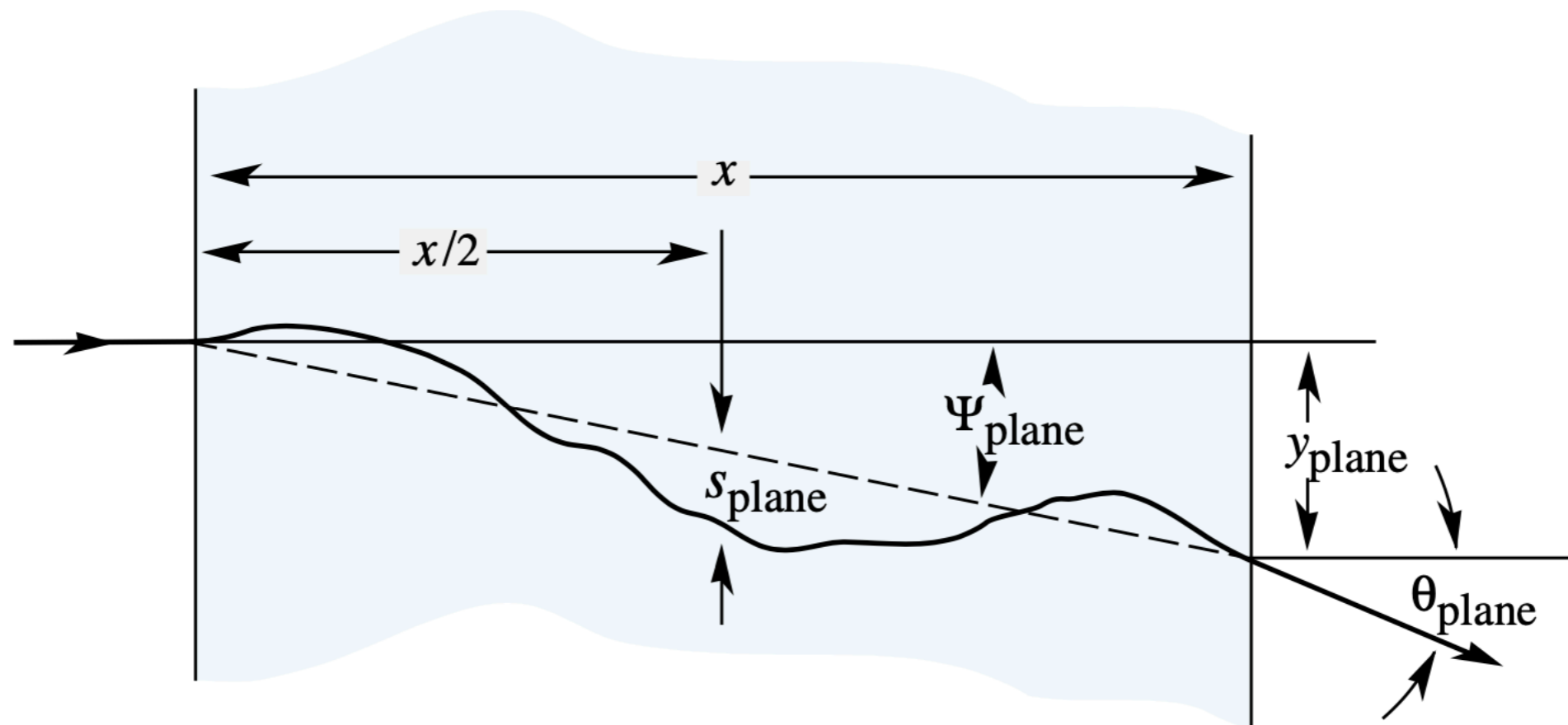
experimentally, the most probable energy loss should be used

## (ii.1) passage of particle through matter

- multiple scattering

a charged particle is deflected by many small scatters

most due to Coulomb scattering from nuclei



important for detector design: material budget

## (ii.1) passage of particle through matter

---

- electromagnetic shower (electron / photon)

electron at rest can't radiate a photon: violate 4-p conservation

$$e^-(P) \rightarrow e^-(p') + \gamma(q)$$

$\begin{array}{c} \sim \\ \otimes \end{array} \frac{m_e^2}{E}$

at relativistic regime, it becomes possible by requiring a small amount of energy transfer from nuclei

for a GeV electron, ~keV transfer

this is Bremsstrahlung

similarly, photon can convert to e<sup>+</sup>e<sup>-</sup>: pair production

## (ii.1) passage of particle through matter

---

- radiation length  $X_0$

to characterize energy loss from bremsstrahlung or pair production

use the averaged effects like  $\langle dE/dx \rangle$  is not proper

since they occur infrequently but can lose energy significantly

properer characterization

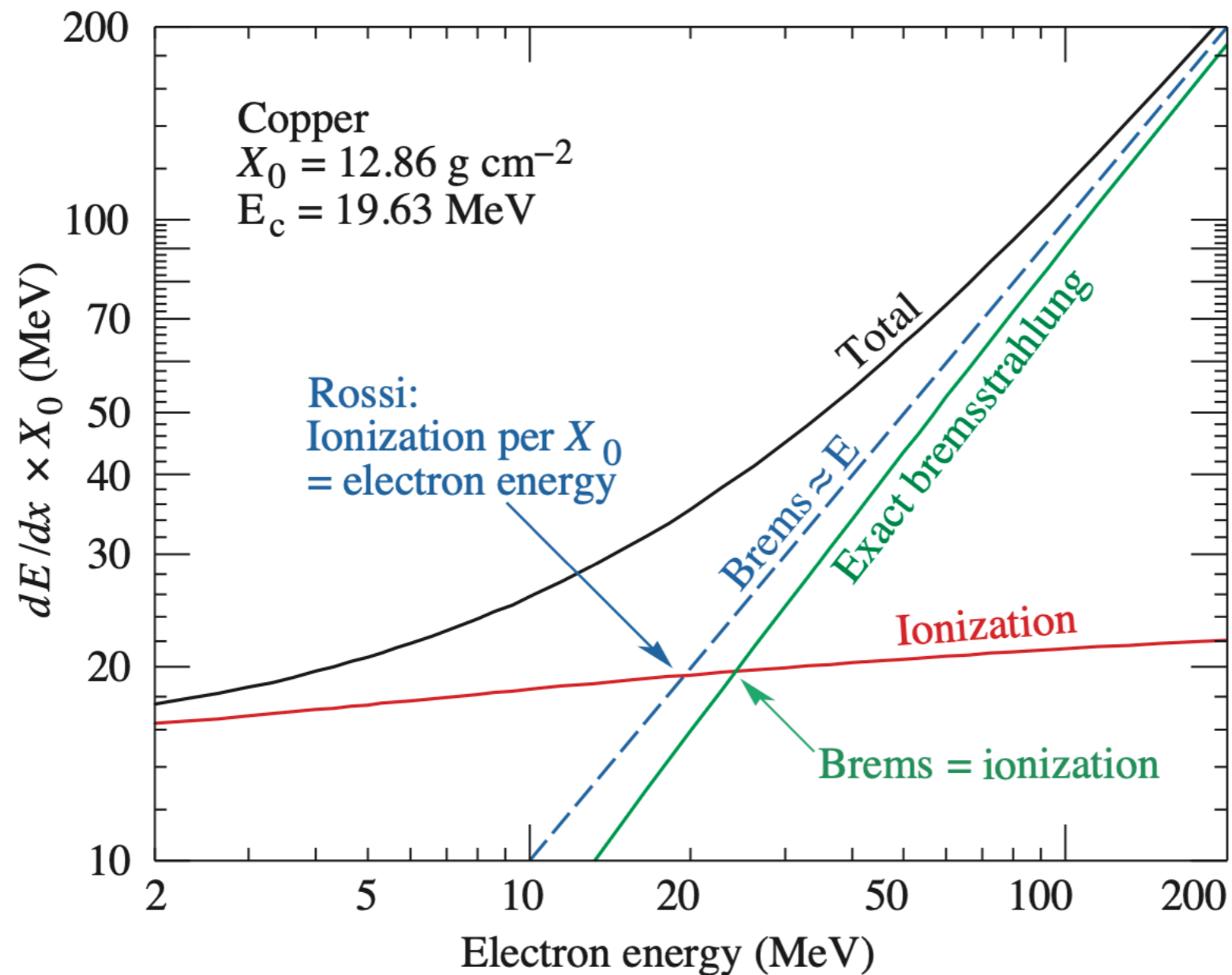
radiation length ( $X_0$ ): the mean distance an electron loses all but 1/e

$$E = E^{-d/X_0}$$

## (ii.1) passage of particle through matter

- critical energy  $E_c$

bremsstrahlung energy loss  $\sim$  ionization loss



## (ii.1) passage of particle through matter

---

- hadronic shower ( $\pi/K/p/n$ )

strong interaction with nuclei; more complicated shower structure

characterize by interaction length  $\lambda_I$  (in a way similar to  $X_0$ )

	$X_0$ (cm)	$E_c$ (MeV)	$\lambda_I$ (cm)
Be	35.3	114	59.5
C	18.9	82	38.2
Fe	1.76	22	20.4
W	0.35	8	11.3
Pb	0.56	7	19.9

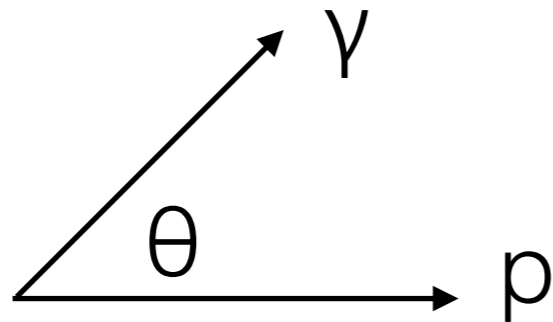


## (ii.1) passage of particle through matter

---

- Cherenkov radiation

when particles move faster than light in the matter



$$\cos \theta_C = \frac{1}{n\beta}$$

n: index of refraction

similar transition radiation happens when particles move across a border of two different index of refraction

## (ii.2) types of detectors

---

- basic types
  - tracker
  - calorimeter

## (ii.2) types of detectors

- basic types

- tracker

- calorimeter

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PDG

## (ii.2) types of detectors

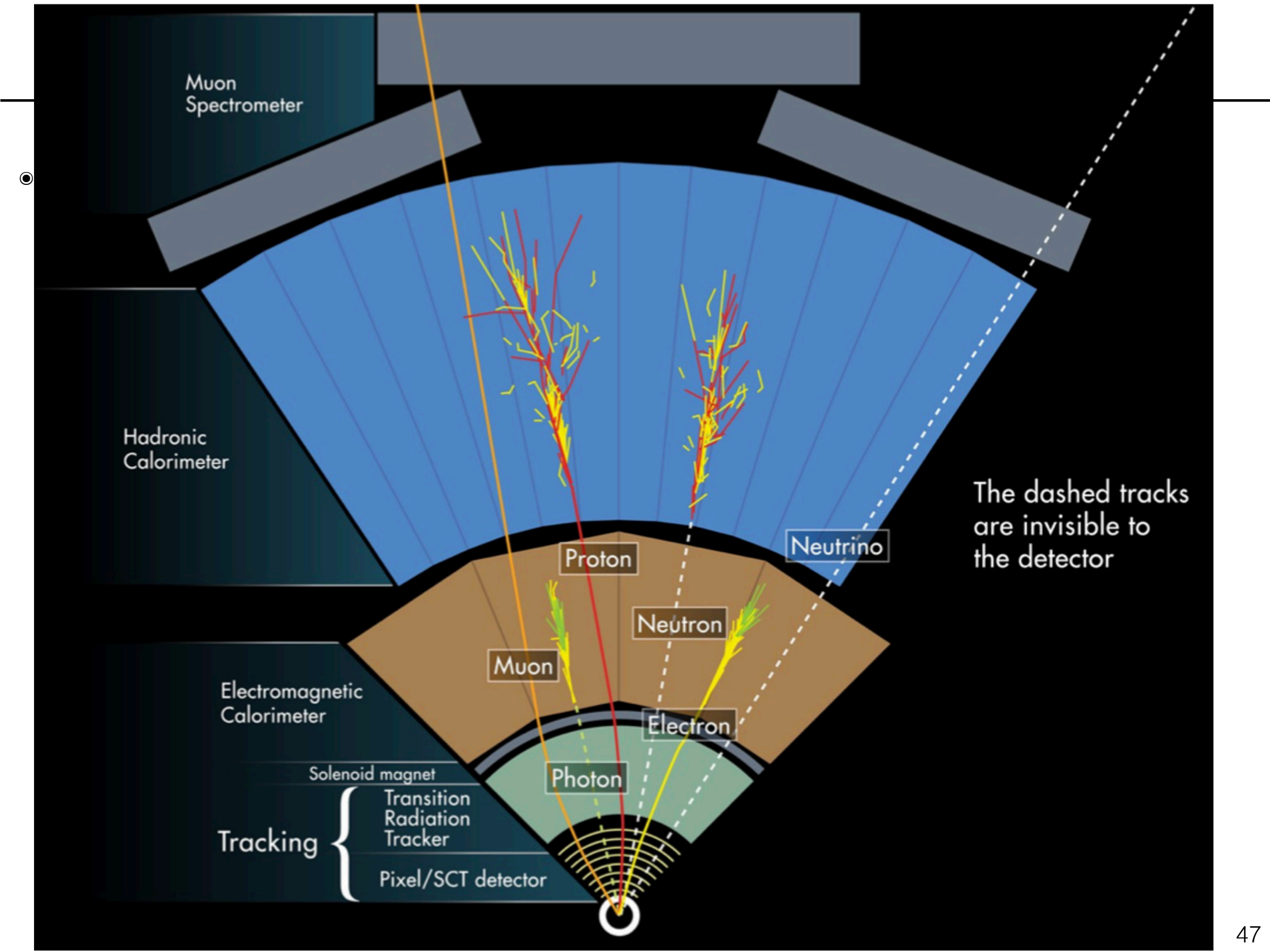
### • basic types

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## (ii.2) types of detectors

---

- an example: various high energy particles in ATLAS



Muon Spectrometer

Hadronic Calorimeter

Electromagnetic Calorimeter

Tracking

Solenoid magnet

Transition Radiation Tracker

Pixel/SCT detector

Proton

Neutron

Muon

Electron

Photon

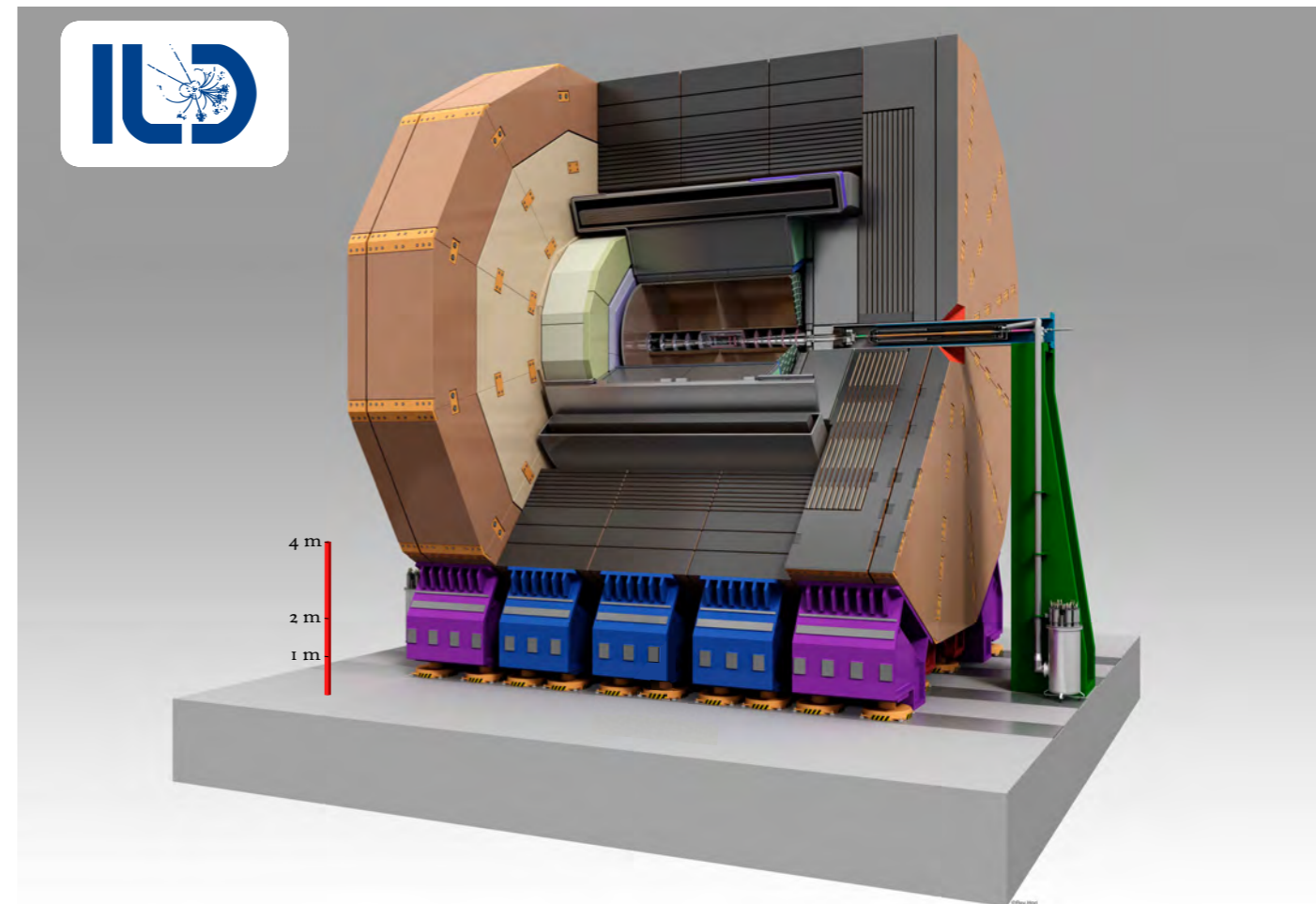
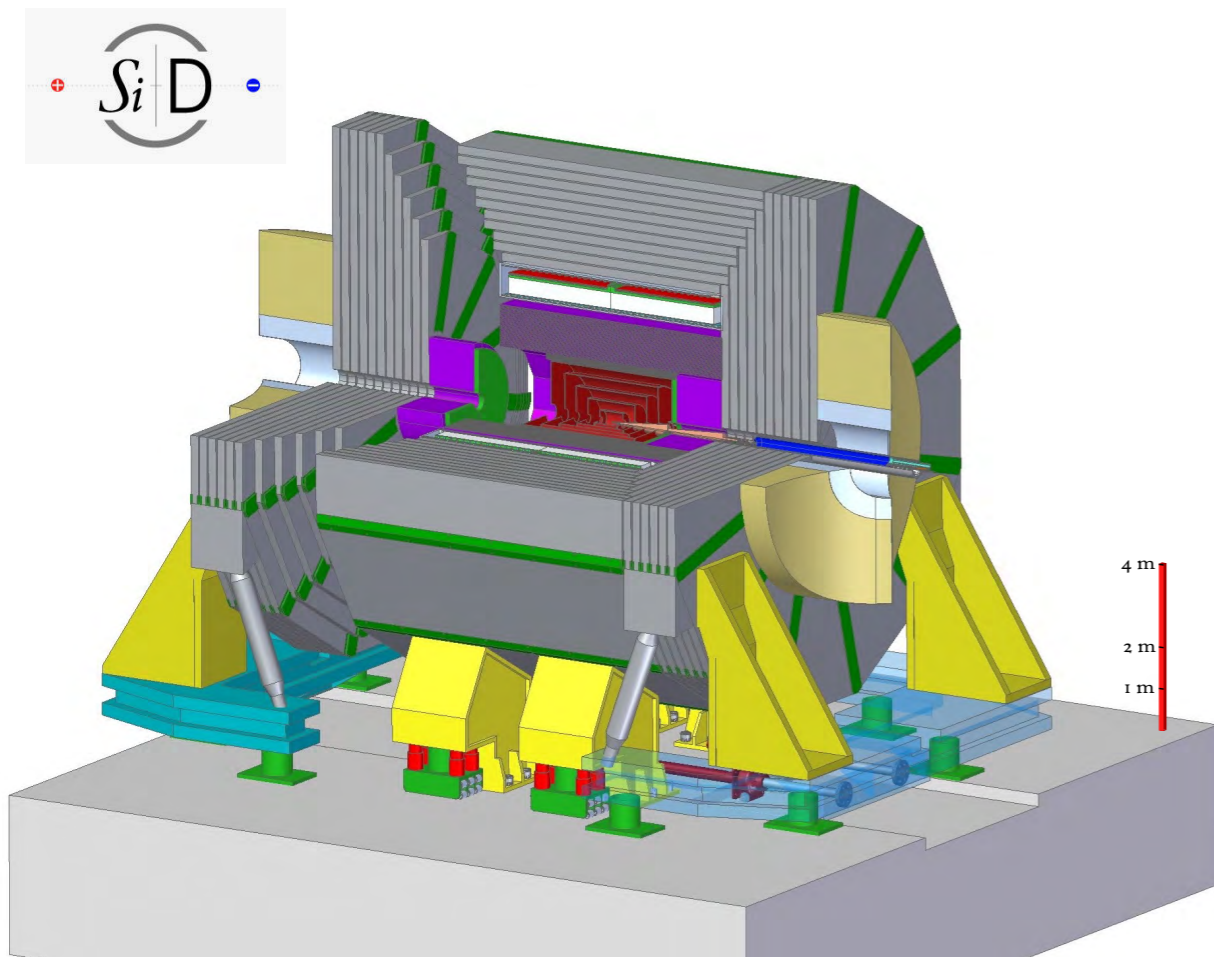
Neutrino

The dashed tracks are invisible to the detector



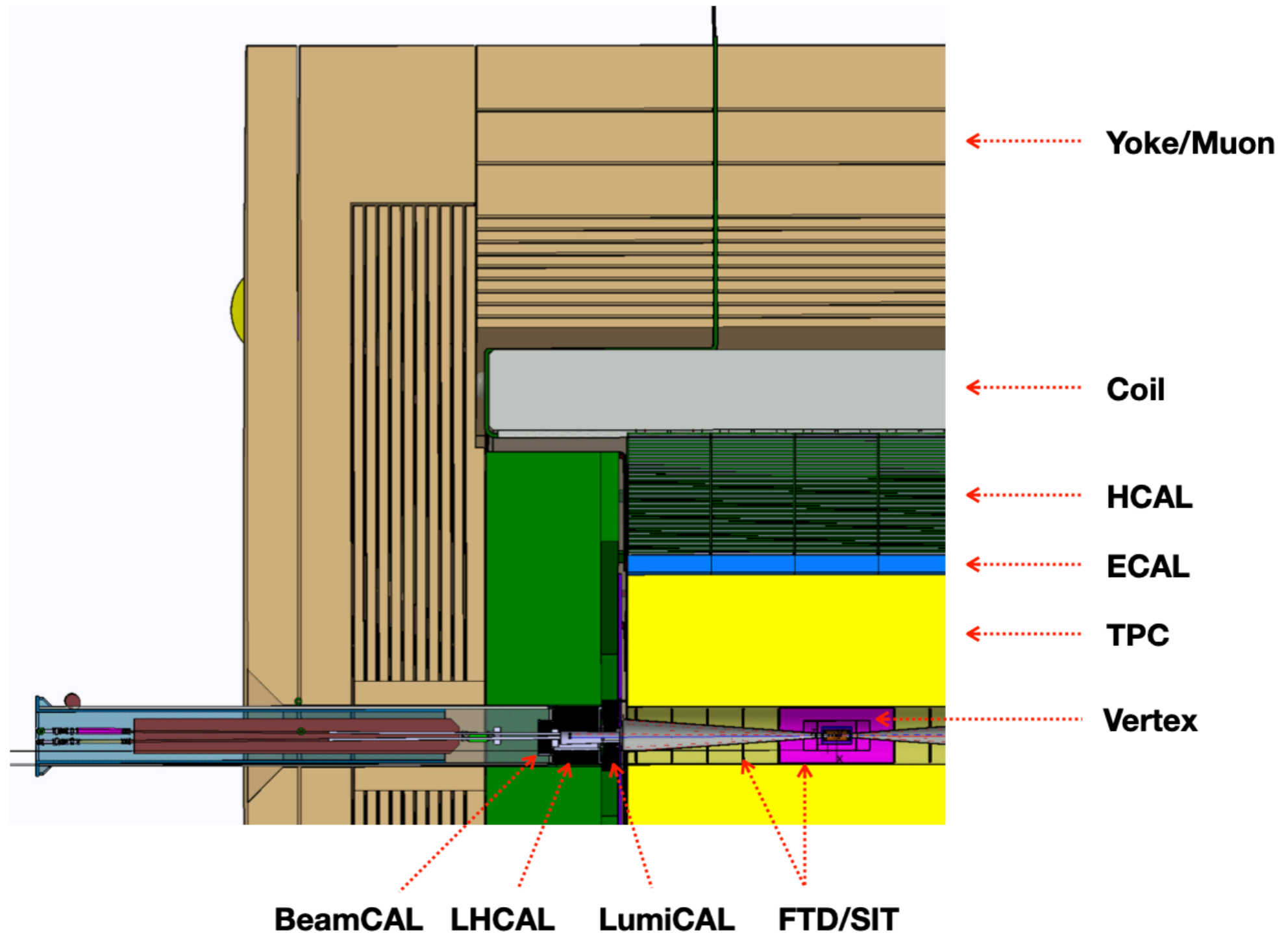
## (ii.3) detectors @ ILC

- two detector concepts; push-pull mechanism in IP



## (ii.3) detectors @ ILC

- ILD: International Large Detector



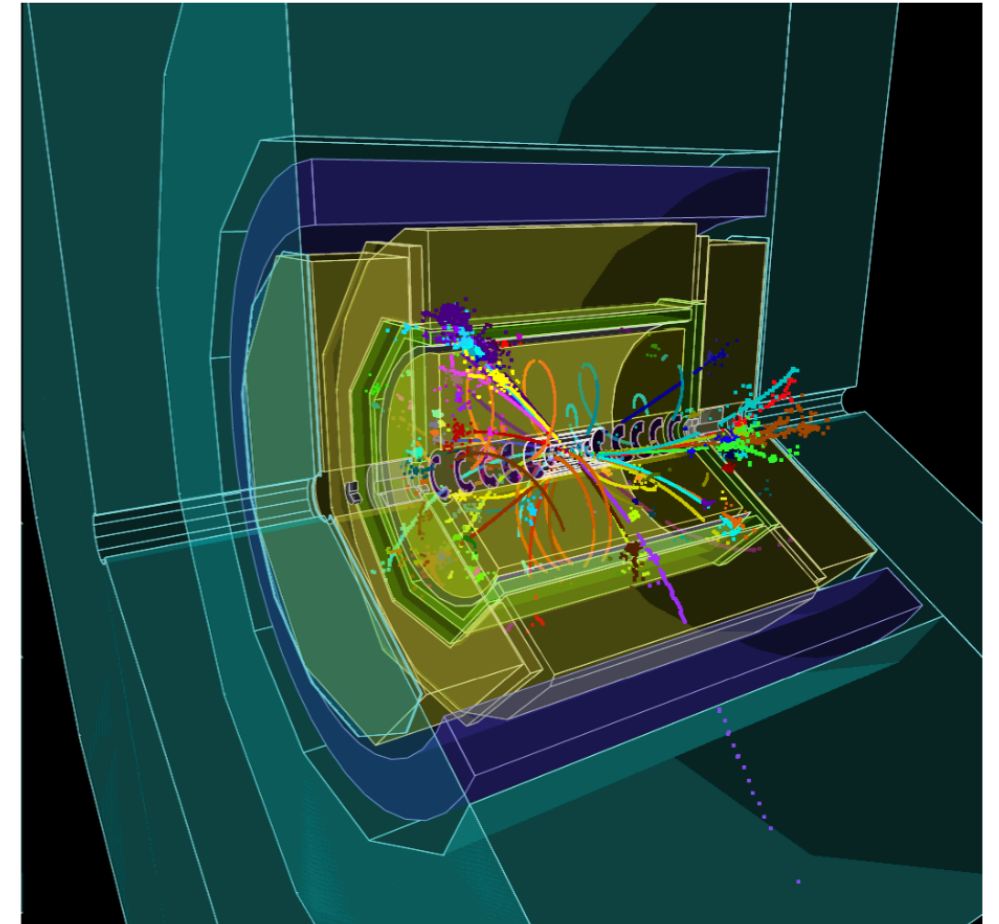
## (ii.3) detectors @ ILC

- concept of Particle Flow

particle flow approach tries to reconstruct every individual particle produced in an event

key challenge is to separate the showers produced by particles from a same jet

-> highly granular calorimeters



Component	Detector	Energy Fract.	Energy Res.	Jet Energy Res.
Charged Particles ( $X^\pm$ )	Tracker	$\sim 0.6 E_j$	$10^{-4} E_{X^\pm}^2$	$< 3.6 \times 10^{-5} E_j^2$
Photons ( $\gamma$ )	ECAL	$\sim 0.3 E_j$	$0.15 \sqrt{E_\gamma}$	$0.08 \sqrt{E_j}$
Neutral Hadrons ( $h^0$ )	HCAL	$\sim 0.1 E_j$	$0.55 \sqrt{E_{h^0}}$	$0.17 \sqrt{E_j}$

## (ii.3) detectors @ ILC

- typical performance

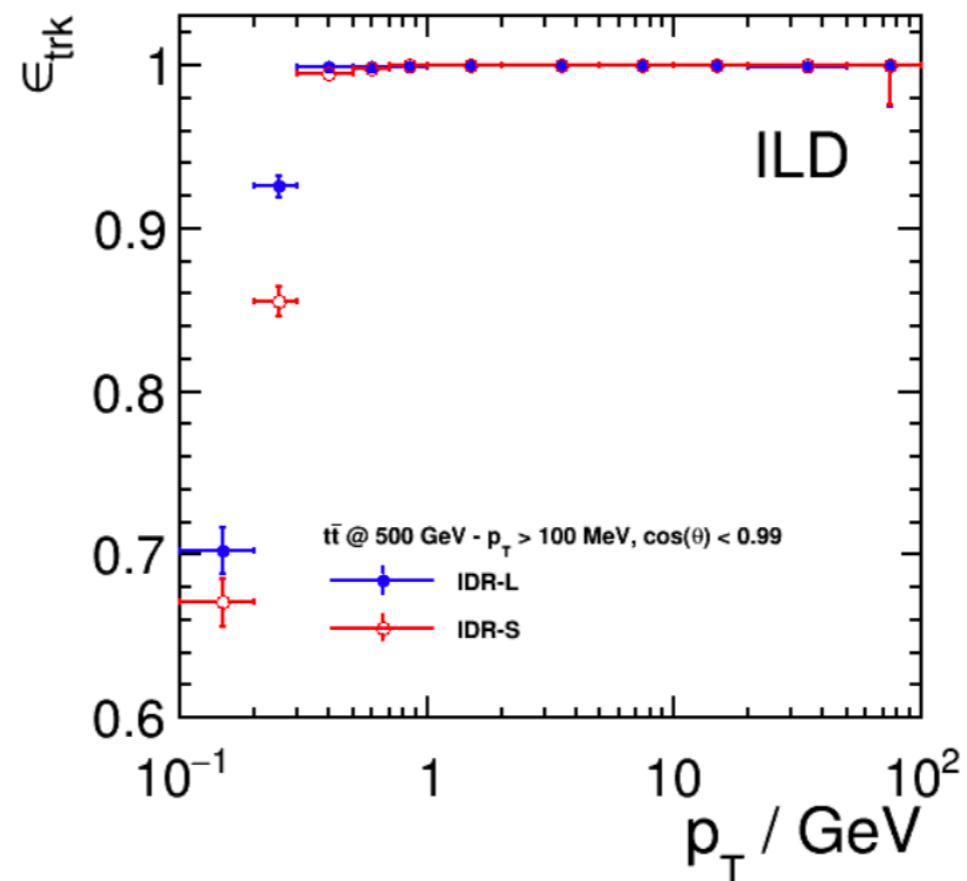
- tracking

momentum resolution

$$\Delta_{1/P_t} = \frac{\Delta P_t}{P_t^2} \sim 2 \times 10^{-5} [\text{GeV}^{-1}]$$

tracking efficiency

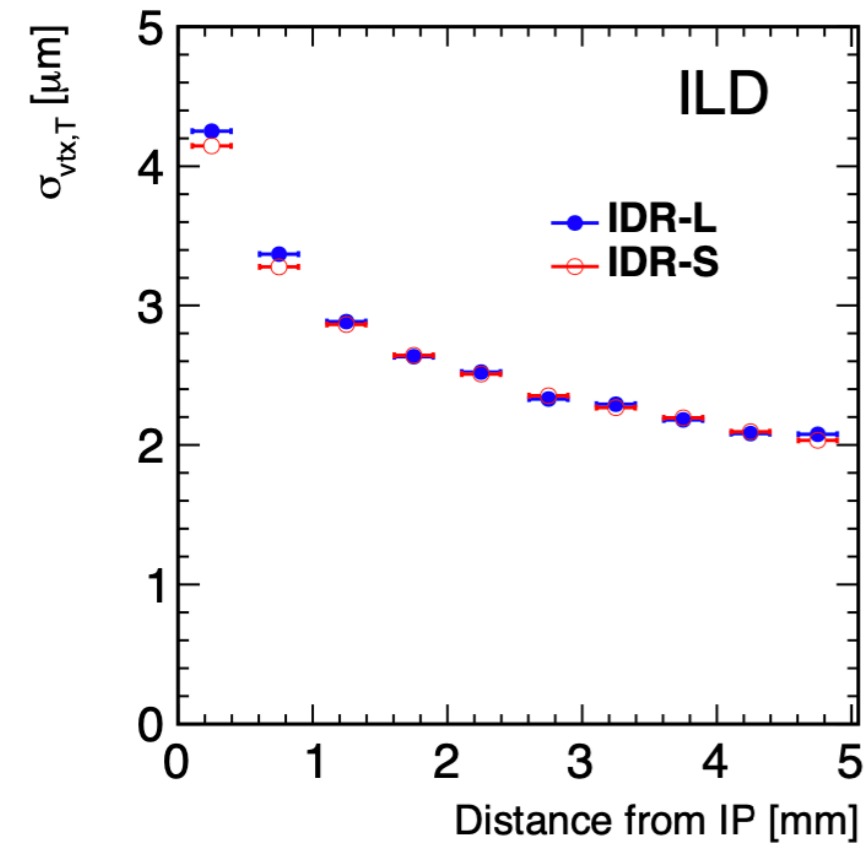
$\sim 100\%$  for  $P_T > 300 \text{ MeV}$



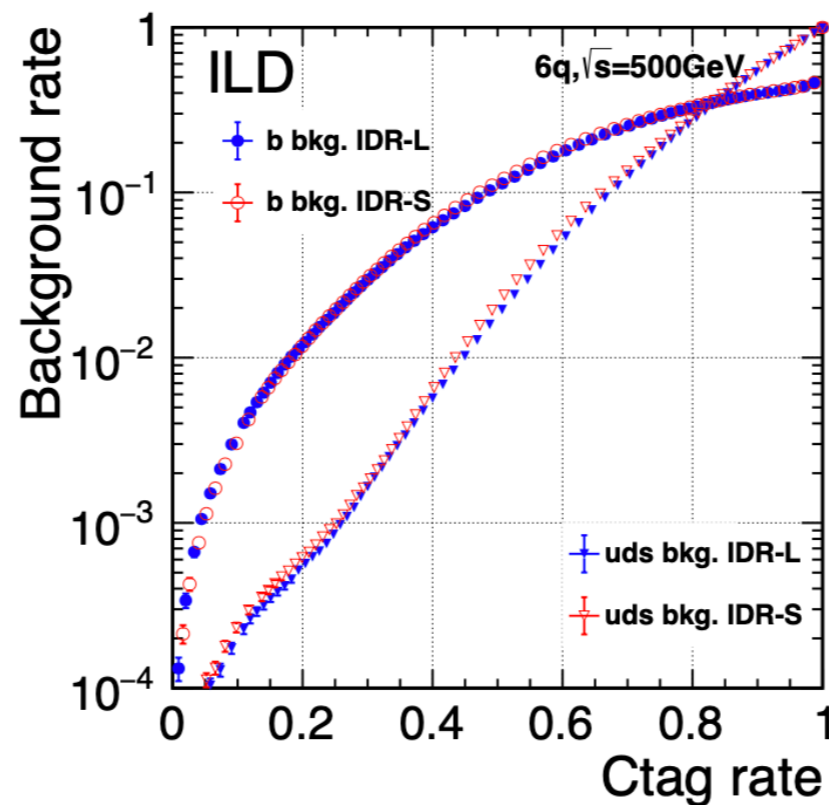
## (ii.3) detectors @ ILC

- typical performance

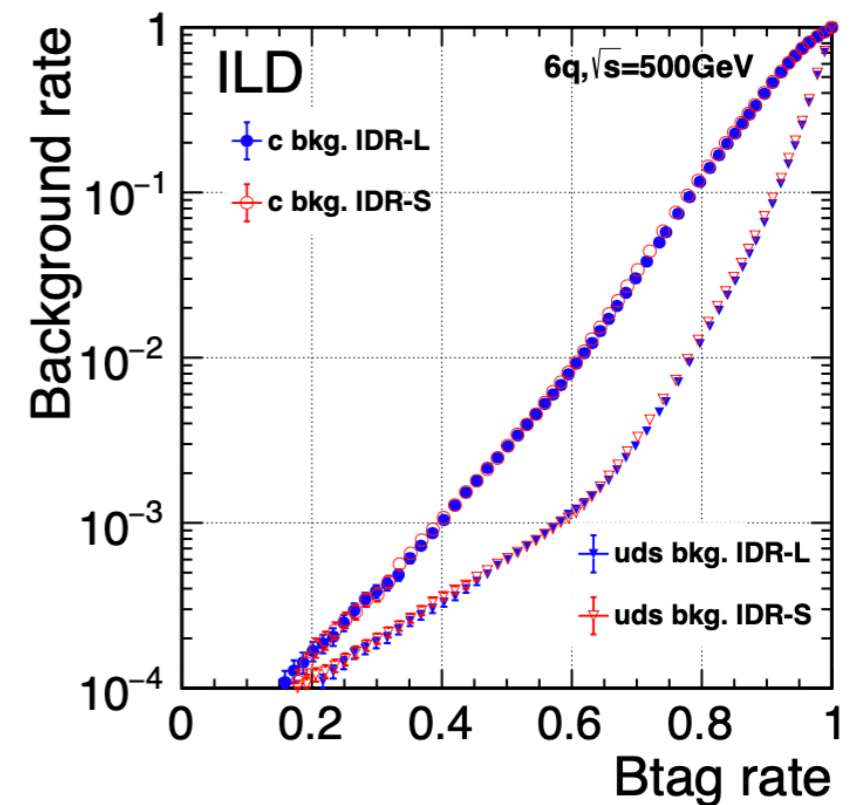
- vertexing



secondary vertex  
position from c-jets



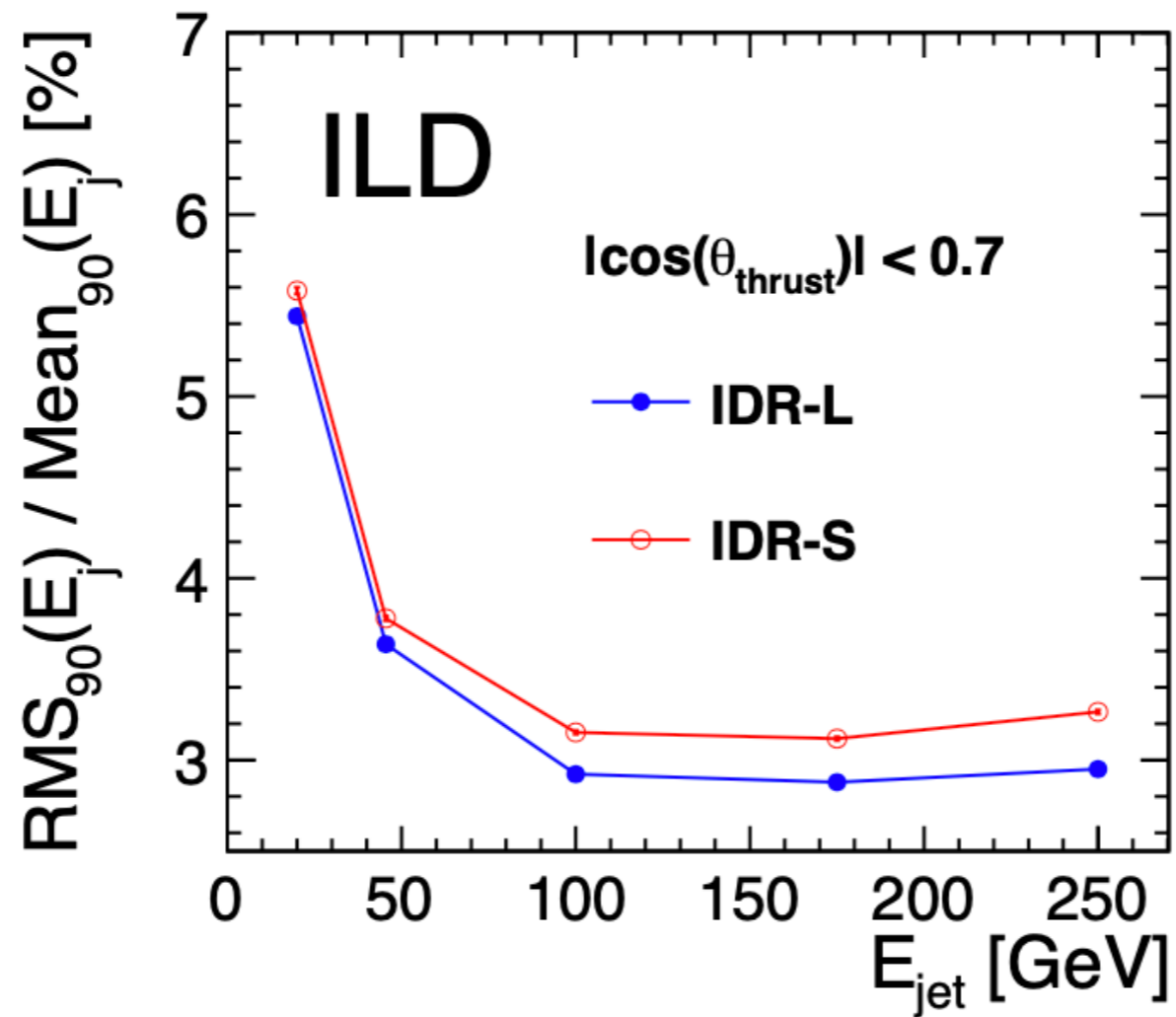
flavor-tagging  
c-jet



flavor-tagging  
b-jet

## (ii.3) detectors @ ILC

- typical performance
  - jet energy resolution





## (ii.4) tools for event simulation & reconstruction

---

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---

- event generator: WHIZARD

including effects from ISR & beamstrahlung

parton showering & hadronization by Pythia

## (ii.4) tools for event simulation & reconstruction

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- detector simulation: GEANT4

  - full detector simulation; including pile-up events

  - one can also use fast simulation (DELPHES, SGV)

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  - vertex reconstruction; jet clustering; flavor tagging (LCFIPlus)

## (ii.4) tools for event simulation & reconstruction

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- physics analysis

- question: why quadrupole magnets are always placed as a pair?



famous “FODO” structure



- question: why quadrupole magnets are always placed as a pair?



famous “FODO” structure

$$x''(s) + \left(\frac{1}{R^2} - k(s)\right)x(s) = 0$$
$$y''(s) + k(s)y(s) = 0$$

(betatron oscillations)

# plan

---

(i) Accelerator

Lecture 1

(ii) Detector

---

(iii) Physics analyses

Lecture 2

(iv) Higgs couplings

---

focus is introduction to principles & concepts  
find details and technicalities from references

(iii) physics analysis @ future  $e+e^-$

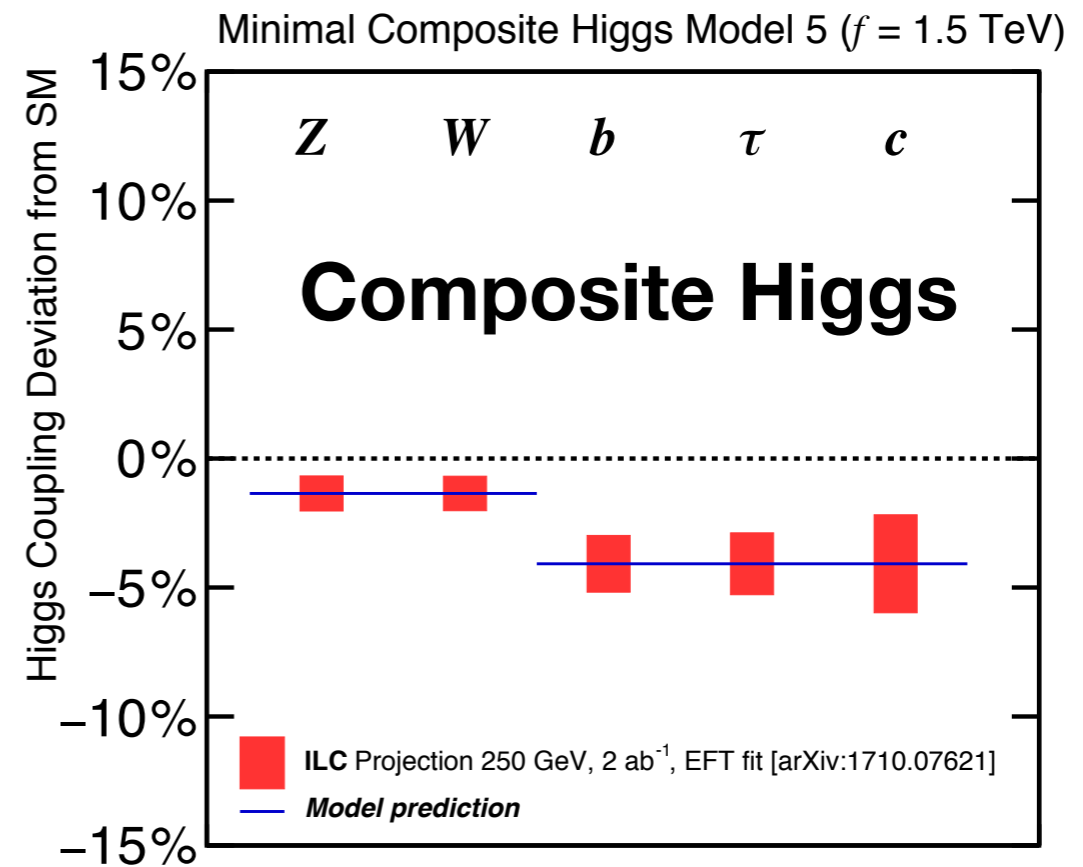
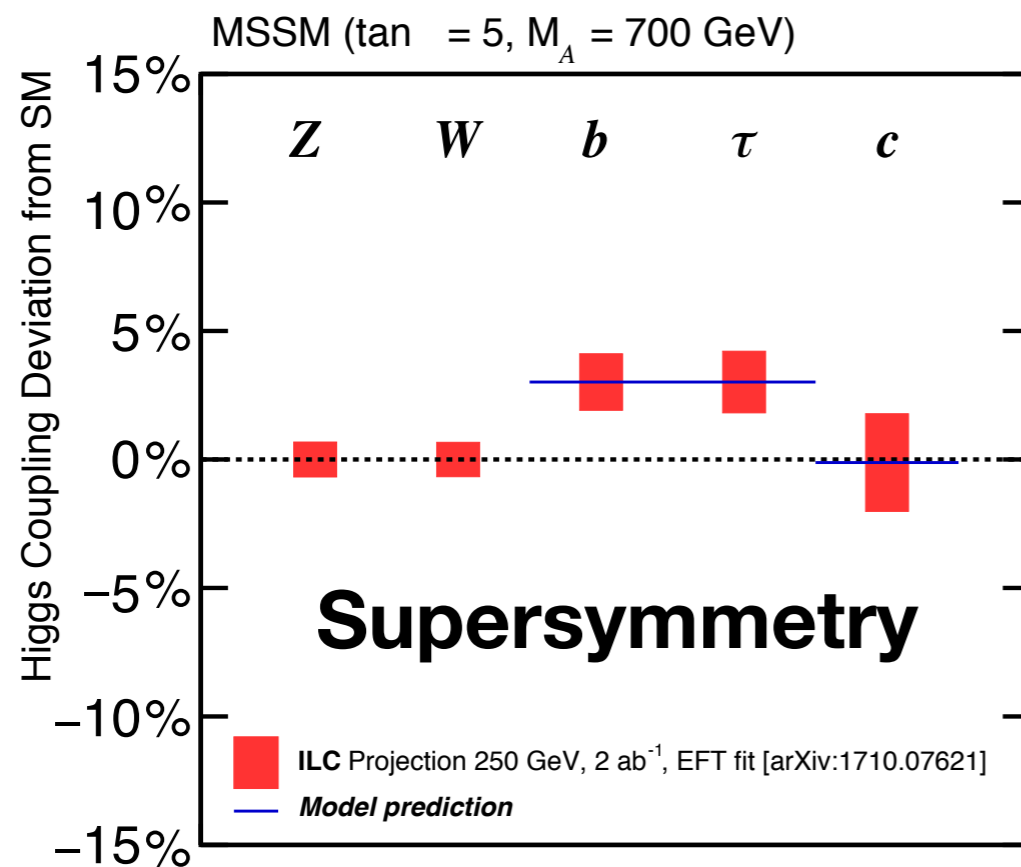
(iii.1) general introduction to observables

(iii.2) a few key Higgs measurements

(iv.1) global fit frameworks

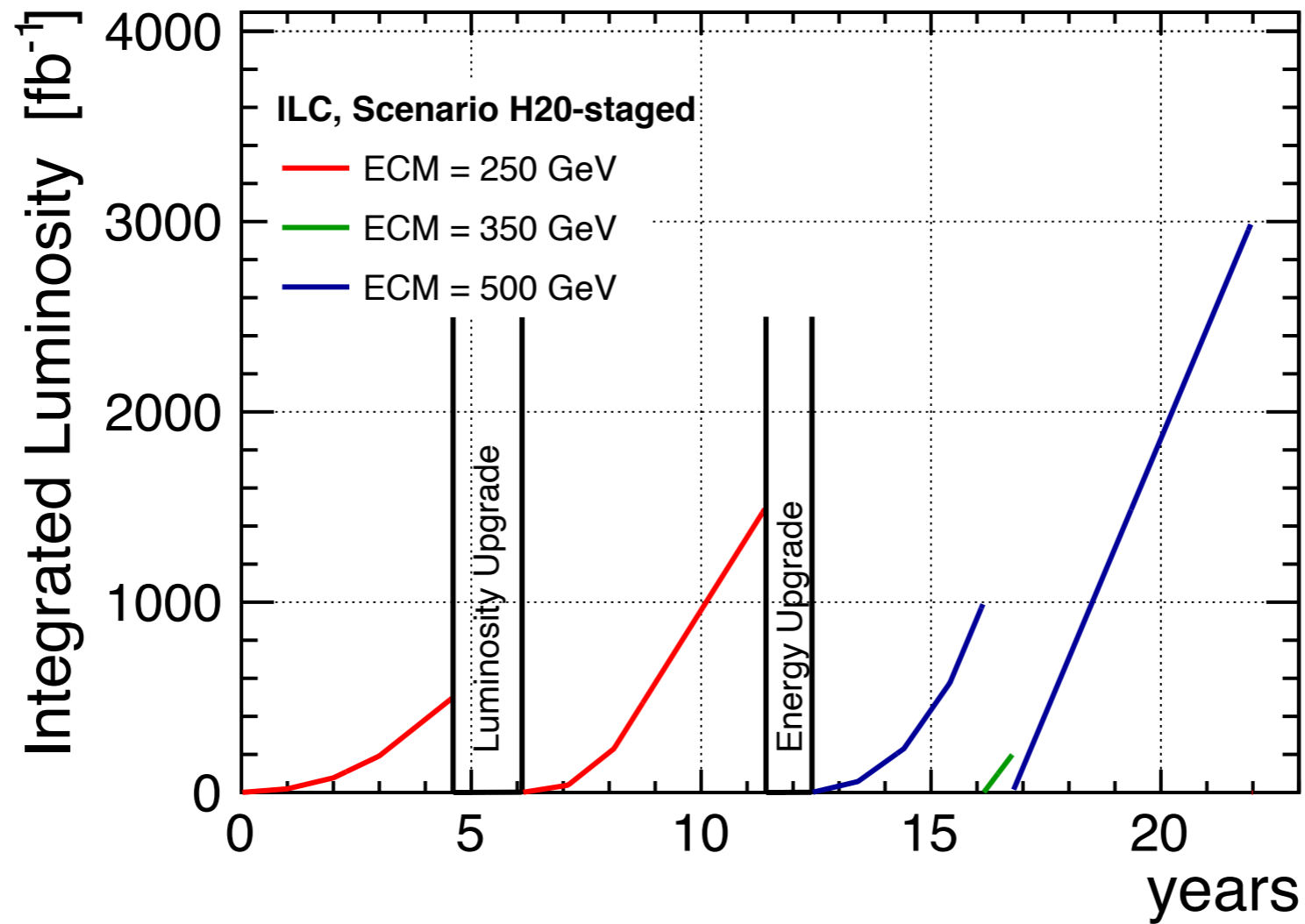
(iv.2) Higgs couplings

precision measurement is meant to find deviations from SM



though physics analysis was often done assuming SM  
estimated meas. uncertainty would not change much,  
except when there are very large deviations

# ILC operating scenario



$\sqrt{s}$	fraction with $\text{sgn}(P(e^-), P(e^+)) =$			
	(-,+)	(+,-)	(-,-)	(+,+)
	[%]	[%]	[%]	[%]
250 GeV (2015)	67.5	22.5	5	5
250 GeV (update)	<b>45</b>	<b>45</b>	5	5
350 GeV	67.5	22.5	5	5
500 GeV	40	40	10	10



# proposals of future lepton colliders

	$\sqrt{s}$	beam polarisation	$\int L dt$ for Higgs	R&D phase
<b>ILC</b>	0.1 - 1 TeV	e-: 80% e+: 30% (20%)	2000 fb <sup>-1</sup> @ 250 GeV 200 fb <sup>-1</sup> @ 350 GeV 4000 fb <sup>-1</sup> @ 500 GeV 8000 fb <sup>-1</sup> @ 1 TeV	TDR
<b>CLIC</b>	0.35 - 3 TeV	e-: (80%) e+: 0%	500 fb <sup>-1</sup> @ 380 GeV 1500 fb <sup>-1</sup> @ 1.4 TeV 2500 fb <sup>-1</sup> @ 3 TeV	CDR
<b>CEPC</b>	90 - 240 GeV	e-: 0% e+: 0%	5600 fb <sup>-1</sup> @ 240 GeV	CDR
<b>FCC-ee</b>	90 - 365 GeV	e-: 0% e+: 0%	5000 fb <sup>-1</sup> @ 240 GeV 1500 fb <sup>-1</sup> @ 365 GeV	CDR

common: Higgs factory with  $O(10^6)$  Higgs events



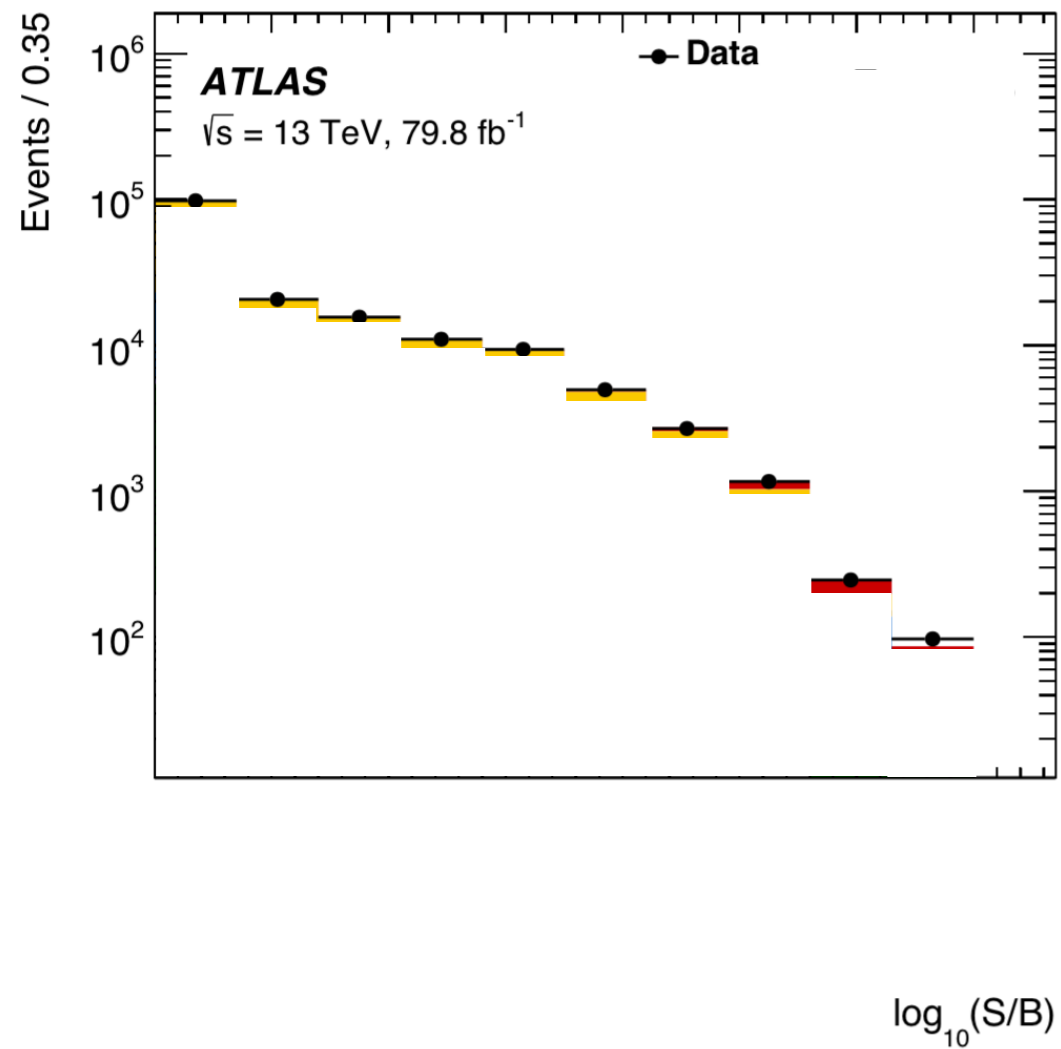
“that is much much easier, infinitely easier,  
on a  $e^+e^-$  machine than on a proton machine”



youtube: Burton Richter #mylinearcollider, 2015

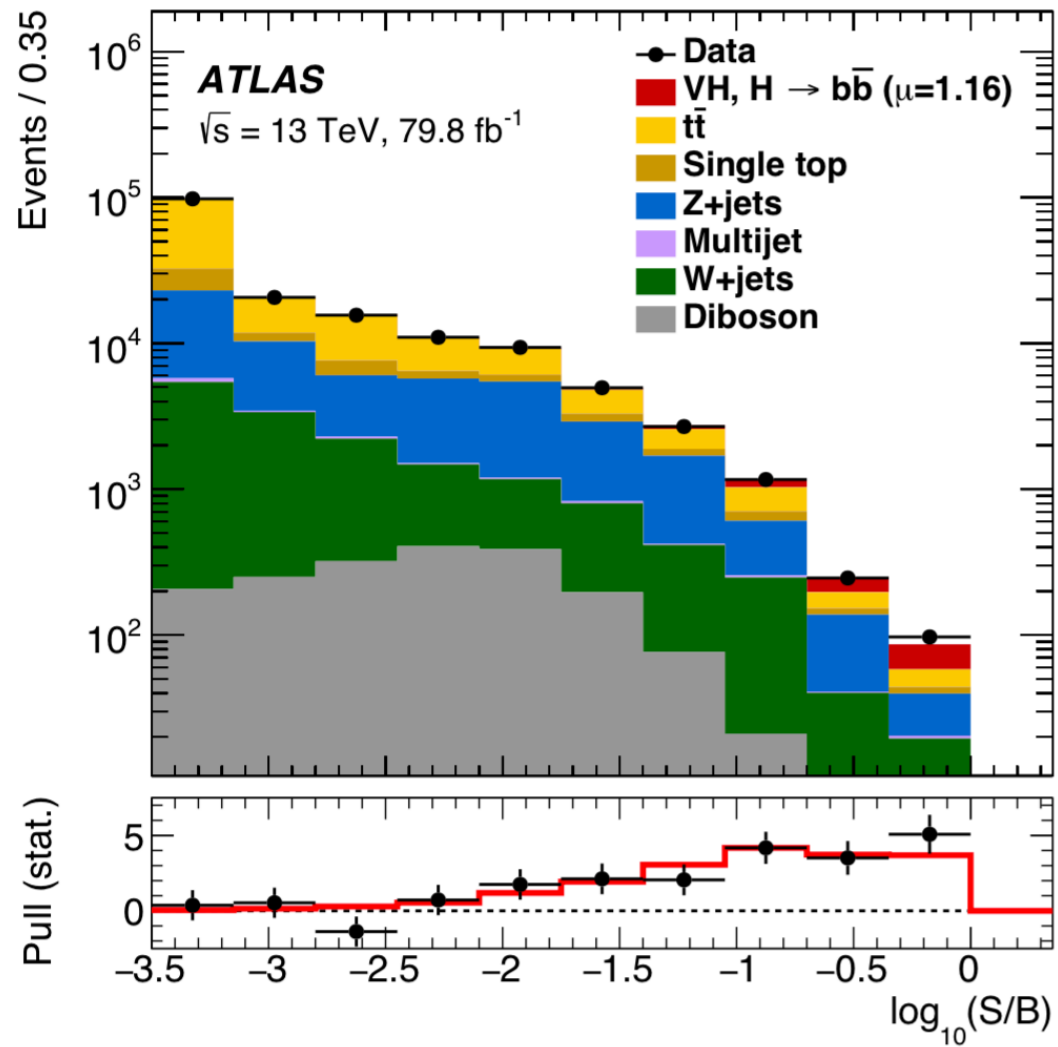
# for example: H->bb discovery

at LHC



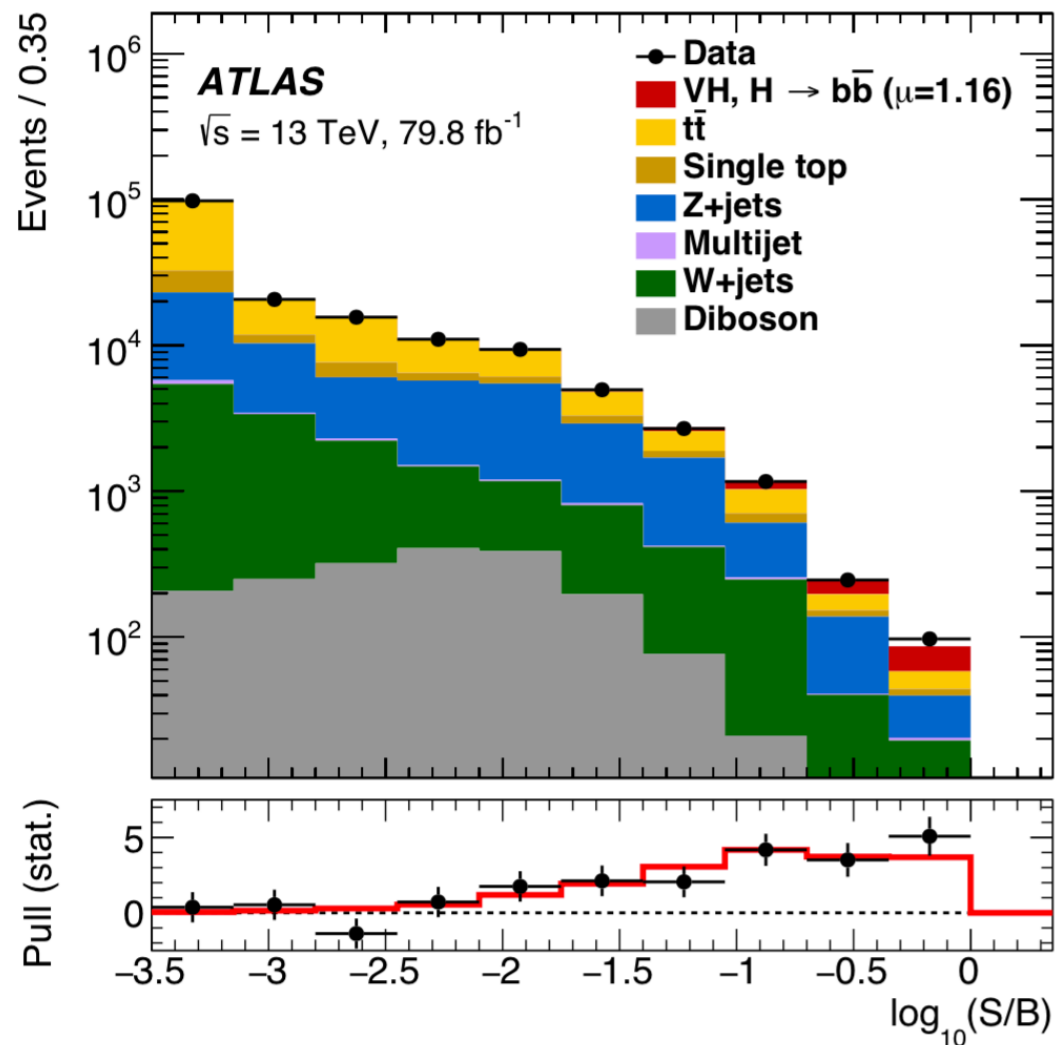
# for example: H->bb discovery

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# for example: H->bb discovery

at LHC



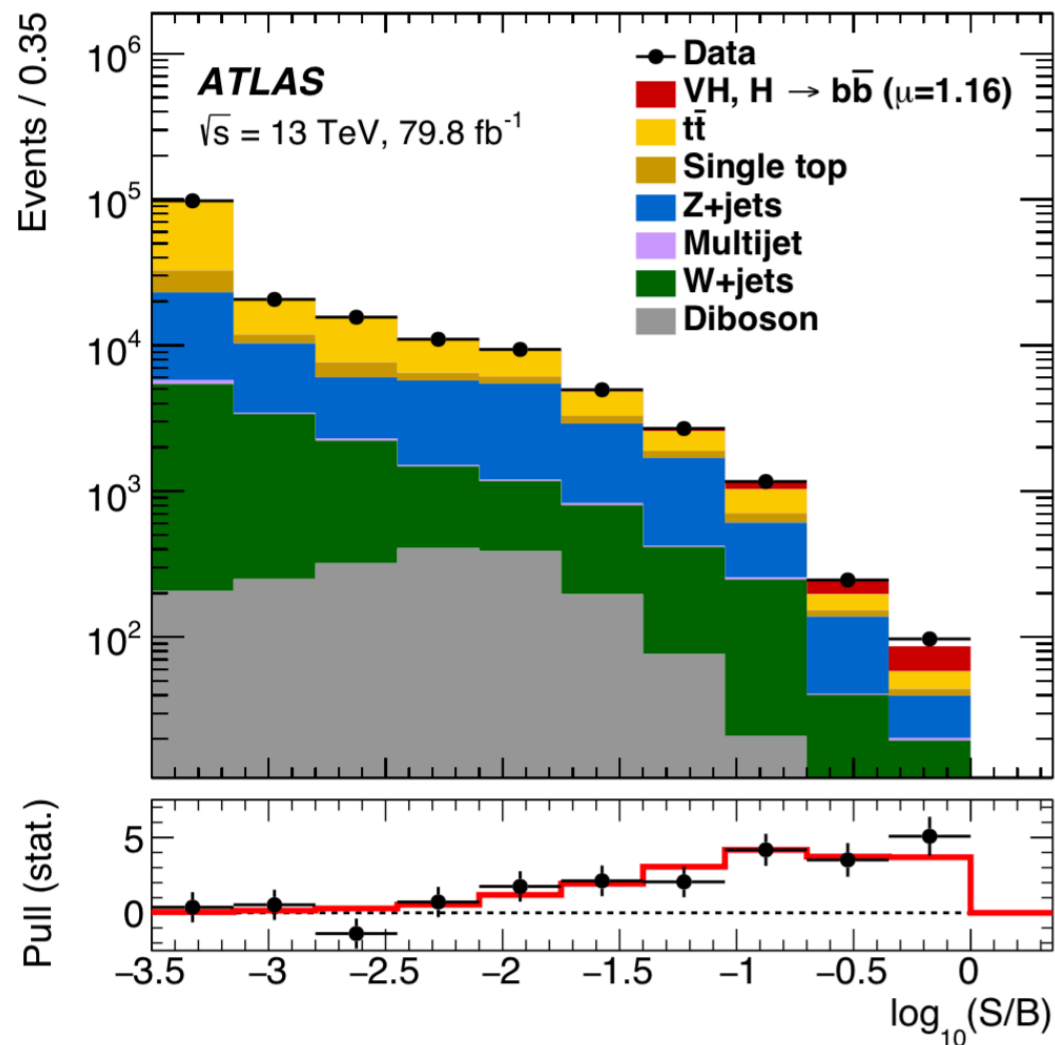
# of Higgs produced:  $\sim 4,000,000$

significance:  $5.4\sigma$

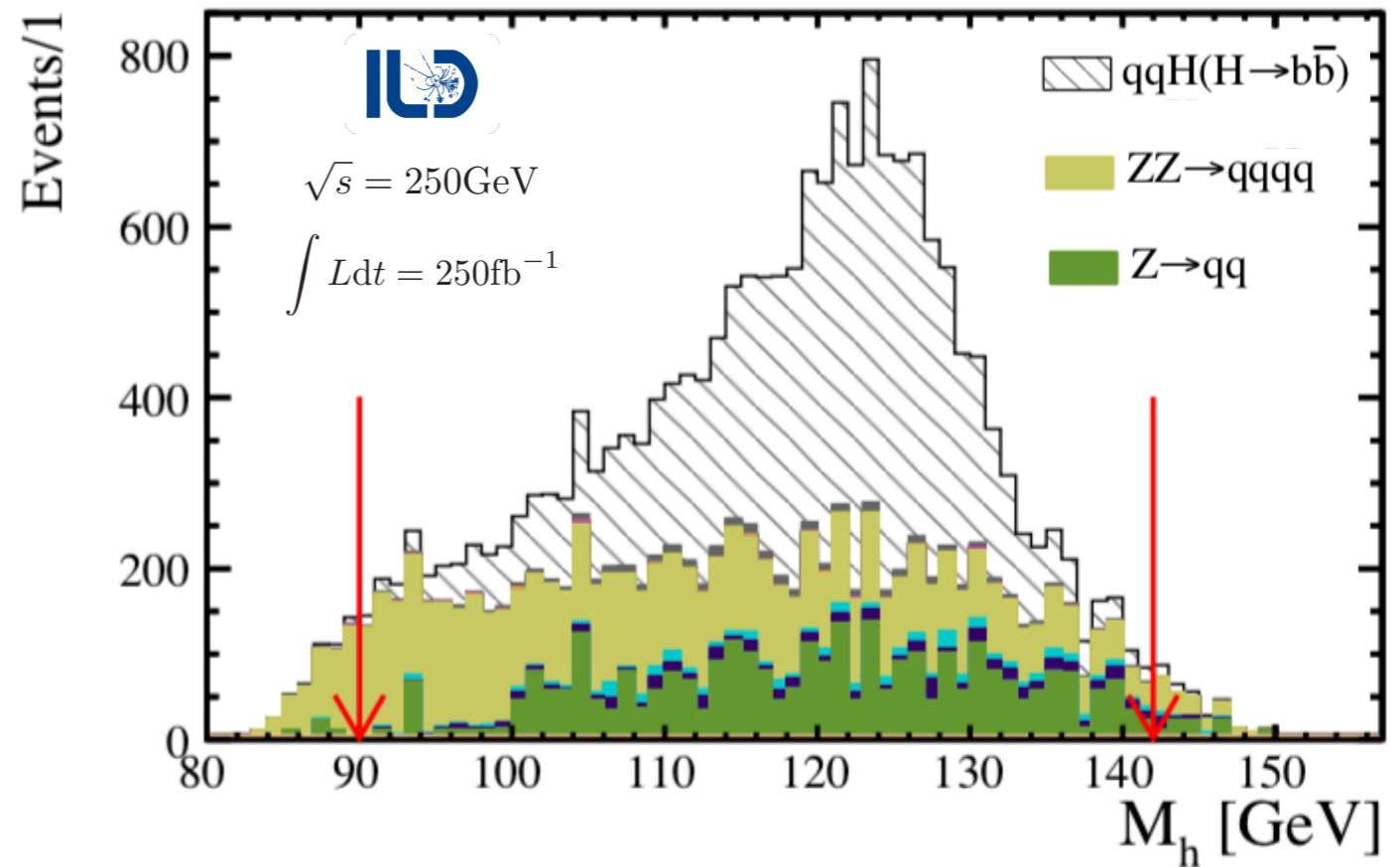
(ATLAS, 1808.08238; CMS, 1808.08242)

# for example: H->bb discovery

at LHC



at e+e-



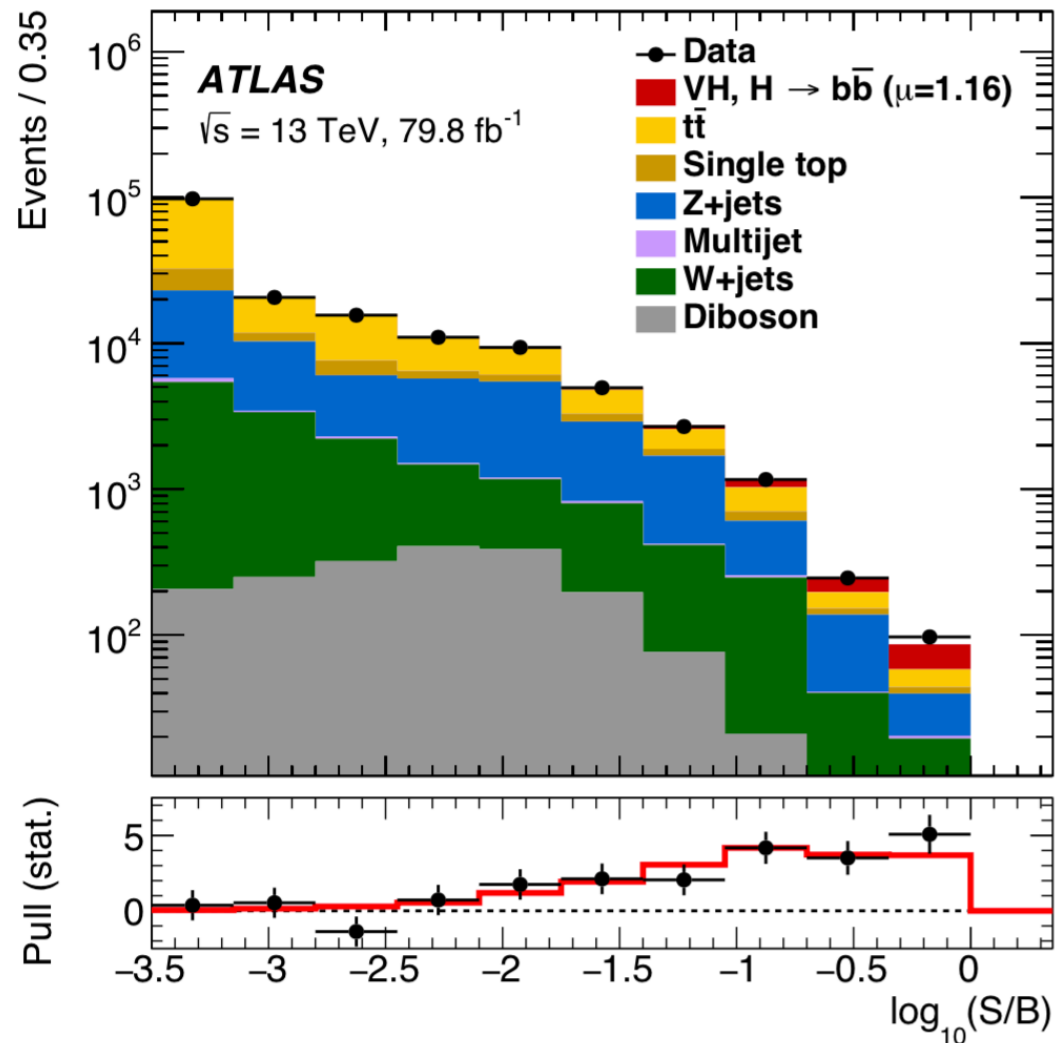
# of Higgs produced:  $\sim 4,000,000$

significance:  $5.4\sigma$

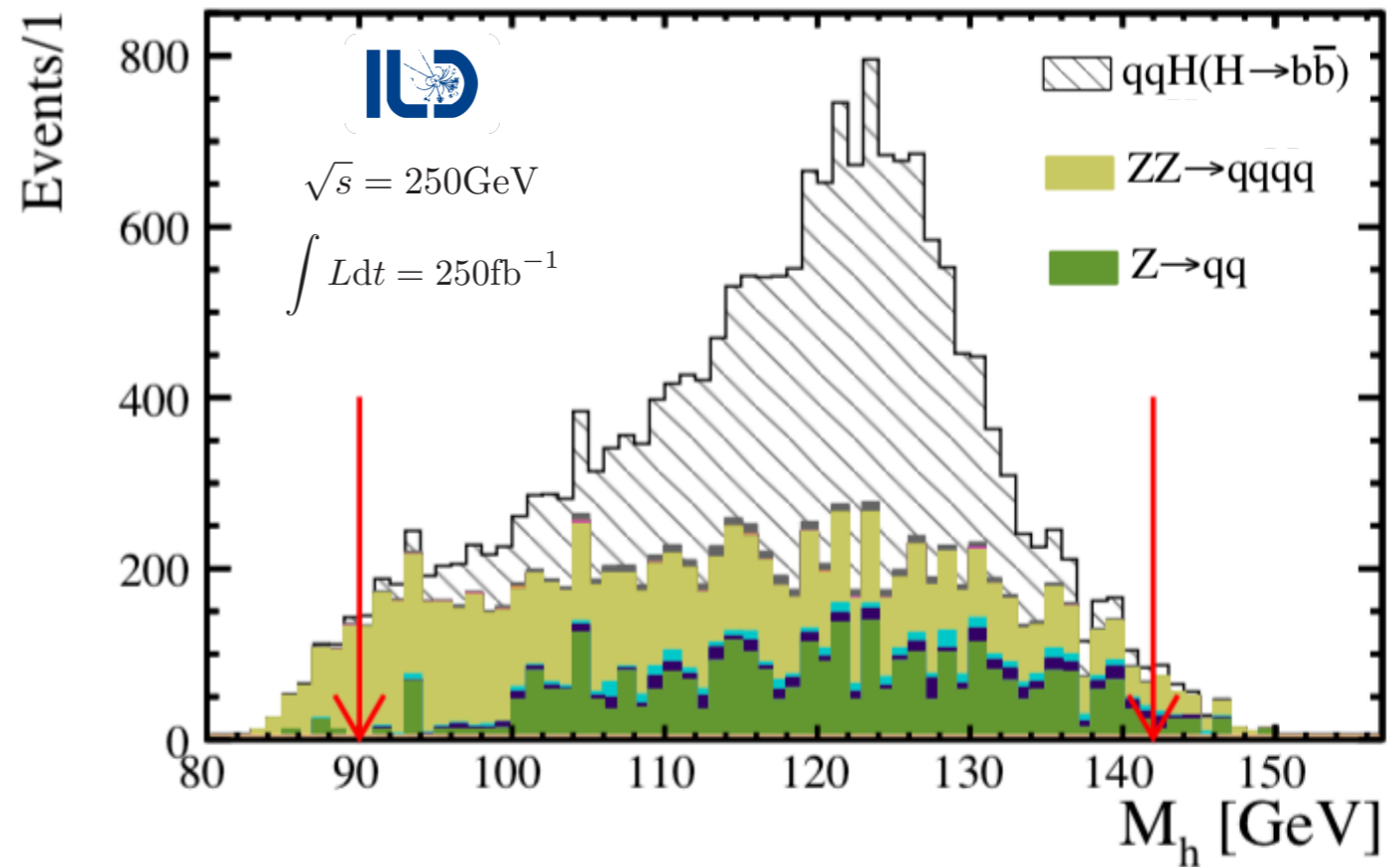
(ATLAS, 1808.08238; CMS, 1808.08242)

# for example: H->bb discovery

at LHC



at e+e-



*with 1.3 fb<sup>-1</sup> data ~ 2 days running*

# of Higgs produced: **~4,000,000**

**~400**

significance: **5.4 $\sigma$**

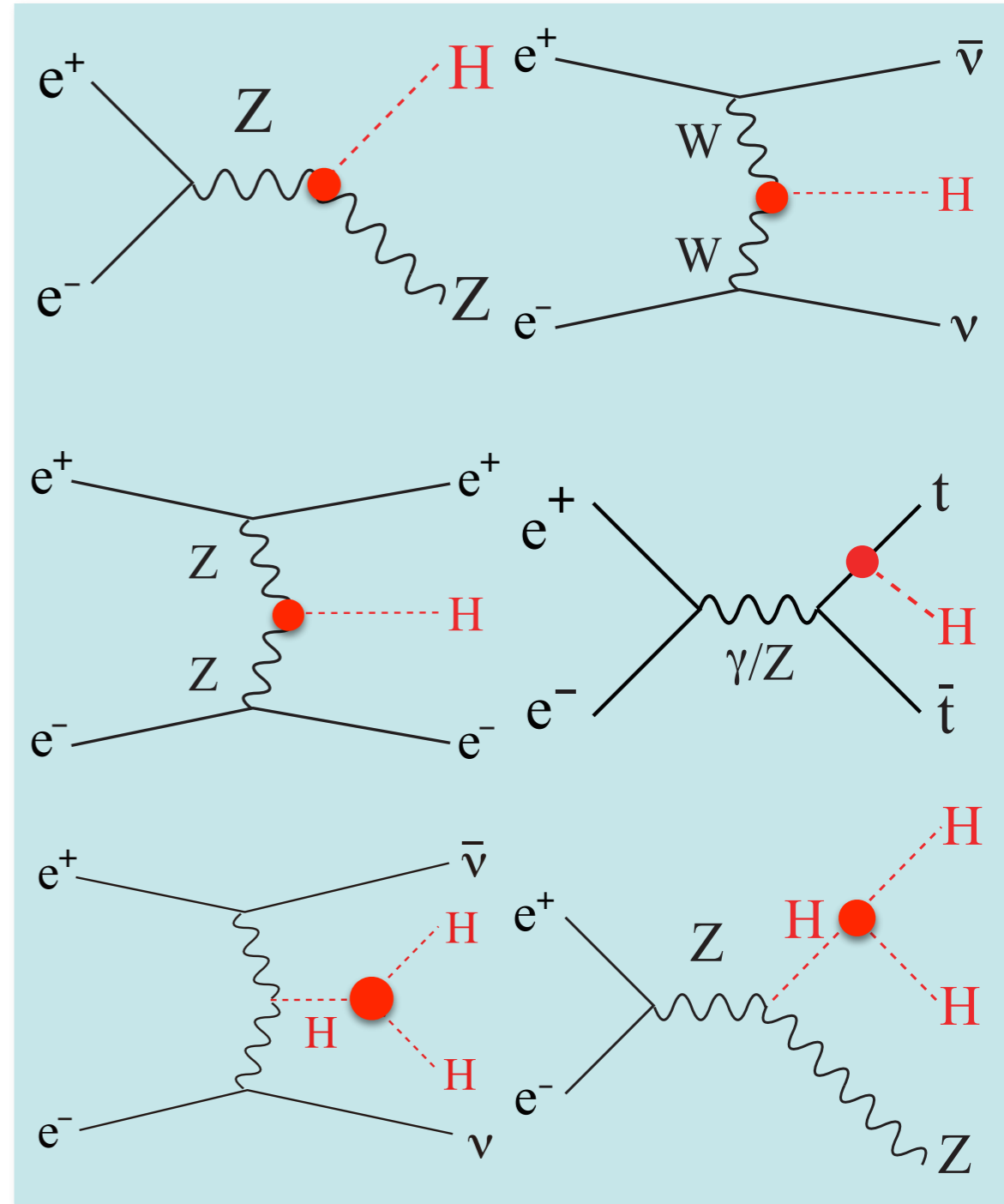
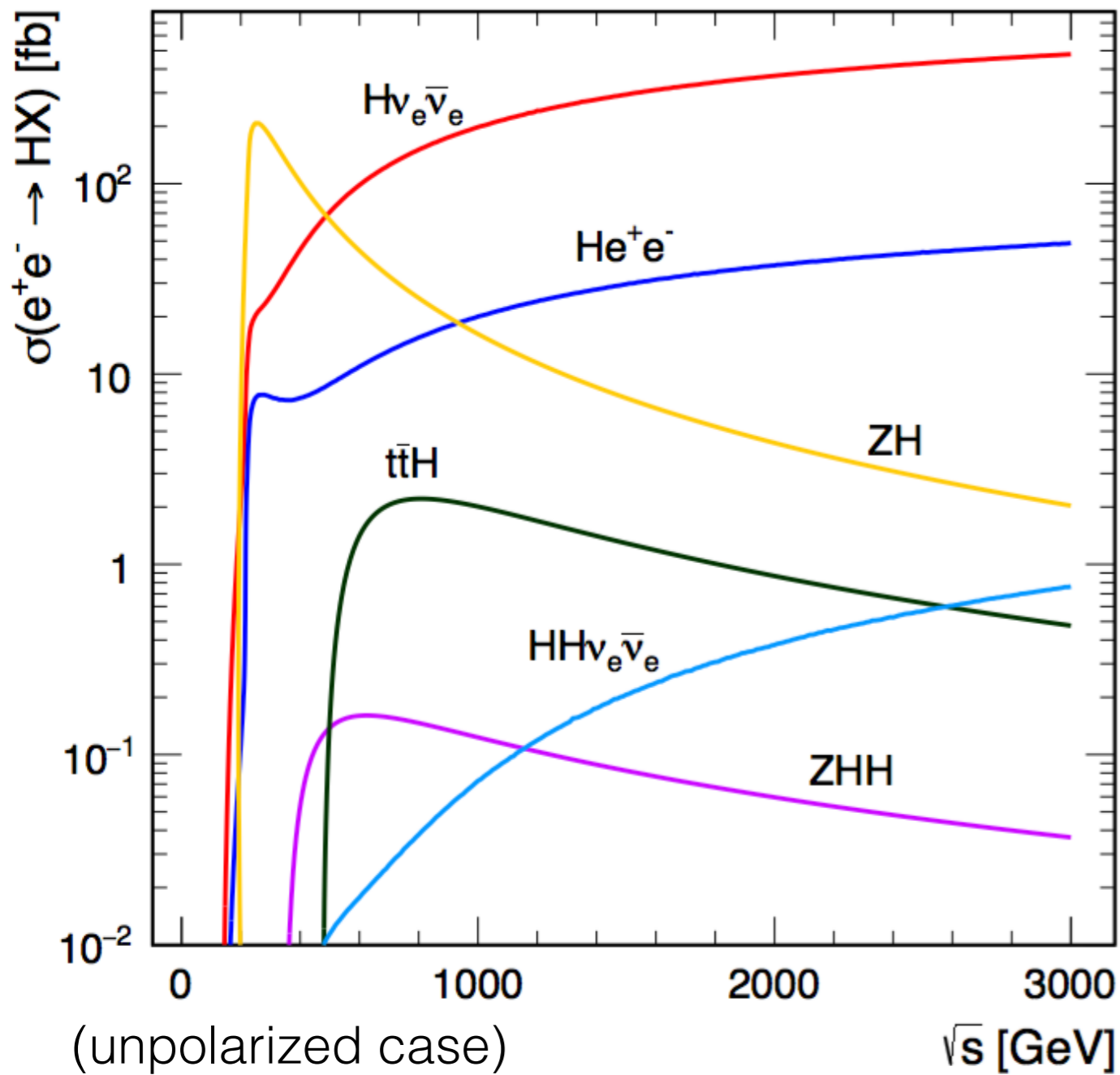
**5.2 $\sigma$**

(ATLAS, 1808.08238; CMS, 1808.08242)

(Ogawa, PhD Thesis, ILD full simulation)



# Higgs productions at $e^+e^-$



- two apparent important thresholds:  $\sqrt{s} \sim \mathbf{250}$  GeV for ZH,  $\sim \mathbf{500}$  GeV for ZHH and ttH
- + another threshold for t t-bar, important for vacuum stability

# what are the direct experimental observables

- ☑  $\sigma_{ZH}$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow bb), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow bb)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow cc), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow cc)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow gg), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow gg)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow WW^*), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow WW^*)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow ZZ^*), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow ZZ^*)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \tau\tau), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow \tau\tau)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \gamma\gamma / \gamma Z), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow \gamma\gamma / \gamma Z)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \mu\mu), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow \mu\mu)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \text{inv.} / \text{exotic})$
- ☑  $\sigma_{ttH} \times \text{Br}(H \rightarrow bb)$
- ☑  $\sigma_{ZH\bar{H}} \times \text{Br}^2(H \rightarrow bb), \sigma_{\nu\nu H\bar{H}} \times \text{Br}^2(H \rightarrow bb)$

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- ☑  $\sigma_{ZH}$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow bb), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow bb)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow cc), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow cc)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow gg), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow gg)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow WW^*), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow WW^*)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow ZZ^*), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow ZZ^*)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \tau\tau), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow \tau\tau)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \gamma\gamma / \gamma Z), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow \gamma\gamma / \gamma Z)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \mu\mu), \sigma_{\nu\nu H} \times \text{Br}(H \rightarrow \mu\mu)$
- ☑  $\sigma_{ZH} \times \text{Br}(H \rightarrow \text{inv.} / \text{exotic})$
- ☑  $\sigma_{ttH} \times \text{Br}(H \rightarrow bb)$
- ☑  $\sigma_{ZH\bar{H}} \times \text{Br}^2(H \rightarrow bb), \sigma_{\nu\nu H\bar{H}} \times \text{Br}^2(H \rightarrow bb)$

note the important complementarity with LHC

# what are the direct experimental observables

estimates at ILC by simulation

-80%  $e^-$ , +30%  $e^+$  polarization:

	250 GeV		350 GeV		500 GeV	
	$Zh$	$\nu\bar{\nu}h$	$Zh$	$\nu\bar{\nu}h$	$Zh$	$\nu\bar{\nu}h$
$\sigma$ [50–53]	2.0		1.8		4.2	
$h \rightarrow invis.$ [54, 55]	0.86		1.4		3.4	
$h \rightarrow b\bar{b}$ [56–59]	1.3	8.1	1.5	1.8	2.5	0.93
$h \rightarrow c\bar{c}$ [56, 57]	8.3		11	19	18	8.8
$h \rightarrow gg$ [56, 57]	7.0		8.4	7.7	15	5.8
$h \rightarrow WW$ [59–61]	4.6		5.6 *	5.7 *	7.7	3.4
$h \rightarrow \tau\tau$ [63]	3.2		4.0 *	16 *	6.1	9.8
$h \rightarrow ZZ$ [2]	18		25 *	20 *	35 *	12 *
$h \rightarrow \gamma\gamma$ [64]	34 *		39 *	45 *	47	27
$h \rightarrow \mu\mu$ [65, 66]	72 *		87 *	160 *	120 *	100 *
$a$ [27]	7.6		2.7 *		4.0	
$b$	2.7		0.69 *		0.70	
$\rho(a, b)$	-99.17		-95.6 *		-84.8	

(arXiv: 1708.08912; numbers are in %, for nominal  $\int L dt = 250 \text{ fb}^{-1}$ )

## (iii.2) a few key Higgs measurements

---

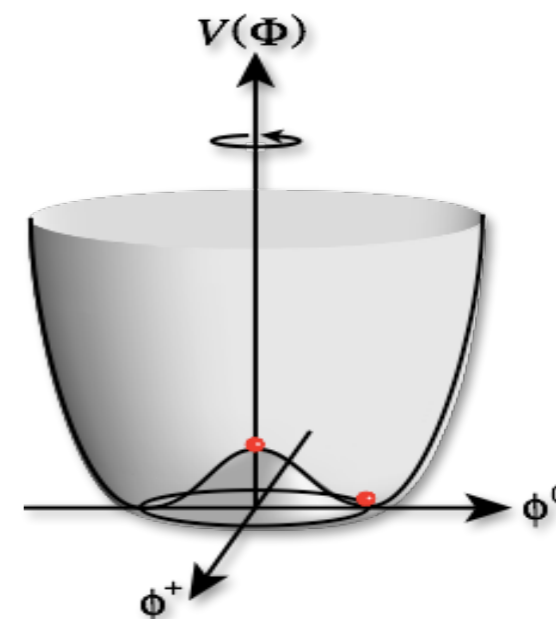
I will explain some details in one/two analyses, talk very briefly in other ones; mainly focus on physics issues instead of analysis techniques, which are important as well and can be learned from the references.

- (1) Higgs self-coupling analysis
- (2) recoil mass analysis
- (3) Higgs CP
- (4)  $H \rightarrow bb/cc/gg$
- (5) Higgs total width
- (6)  $H \rightarrow$ invisible
- (7) top-Yukawa coupling
- (8) ...

as usual, selection is always biased

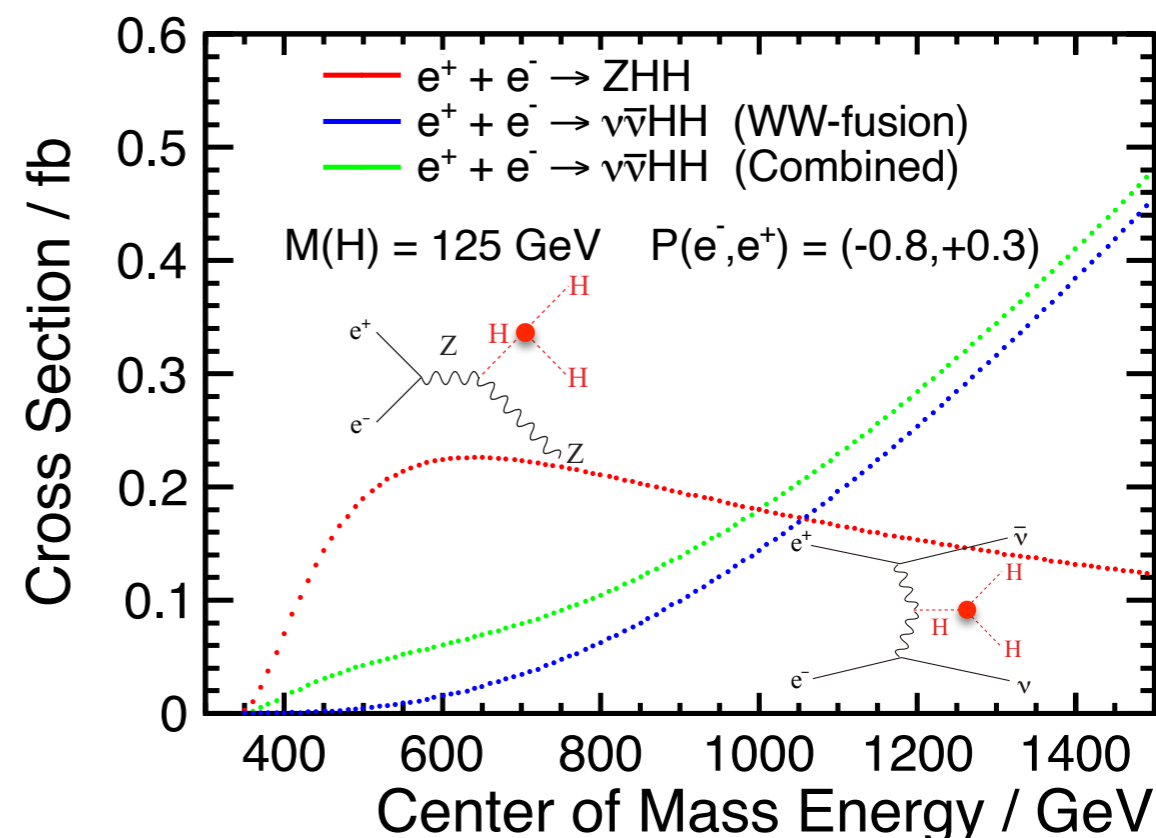
## (iii.2.1) Higgs self-coupling

- direct probe of the Higgs potential
- large deviation ( $> 20\%$ ) motivated by electroweak baryogenesis, could be  $\sim 100\%$
- $\sqrt{s} \geq 500$  GeV,  $e^+e^- \rightarrow ZHH$
- $\sqrt{s} \geq 1$  TeV,  $e^+e^- \rightarrow \nu\nu HH$  (WW-fusion)



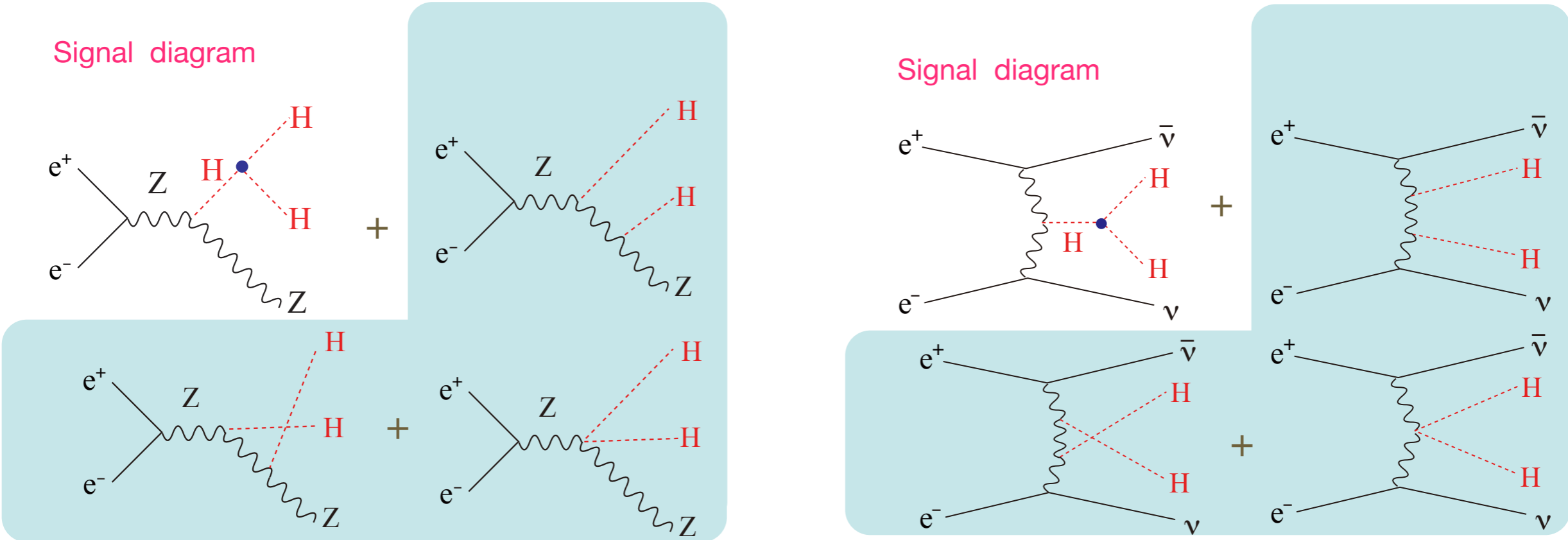
ILC	$\Delta\lambda_{HHH}/\lambda_{HHH}$	500 GeV	+ 1 TeV
	Snowmass	46%	13%
	H20	27%	10%

CLIC	1.4 TeV	+3 TeV
	24%	11%





# physics issues: diagrams for double Higgs production



$$\sigma = S\lambda^2 + I\lambda + B$$

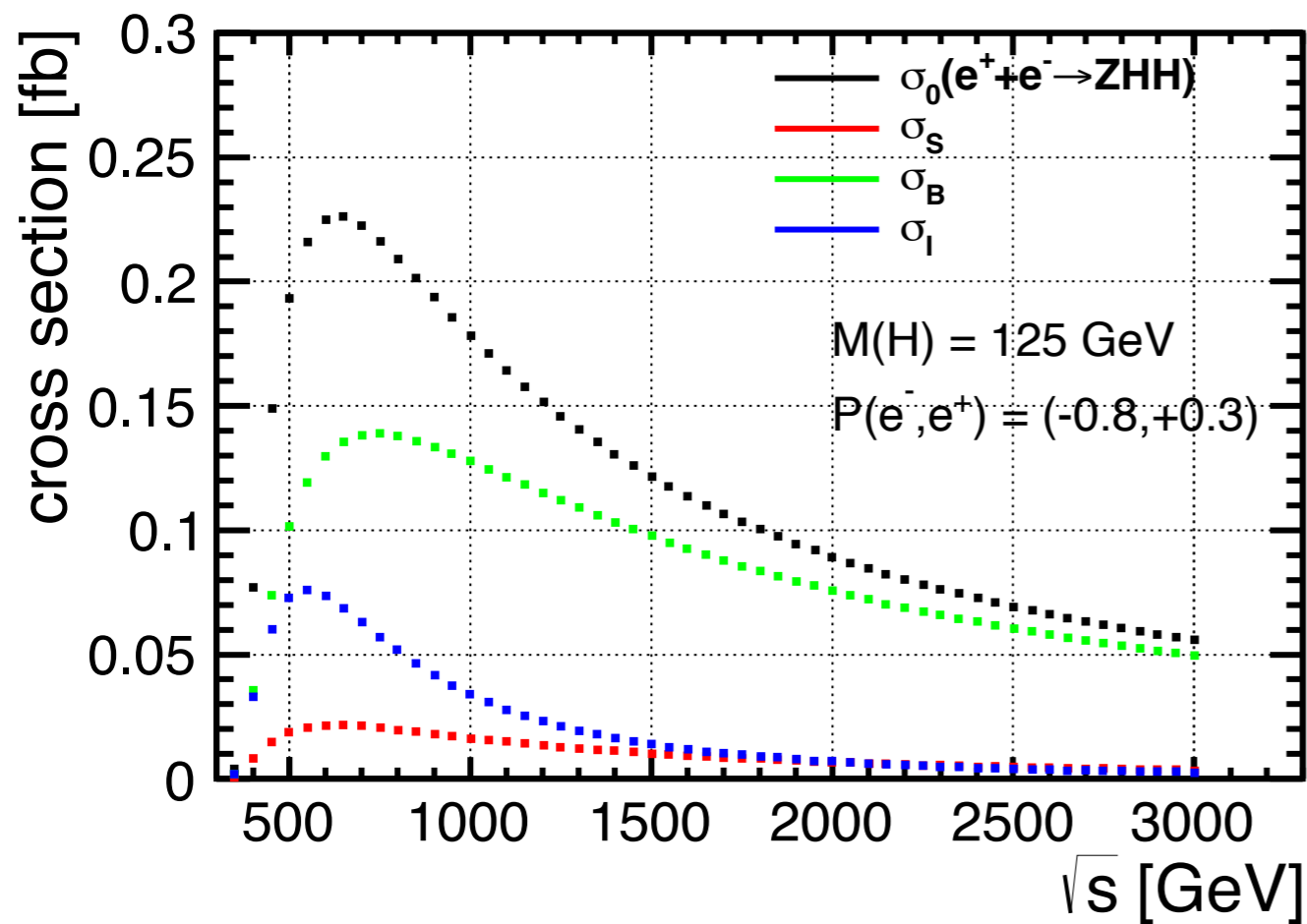
(signal diagram) (interference) (background diagram)

- the sensitivity of  $\lambda$  is determined not just by the apparent total cross section, in fact is determined by S and I term;
- if B term dominates, measurement would be very difficult

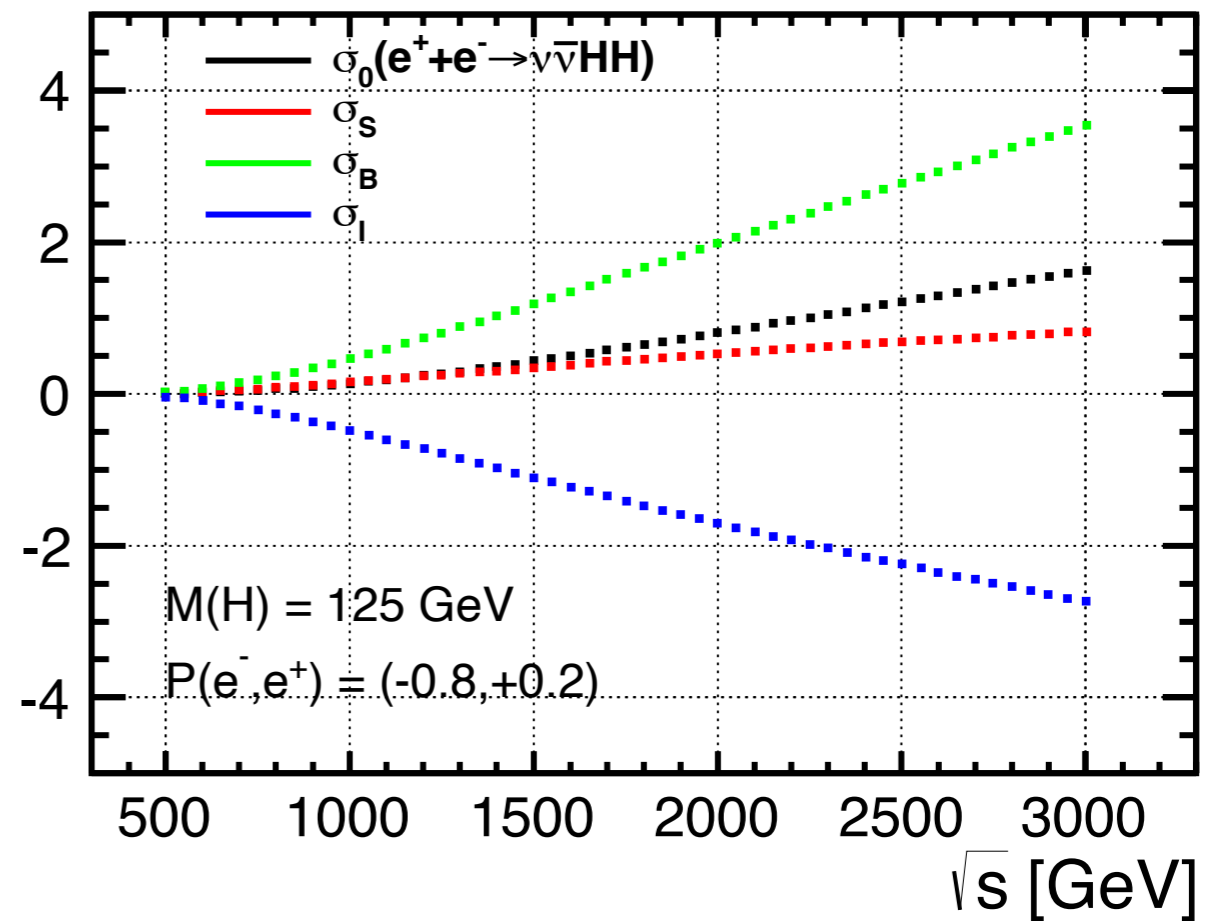
# double Higgs x-section: breakdown for each diagram

$$\sigma = S\lambda^2 + I\lambda + B$$

ZHH



$\nu\nu HH$

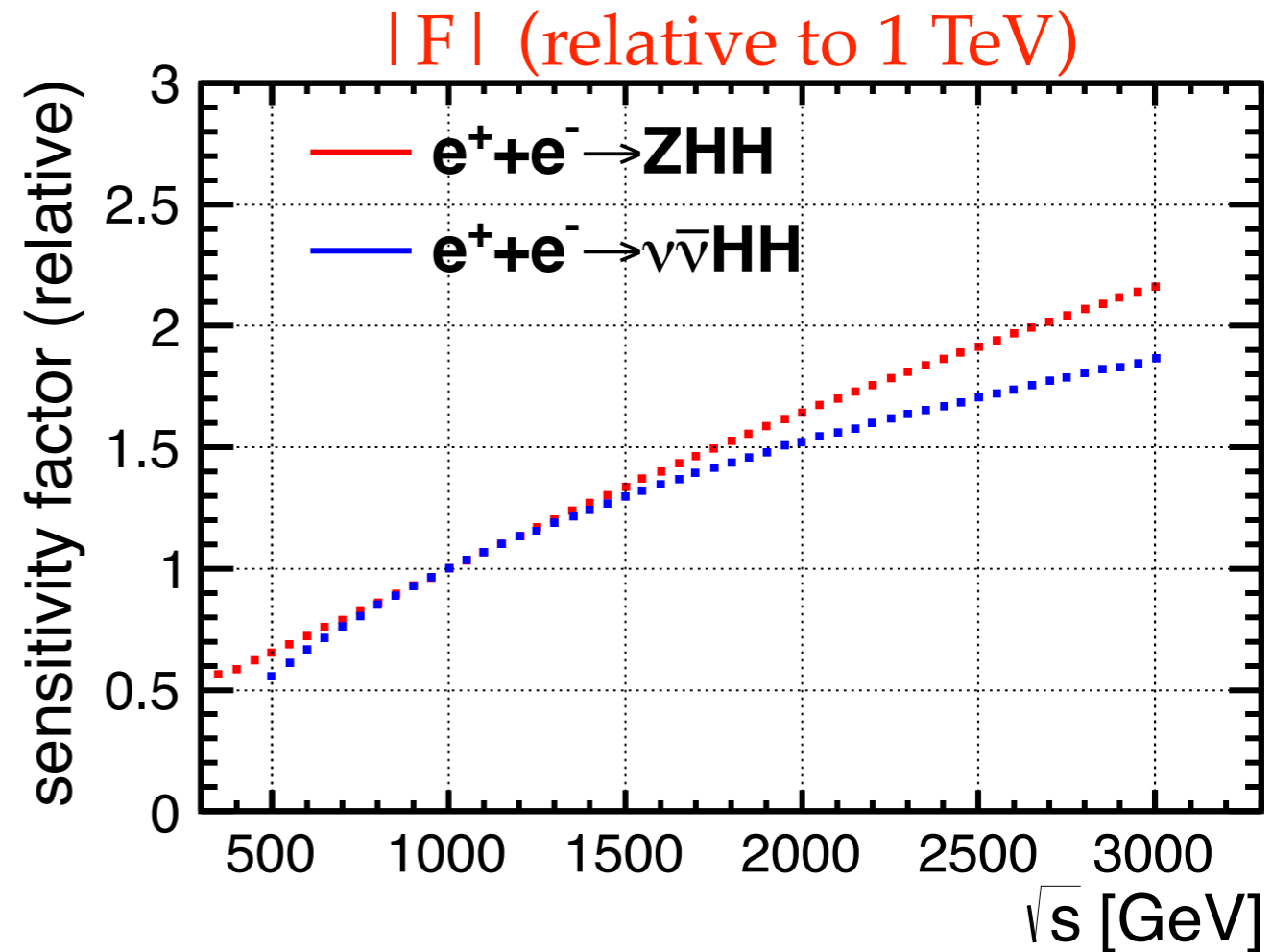
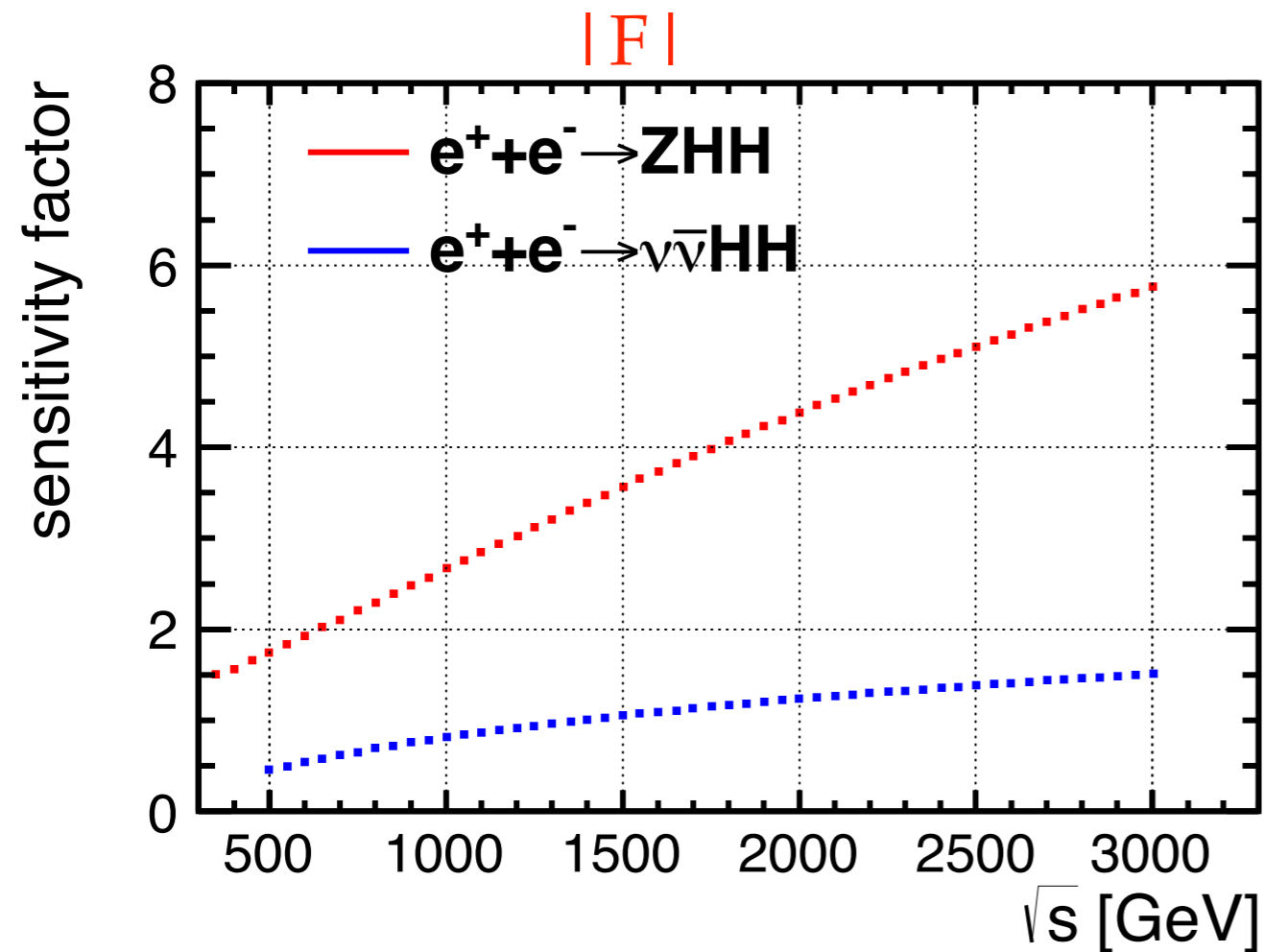


# Higgs self-coupling: from $\sigma$ to $\lambda$

$$\frac{\delta\lambda}{\lambda} = F \cdot \frac{\delta\sigma}{\sigma}$$

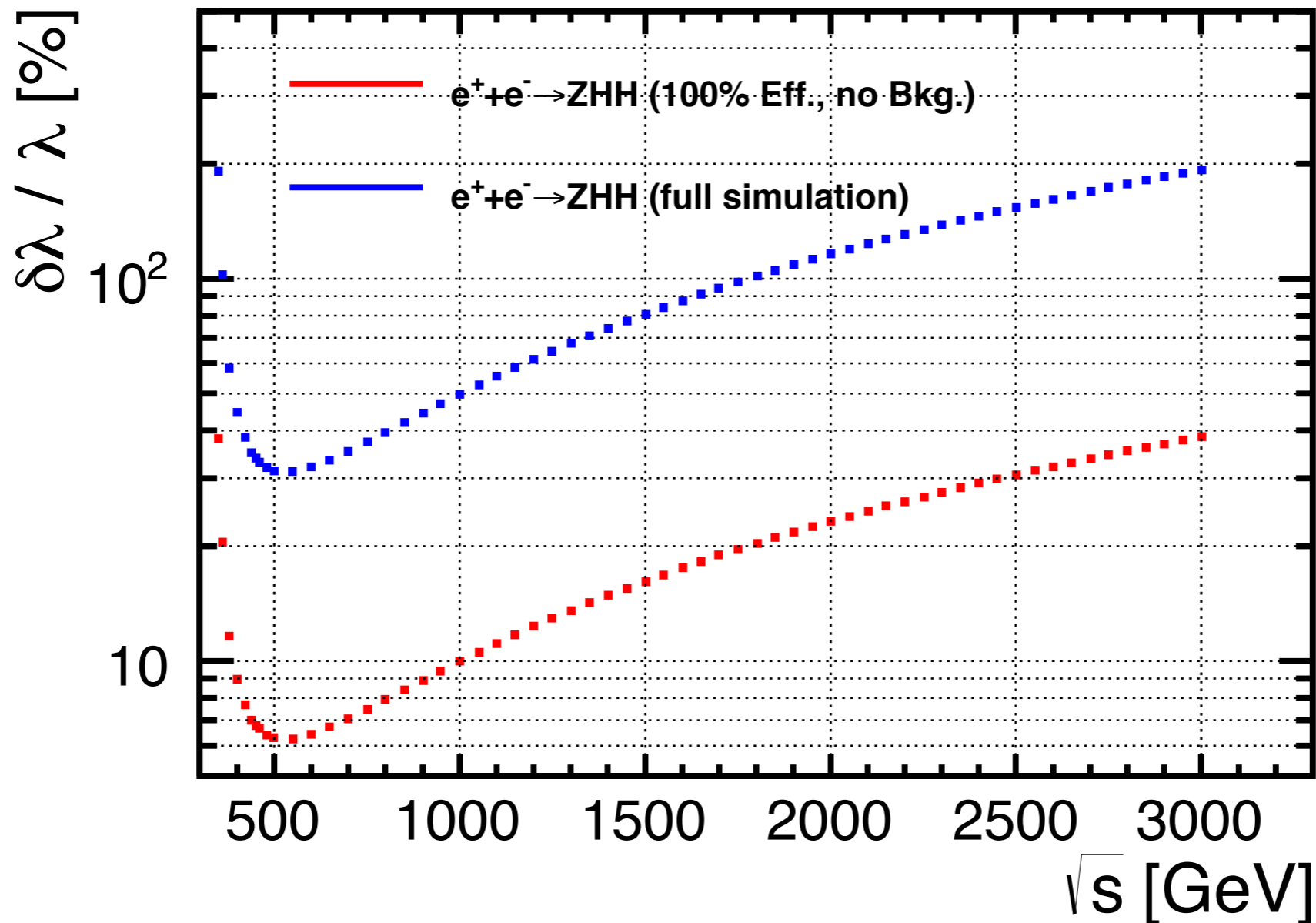
$$F = \frac{\sigma}{2S\lambda^2 + I\lambda}$$

sensitivity factor



# expected precision of $\lambda$ : impact from analysis & $\sqrt{s}$

ZHH

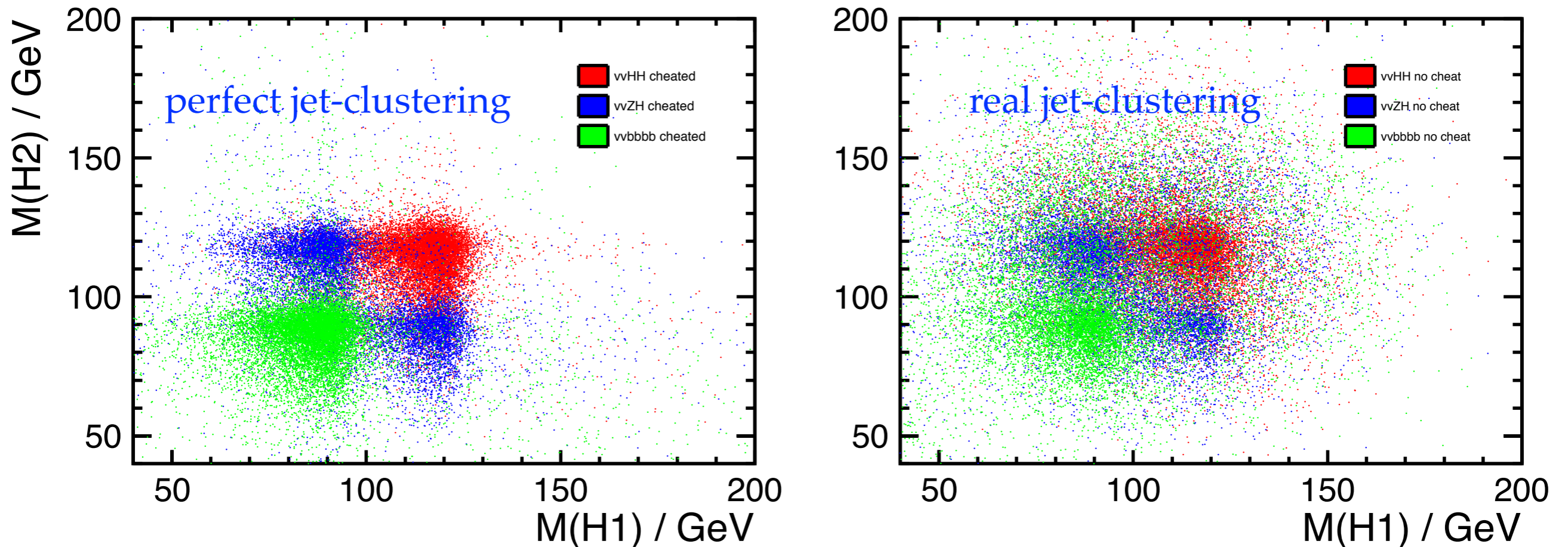


- for  $\nu\nu\text{HH}$ : significantly better from 500 GeV to 1 TeV,  $\delta\lambda/\lambda \sim 10\%$  achievable at  $\geq 1\text{TeV}$ ; not drastically better, from 1 TeV to 3 TeV, improved by 50%

# one limiting factor: jet-clustering algorithm

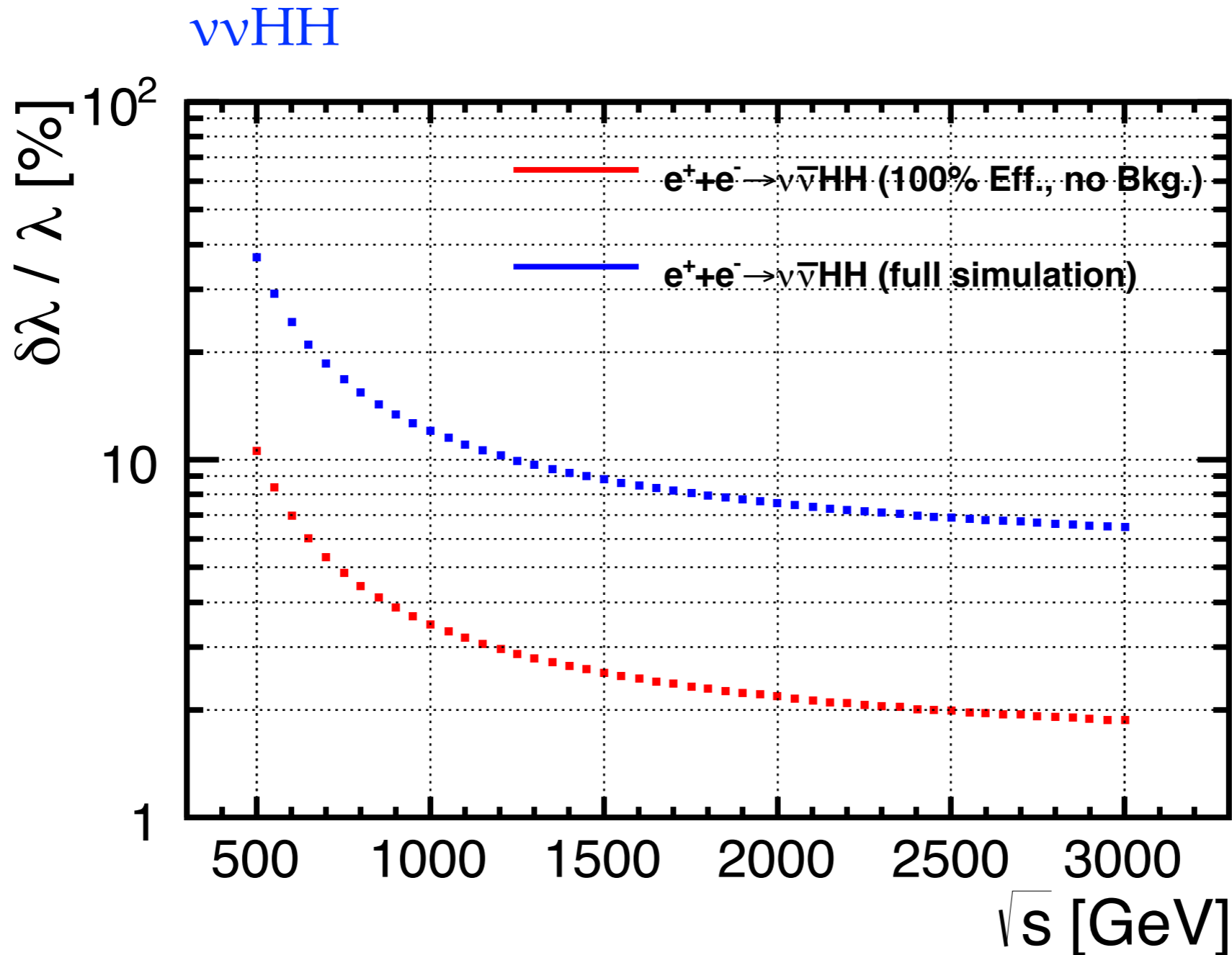
ZHH->vvbbbb (BG: ZZH and ZZZ)

scatter plot of two Higgs masses



- ♦ the mis-clustering of particles degrades significantly the separation between signal and BG.
- ♦ it is studied that using perfect color-singlet-jet-clustering can improve  $\delta\lambda/\lambda$  by 40%!

# expected precision of $\lambda$ : impact from analysis & $\sqrt{s}$

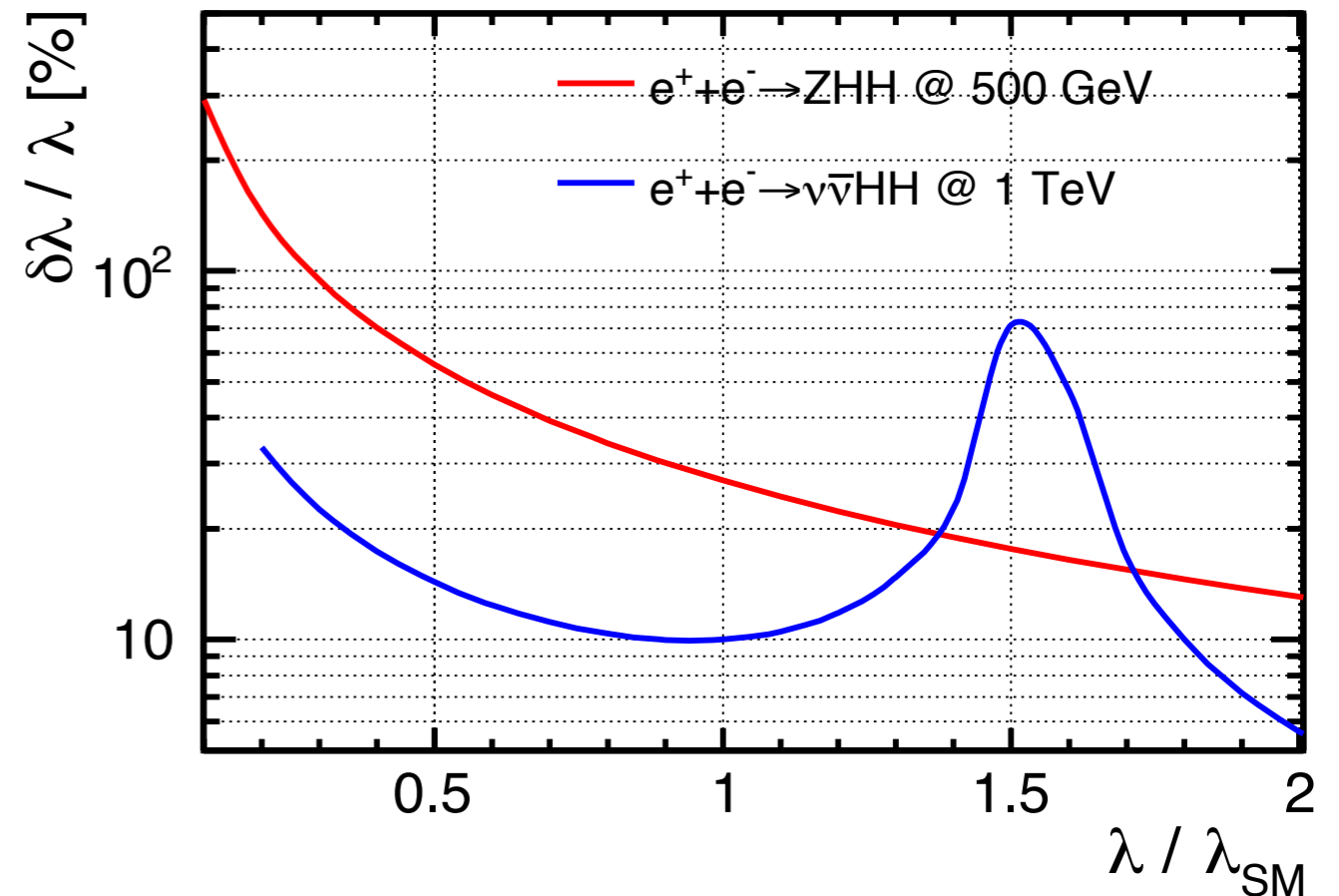
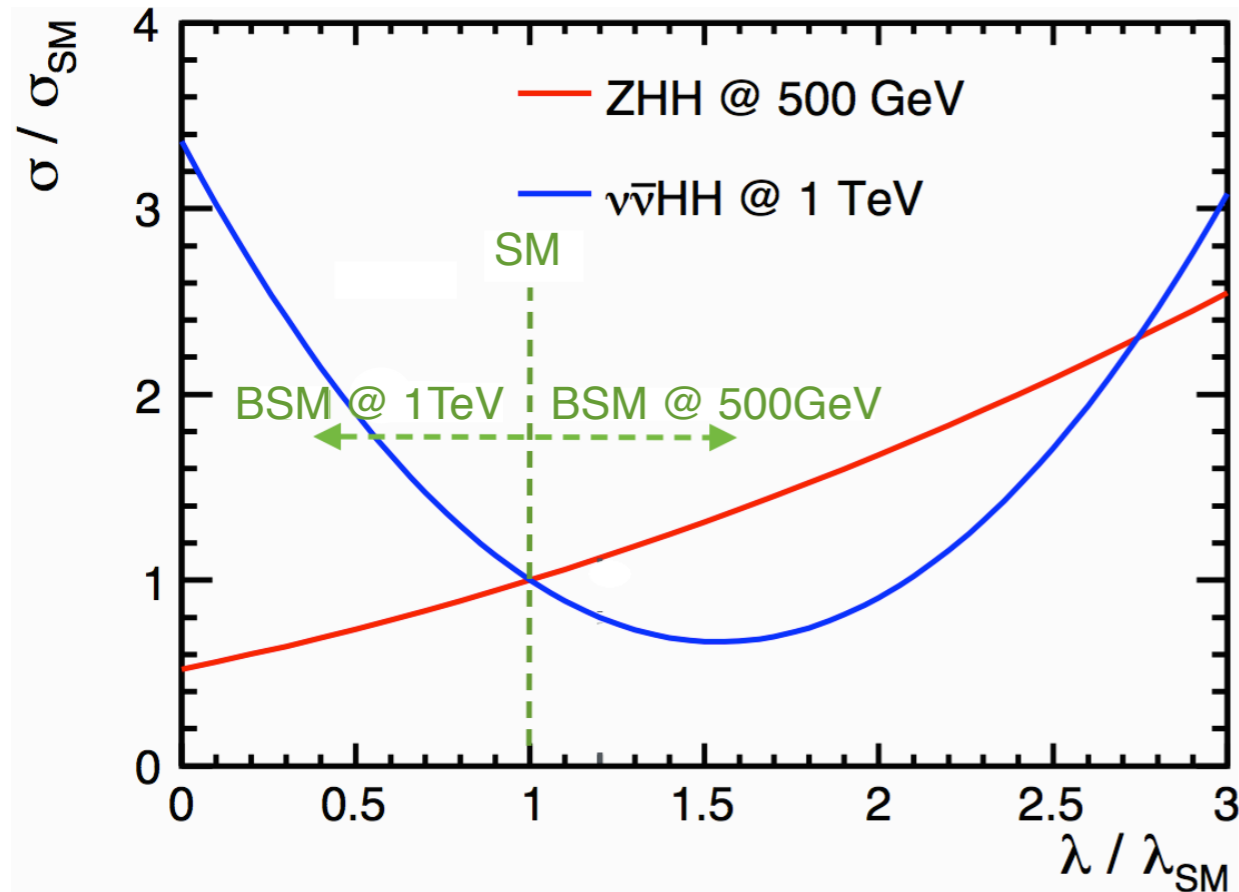


- huge gap of these two expectations  $\rightarrow$  room of improvement
- for  $ZHH$ : optimal at 500-600 GeV; significantly worse at higher  $\sqrt{s}$



# Higgs self-coupling: when $\lambda_{HHH} \neq \lambda_{SM}$ ?

- constructive interference in ZHH, while destructive in  $\nu\bar{\nu}HH$  (& LHC)  $\rightarrow$  complementarity between ILC & LHC, between  $\sqrt{s} \sim 500$  GeV and  $>1$  TeV
- if  $\lambda_{HHH} / \lambda_{SM} = 2$ , Higgs self-coupling can be measured to  $\sim 15\%$  using ZHH at 500 GeV  $e^+e^-$

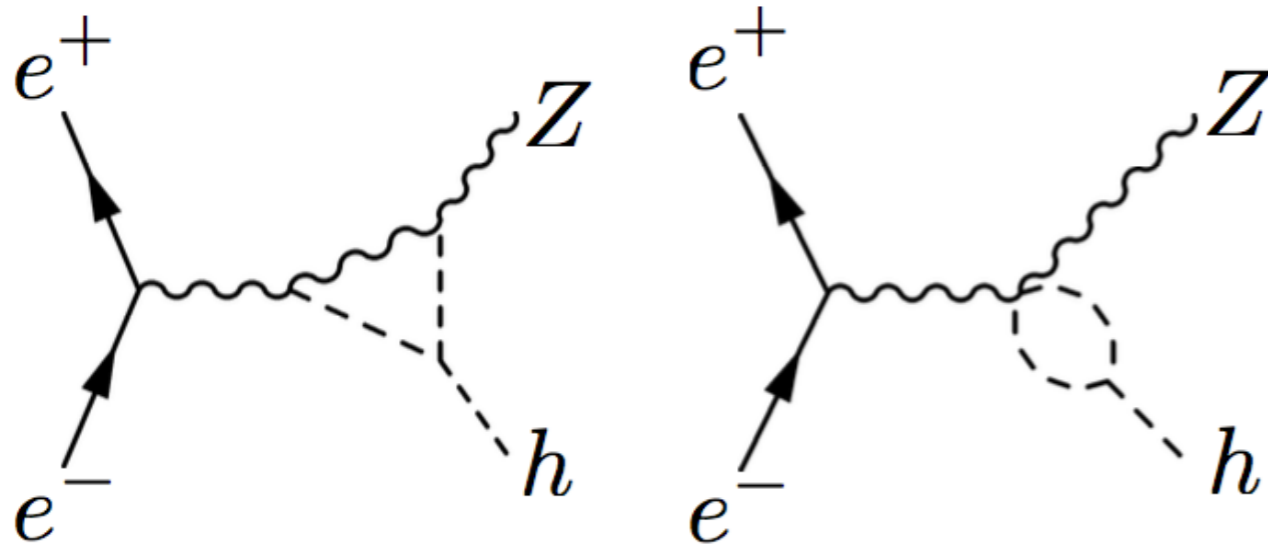


references for  
large deviations

e.g.

Grojean, et al., PRD71, 036001; Kanemura, et al., 1508.03245; Kaori, Senaha, PHLTA,B747,152; Perelstein, et al., JHEP 1407, 108

## Higgs self-coupling: indirect determination

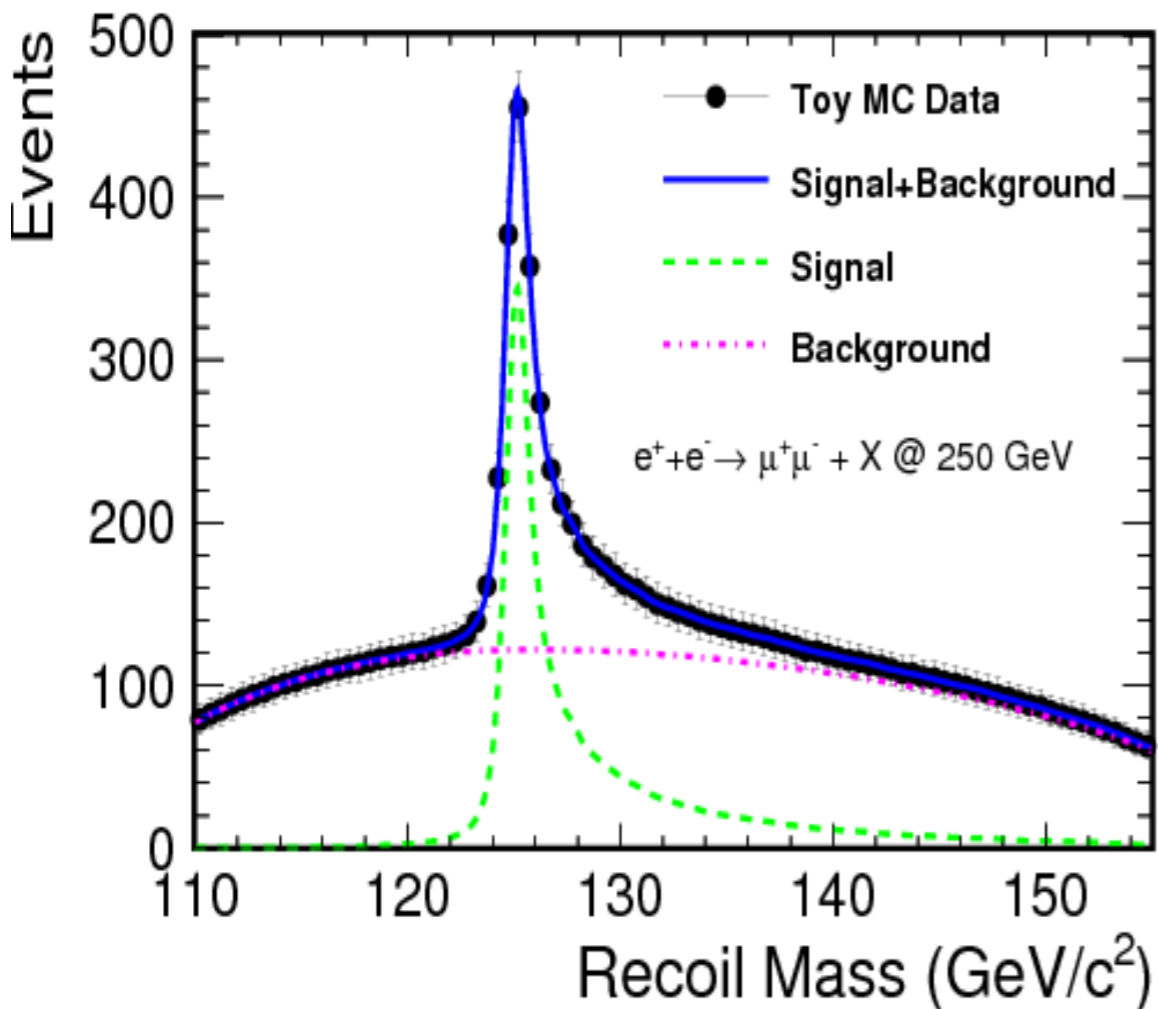


McCullough, arXiv:1312.3322

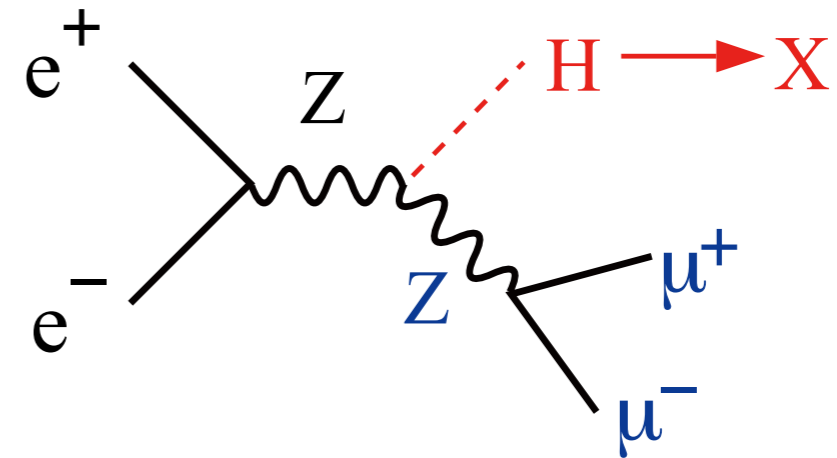
$$\delta_{\sigma}^{240} = 100 (2\delta_Z + 0.014\delta_h) \%$$

- if only  $\delta h$  is deviated  $\rightarrow \delta h \sim 28\%$
- if both  $\delta z$  and  $\delta h$  deviated  $\rightarrow \delta h \sim 90\%$
- $\delta\sigma$  could receive contributions from many other sources
- open question: what happens after taking into account all possible modifications?

## (iii.2.2) inclusive $\sigma_{ZH}$ : unique key @ $e^+e^-$



$$\Delta m_H = 14 \text{ MeV}$$

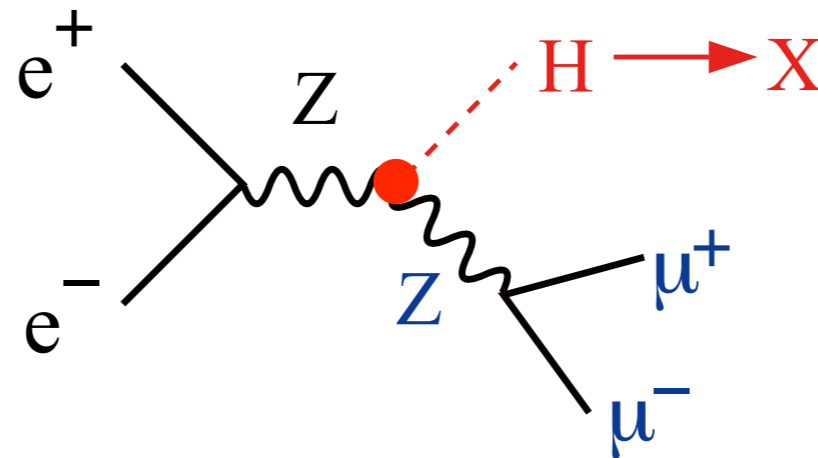


$$M_X^2 = (p_{CM} - (p_{\mu^+} + p_{\mu^-}))^2$$

- well defined initial states at  $e^+e^-$
- recoil mass technique  $\rightarrow$  tag Z only
- Higgs is tagged without looking into H decay
- absolute cross section of  $e^+e^- \rightarrow ZH$

for  $Z \rightarrow ll$  (leptonic recoil), Yan et al, arXiv:1604.07524;  
 for  $Z \rightarrow qq$  (hadronic recoil), Thomson, arXiv:1509.02853

what does model independence mean?



$$M_X^2 = (p_{CM} - (p_{\mu^+} + p_{\mu^-}))^2$$

- meas. of  $\sigma_{ZH}$  doesn't depend on how Higgs decays
- meas. of  $\sigma_{ZH}$  doesn't depend on underlying  $HZZ$  vertex

is it really possible?

## independent of H decay modes?

$$e^+ + e^- \rightarrow ZH \rightarrow l^+ l^- / q\bar{q} + X$$

- this question is almost equivalent to whether we can tag the Z decay products unambiguously
- might be easy in Z->ll, certainly not trivial in Z->qq
- even in Z->ll mode, we know there can be isolated leptons from Higgs decay, e.g. H->WW\*/τ τ/ZZ, which get mis-identified as leptons from Z decay
- keep in mind we are targeting 0.1-1% precision measurement

## efficiencies breakdown (leptonic recoil)

H $\rightarrow$ XX	bb	cc	gg	$\tau\tau$	WW*	ZZ*	$\gamma\gamma$	$\gamma Z$
BR (SM)	57.8%	2.7%	8.6%	6.4%	21.6%	2.7%	0.23%	0.16%
Lepton Finder	93.70%	93.69%	93.40%	94.02%	94.04%	94.36%	93.75%	94.08%
Lepton ID+Precut	93.68%	93.66%	93.37%	93.93%	93.94%	93.71%	93.63%	93.22%
$M_{l+l-} \in [73, 120]$ GeV	89.94%	91.74%	91.40%	91.90%	91.82%	91.81%	91.73%	91.47%
$p_T^{l+l-} \in [10, 70]$ GeV	89.94%	90.08%	89.68%	90.18%	90.04%	90.16%	89.99%	89.71%
$ \cos \theta_{\text{miss}}  < 0.98$	89.94%	90.08%	89.68%	90.16%	90.04%	90.16%	89.91%	89.41%
BDT $> -0.25$	88.90%	89.04%	88.63%	89.12%	88.96%	89.11%	88.91%	88.28%
$M_{\text{rec}} \in [110, 155]$ GeV	88.25%	88.35%	87.98%	88.43%	88.33%	88.52%	88.21%	87.64%

- every cut is applied very carefully to avoid large bias, still  $\sim 1\%$
- nevertheless, it becomes almost a paradox:
  - ☑ no cut, no bias; looser cuts, less bias
  - ☑ extremely tighter cuts, less bias;
  - ☑ too loose or too tight cuts  $\rightarrow$  remain too much background or too little signal  $\rightarrow$  bad precision measurement



# efficiencies breakdown (hadronic recoil)

Decay mode	$\epsilon_{\mathcal{L}>0.65}^{\text{vis.}}$	$\epsilon_{\mathcal{L}>0.60}^{\text{invis.}}$	$\epsilon^{\text{vis.}} + \epsilon^{\text{invis.}}$
H $\rightarrow$ invis.	<0.1 %	23.5 %	23.5 %
H $\rightarrow$ q $\bar{q}$ /gg	22.6 %	<0.1 %	22.6 %
H $\rightarrow$ WW*	22.1 %	0.1 %	22.2 %
H $\rightarrow$ ZZ*	20.6 %	1.1 %	21.7 %
H $\rightarrow$ $\tau^+\tau^-$	25.3 %	0.2 %	25.5 %
H $\rightarrow$ $\gamma\gamma$	25.7 %	<0.1 %	25.7 %
H $\rightarrow$ Z $\gamma$	18.6 %	0.3 %	18.9 %
H $\rightarrow$ WW* $\rightarrow$ q $\bar{q}$ q $\bar{q}$	20.8 %	<0.1 %	20.8 %
H $\rightarrow$ WW* $\rightarrow$ q $\bar{q}$ l $\nu$	23.3 %	<0.1 %	23.3 %
H $\rightarrow$ WW* $\rightarrow$ q $\bar{q}$ $\tau\nu$	23.1 %	<0.1 %	23.1 %
H $\rightarrow$ WW* $\rightarrow$ l $\nu$ l $\nu$	26.5 %	0.1 %	26.5 %
H $\rightarrow$ WW* $\rightarrow$ l $\nu$ $\tau\nu$	21.1 %	0.5 %	21.6 %
H $\rightarrow$ WW* $\rightarrow$ $\tau\nu$ $\tau\nu$	16.3 %	2.3 %	18.7 %

○ relative bias can be as large as ~15%

## a nice trick: categorization

$$\sigma_{ZH} = \sigma^{cat1} + \sigma^{cat2} + \sigma^{cat3} + \sigma^{cat4} + \dots$$

- if we have a complete list of categories
- then we only need to keep all selection cuts independent of decay mode in each category;
- selections cuts among categories can be very different

for example

$$\sigma_{ZH} = \sigma^{H \rightarrow \text{invisible}} + \sigma^{H \rightarrow \text{visible}}$$

## a realistic solution: make use of individual BR measurement

$$\sigma_{ZH} = \frac{N_S}{R_f L \bar{\epsilon}} \quad \bar{\epsilon} \equiv \sum_i B_i \epsilon_i$$

$N_S$ : # of signal

$R_f$ : BR of  $Z \rightarrow ff$

$L$ : int. luminosity

$B_i$ : BR of H decay mode  $i$

$\epsilon_i$ : efficiency of mode  $i$

- if every  $\epsilon_i$  is same  $\rightarrow \sum B_i = 1$ ; no need for any knowledge about  $B_i$
- nevertheless, we can measure many of the  $\sigma \times B_i$ ; assume  $i=1..n$  is known with  $\Delta B_i$ ;  $i=n+1, \dots$  is unknown, sum up to  $B_x$ ;

known modes

systematic error to  $\sigma_{ZH}$

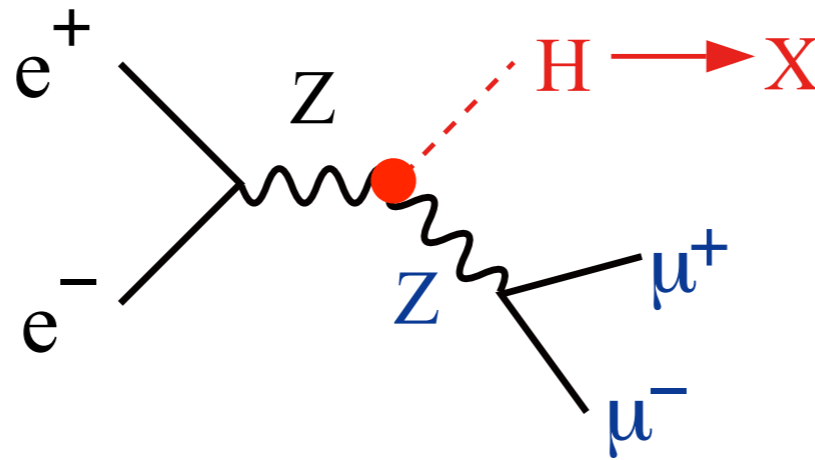
unknown modes

$$\frac{\Delta \sigma_{ZH}}{\sigma_{ZH}} = \frac{\Delta \bar{\epsilon}}{\bar{\epsilon}} = \sqrt{\sum_{i=1}^n \Delta B_i^2 \left( \frac{\epsilon_i}{\epsilon_0} - 1 \right)^2}$$

$$\frac{\Delta \sigma_{ZH}}{\sigma_{ZH}} = \frac{\Delta \bar{\epsilon}}{\bar{\epsilon}} < \sum_{i=n+1} B_i \frac{\delta \epsilon_{\max}}{\epsilon_0} = B_x \frac{\delta \epsilon_{\max}}{\epsilon_0}$$

- leptonic recoil, demonstrated possible  $\delta \sigma_{ZH} \sim 0.1\%$  for  $B_x < 10\%$
- hadronic recoil, still need more work for  $\delta \sigma_{ZH} < 1\%$  for  $B_x < 10\%$

## independent of HZZ vertex?



- different HZZ vertex might change angular distributions of Z
- hence, this question is equivalent to whether the selections cuts are democratic for all production angles of Z
- open question, this is not sufficiently studied yet

### (iii.2.3) determine Higgs CP (admixture)

- find CP-violating source in Higgs sector  $\rightarrow$  EW baryogenesis
- essential to understand structures of all Higgs couplings

through  $H \rightarrow \tau^+\tau^-$   
(or  $t\bar{t}H$ )

$$L_{Hff} = -\frac{m_f}{v} H \bar{f} (\cos \Phi_{CP} + \underline{i\gamma^5 \sin \Phi_{CP}}) f$$

$$\Delta\Phi_{CP} \sim 4.3^\circ$$

Jeans et al, 1804.01241

through  $HZZ/HWW$

$$L_{HVV} = 2C_V M_V^2 \left( \frac{1}{v} + \frac{a}{\Lambda} \right) H V_\mu V^\mu + C_V \frac{b}{\Lambda} H V_{\mu\nu} V^{\mu\nu} + C_V \frac{\tilde{b}}{\Lambda} H V_{\mu\nu} \tilde{V}_{\mu\nu}$$

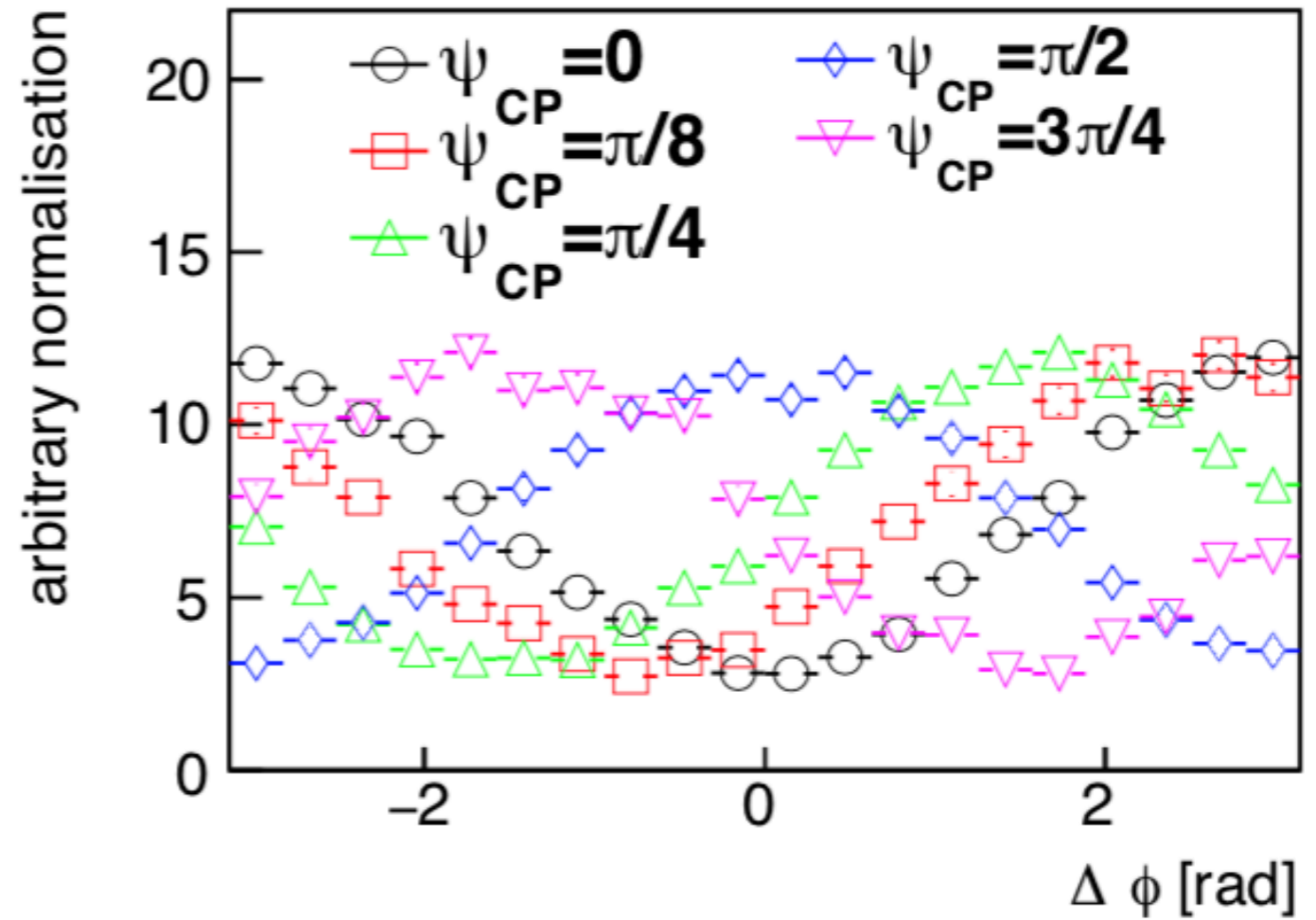
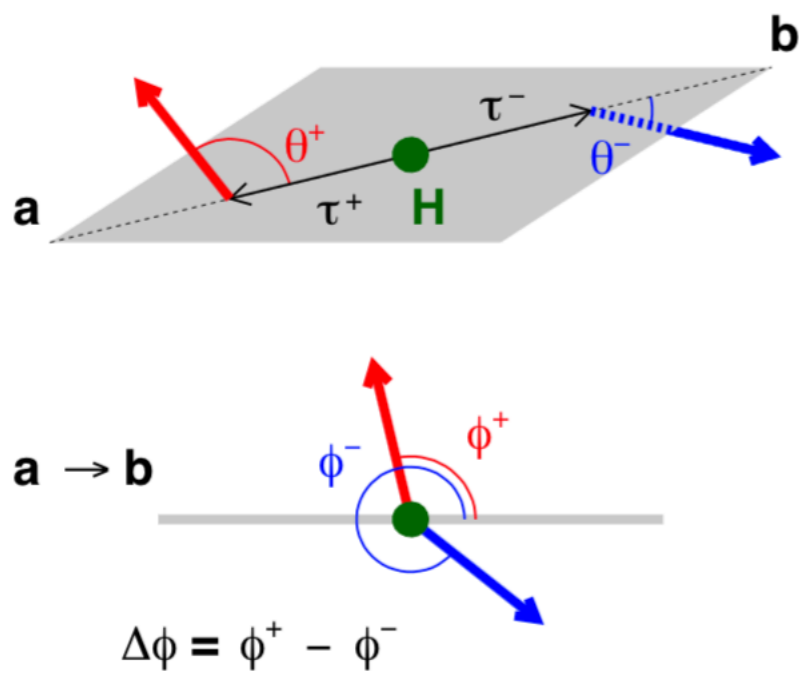
(CP-odd)

$$\Delta\tilde{b} \sim 0.016 \text{ (for } \Lambda=1\text{TeV)} \quad \text{Ogawa, 1712.09772}$$

for  $\text{BR}(H \rightarrow \tau^+\tau^-)$ : Kawada, et. al, Eur.Phys.J. C75 (2015), 617

# CP sensitive observable in $H \rightarrow \tau^+ \tau^-$

$$L_{Hff} = -\frac{m_f}{v} H \bar{f} (\cos \Phi_{CP} + i \gamma^5 \sin \Phi_{CP}) f$$



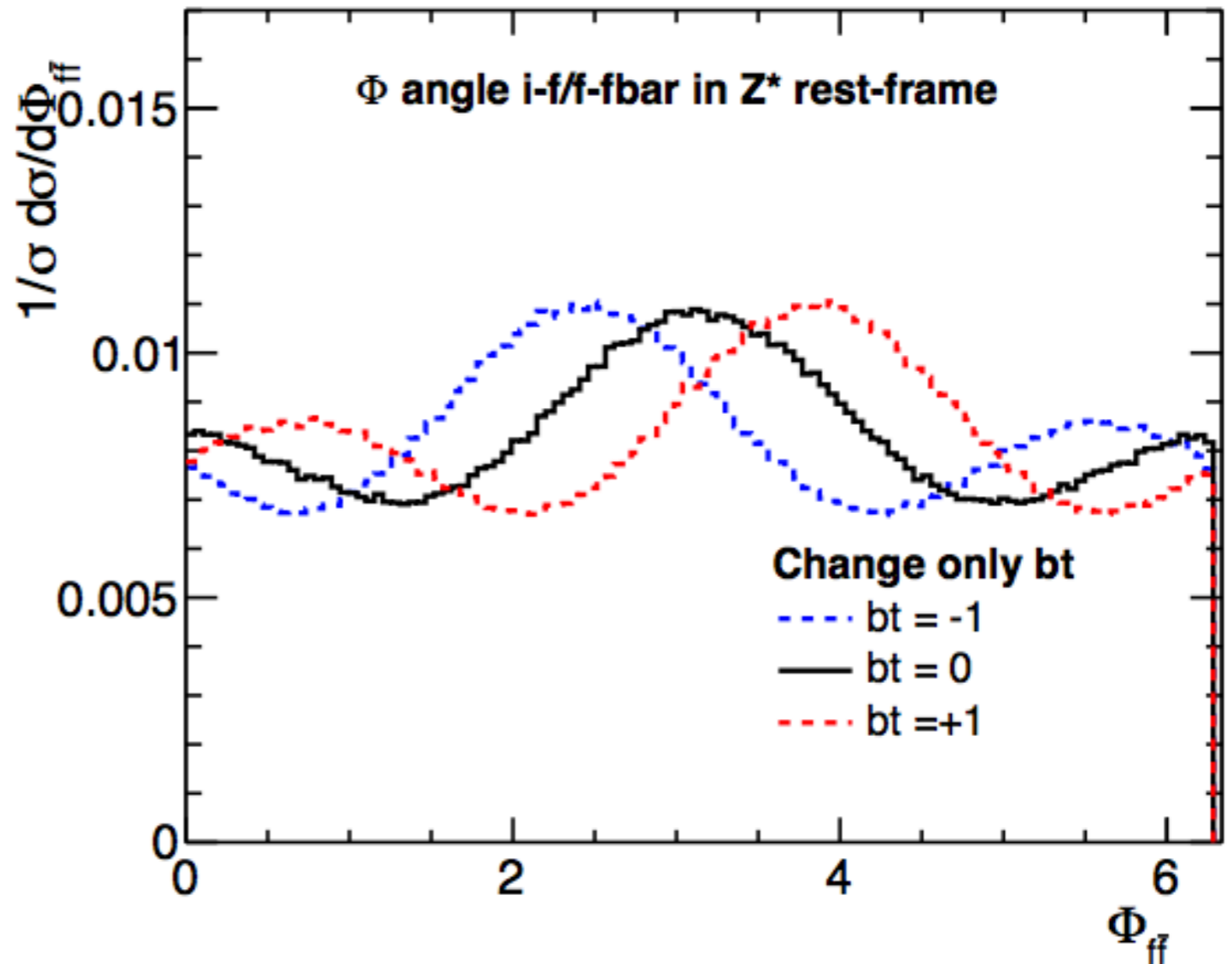
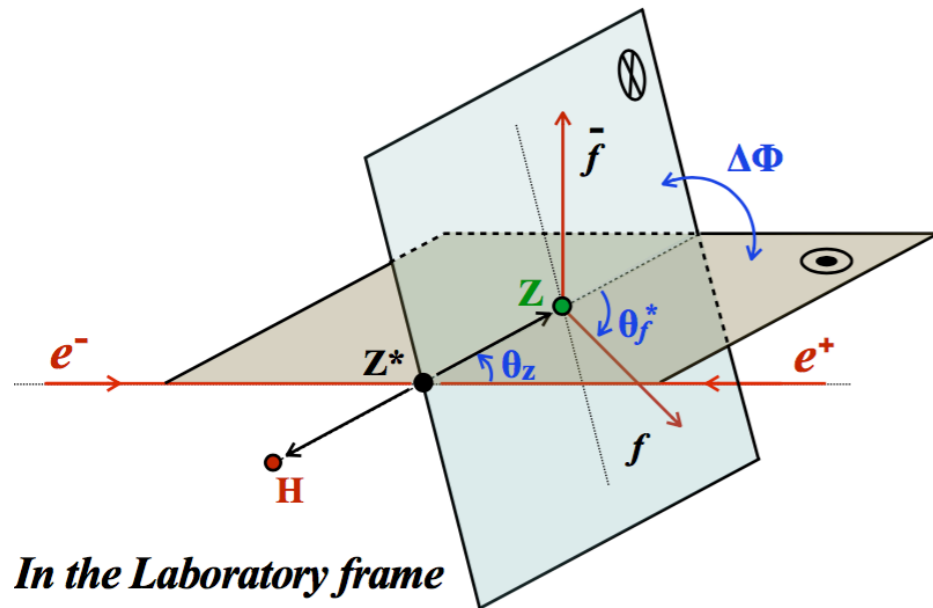


# CP sensitive observable in HZZ coupling

$$L_{hZZ} = M_Z^2 \left( \frac{1}{v} + \frac{a}{\Lambda} \right) h Z_\mu Z^\mu + \frac{b}{2\Lambda} h Z_{\mu\nu} Z^{\mu\nu} + \frac{\tilde{b}}{2\Lambda} h Z_{\mu\nu} \tilde{Z}_{\mu\nu}$$

(CP-odd)

$$e^+ + e^- \rightarrow Zh \rightarrow f \bar{f} h$$

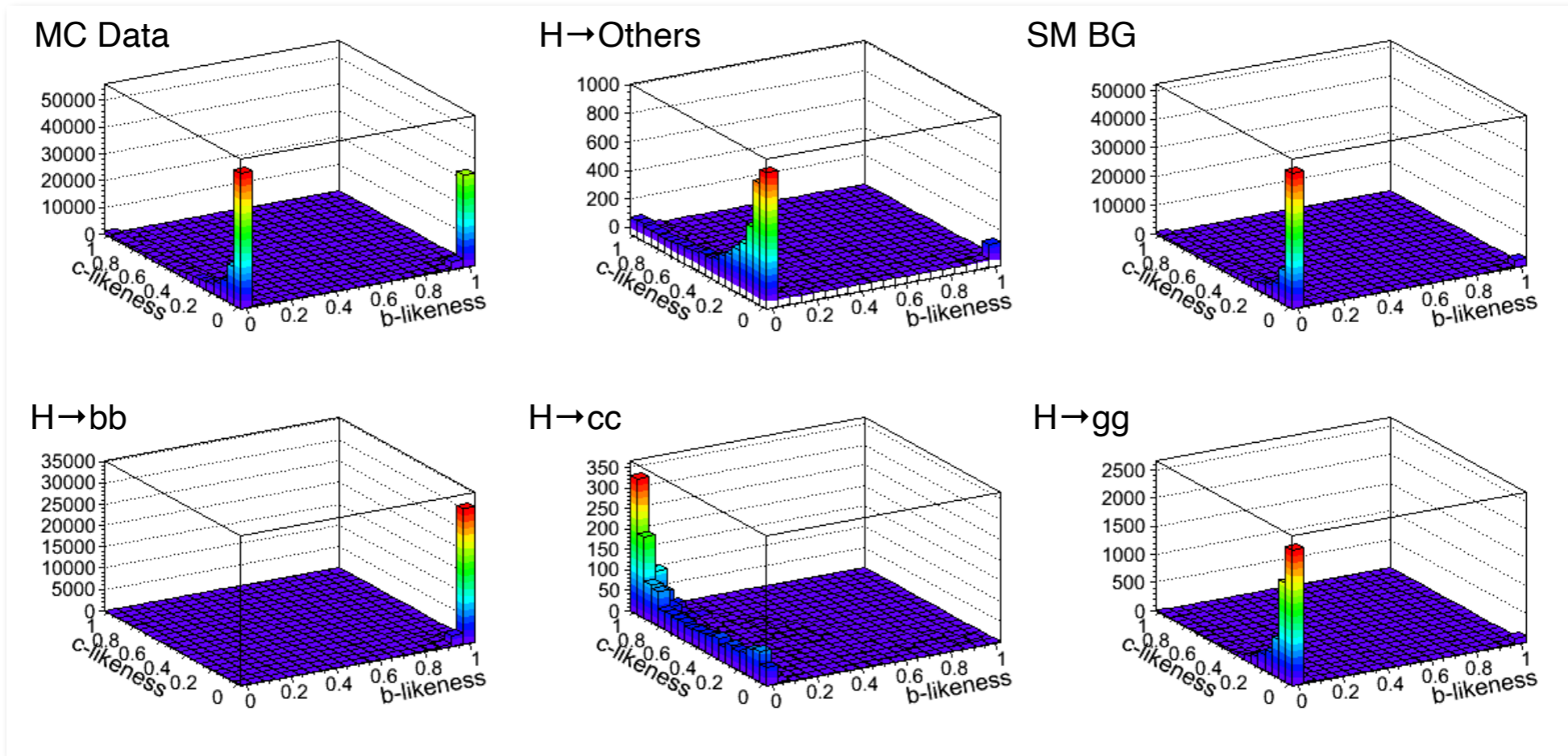


@  $\sqrt{s} = 250\text{GeV}$

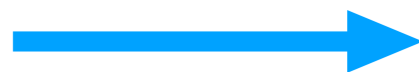
### (iii.2.4) Higgs direct couplings to bb, cc and gg

- clean environment at e+e-; excellent b- and c-tagging performance
- bb/cc/gg modes can be separated simultaneously by template fitting

**e+e- → ZH → ff(jj): b-likeness .vs. c-likeness**

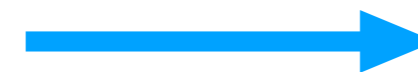


directly  
measured



$$\begin{aligned} \sigma_{ZH} \cdot \text{Br}(H \rightarrow b\bar{b}) &\propto g_{HZZ}^2 g_{Hbb}^2 / \Gamma_H \\ \sigma_{ZH} \cdot \text{Br}(H \rightarrow c\bar{c}) &\propto g_{HZZ}^2 g_{Hcc}^2 / \Gamma_H \\ \sigma_{ZH} \cdot \text{Br}(H \rightarrow gg) &\propto g_{HZZ}^2 g_{Hgg}^2 / \Gamma_H \end{aligned}$$

with  $\Gamma_H$



$\delta g_{Hbb}$

$\delta g_{Hcc}$

$\delta g_{Hgg}$

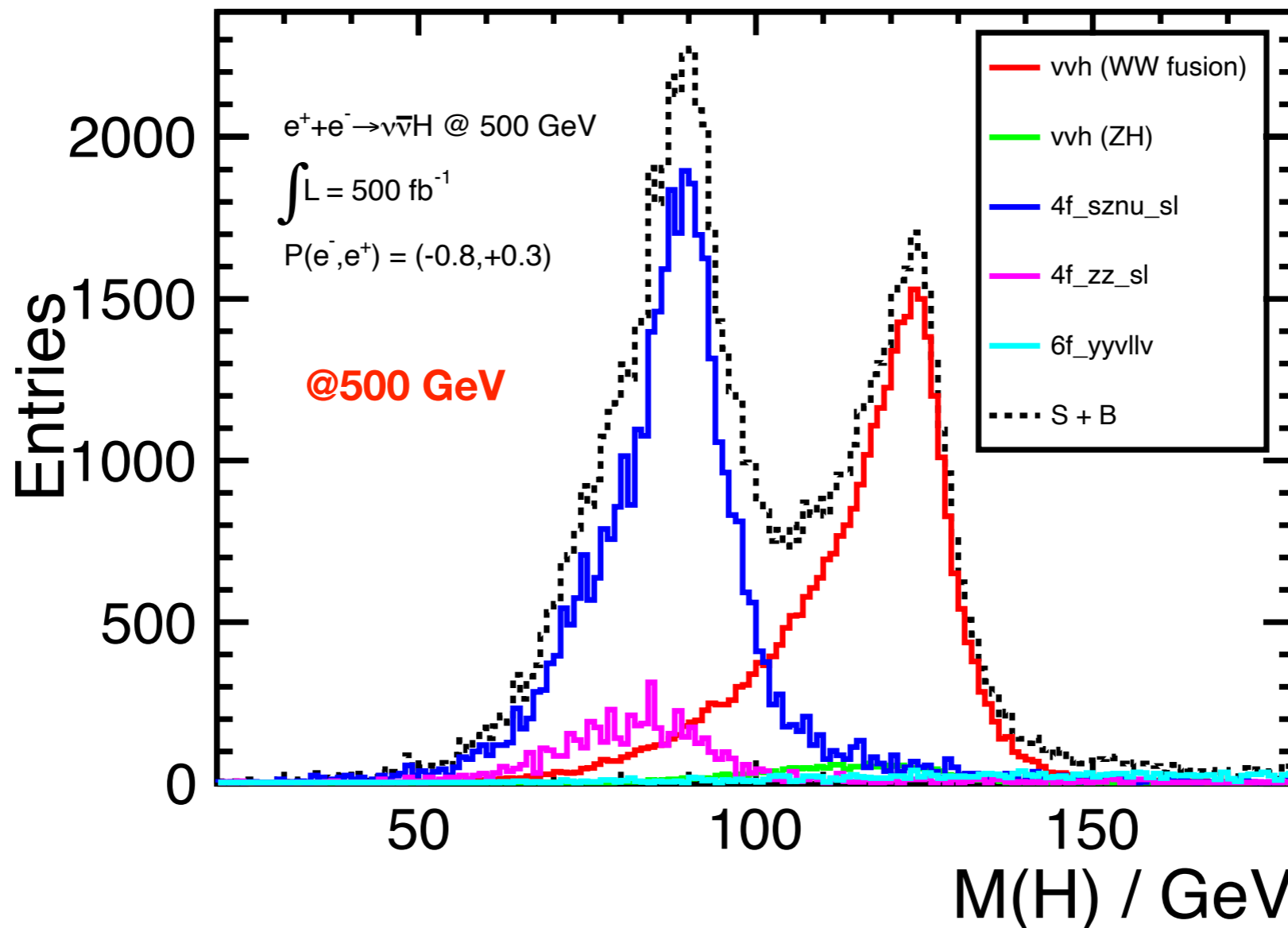
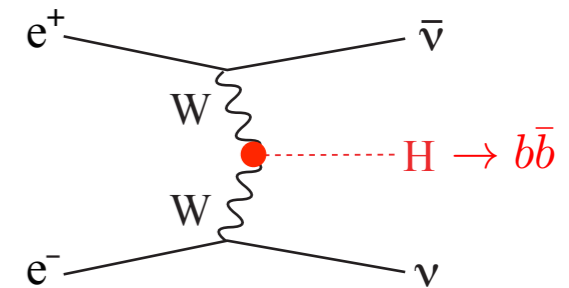
### (iii.2.5) WW-fusion channel & Higgs total width $\Gamma_H$

$$\Gamma_H = \frac{\Gamma_{HZZ}}{\text{Br}(H \rightarrow ZZ^*)} \propto \frac{g_{HZZ}^2}{\text{Br}(H \rightarrow ZZ^*)}$$

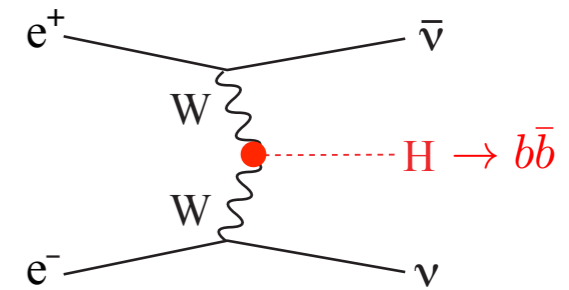
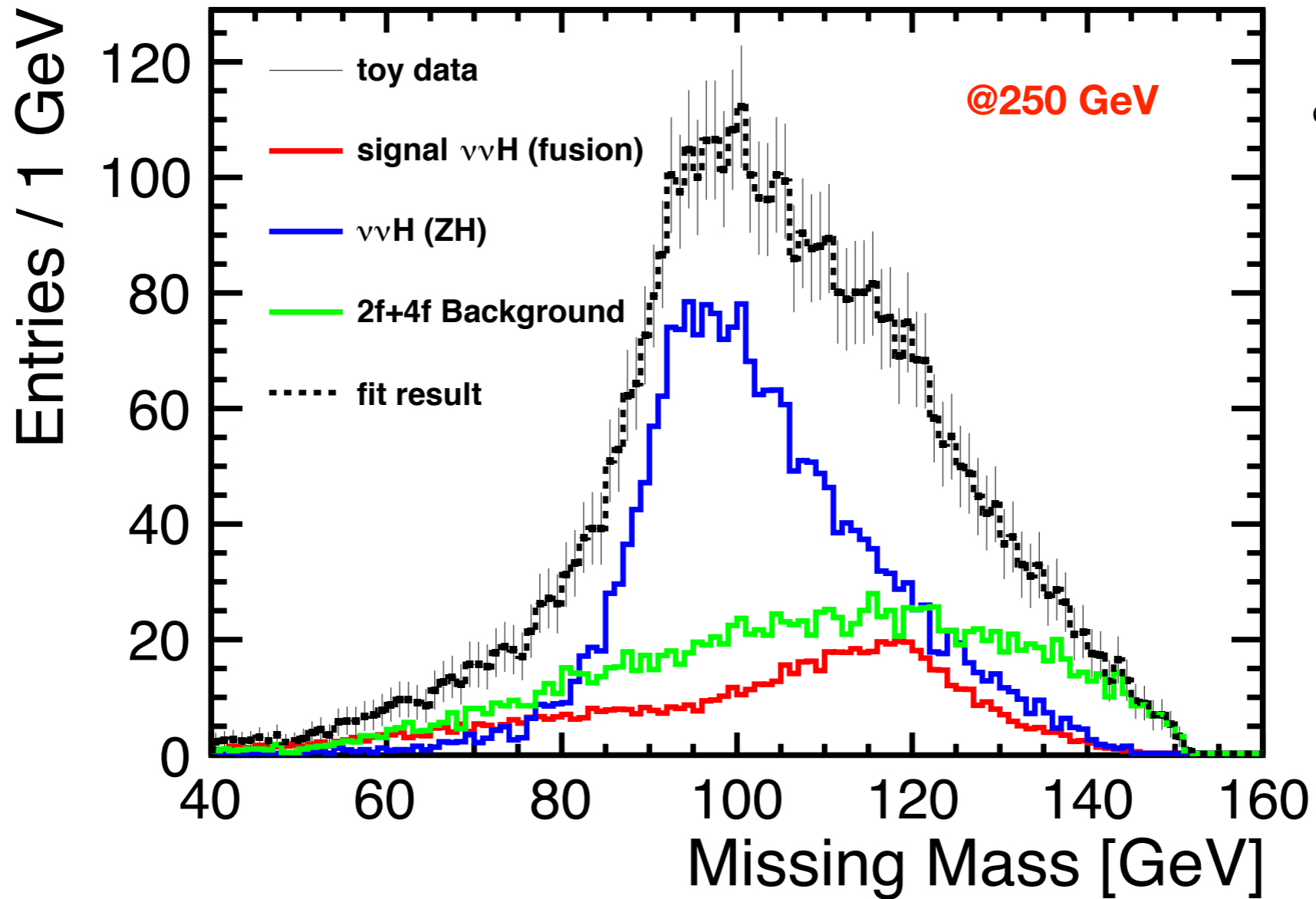
—> Br(H->ZZ\*) very small

★ 
$$\Gamma_H = \frac{\Gamma_{HWW}}{\text{Br}(H \rightarrow WW^*)} \propto \frac{g_{HWW}^2}{\text{Br}(H \rightarrow WW^*)}$$

—> better option!



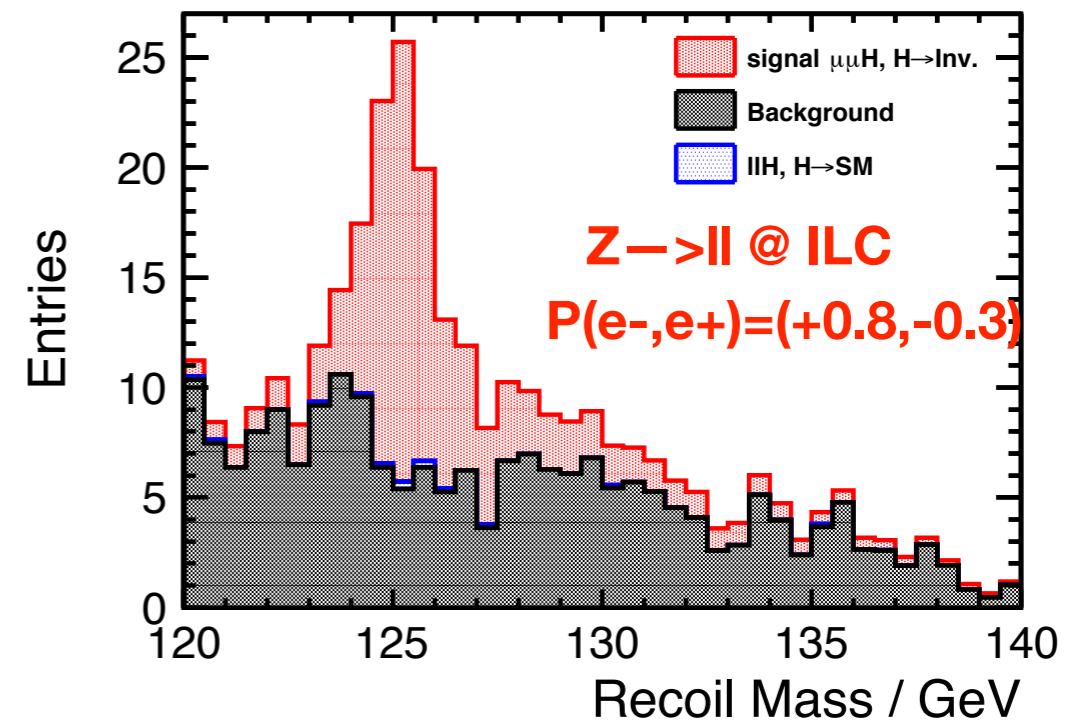
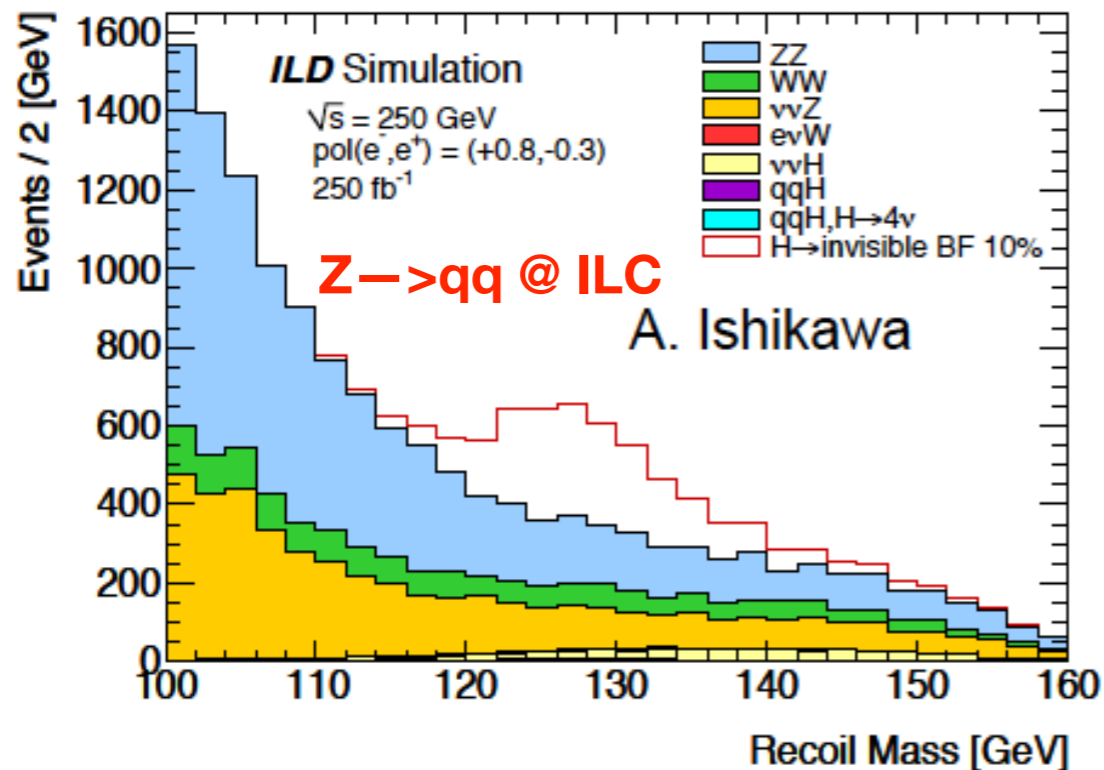
very different at  $\sqrt{s}=250$  GeV



$\rho = -34\%$  correlation between  
 $Y_2 = \sigma_{\nu\nu H} \times BR(H \rightarrow b\bar{b})$  and  $Y_3 = \sigma_{ZH} \times BR(H \rightarrow b\bar{b})$

### (iii.2.6) Higgs $\rightarrow$ invisible at ILC250

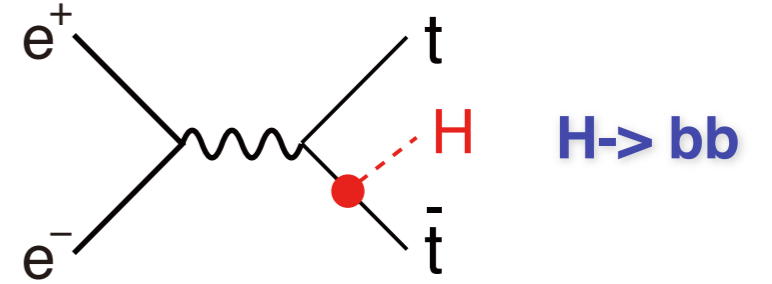
$$e^+ + e^- \rightarrow ZH \rightarrow l^+l^- / q\bar{q} + \text{Missing}$$



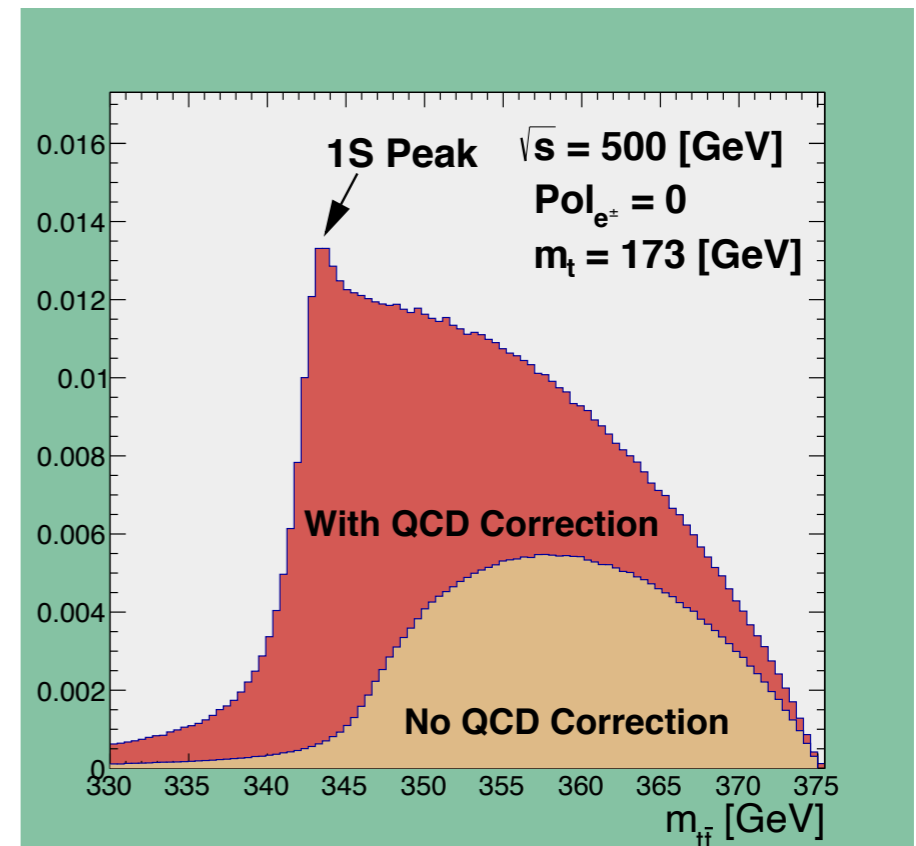
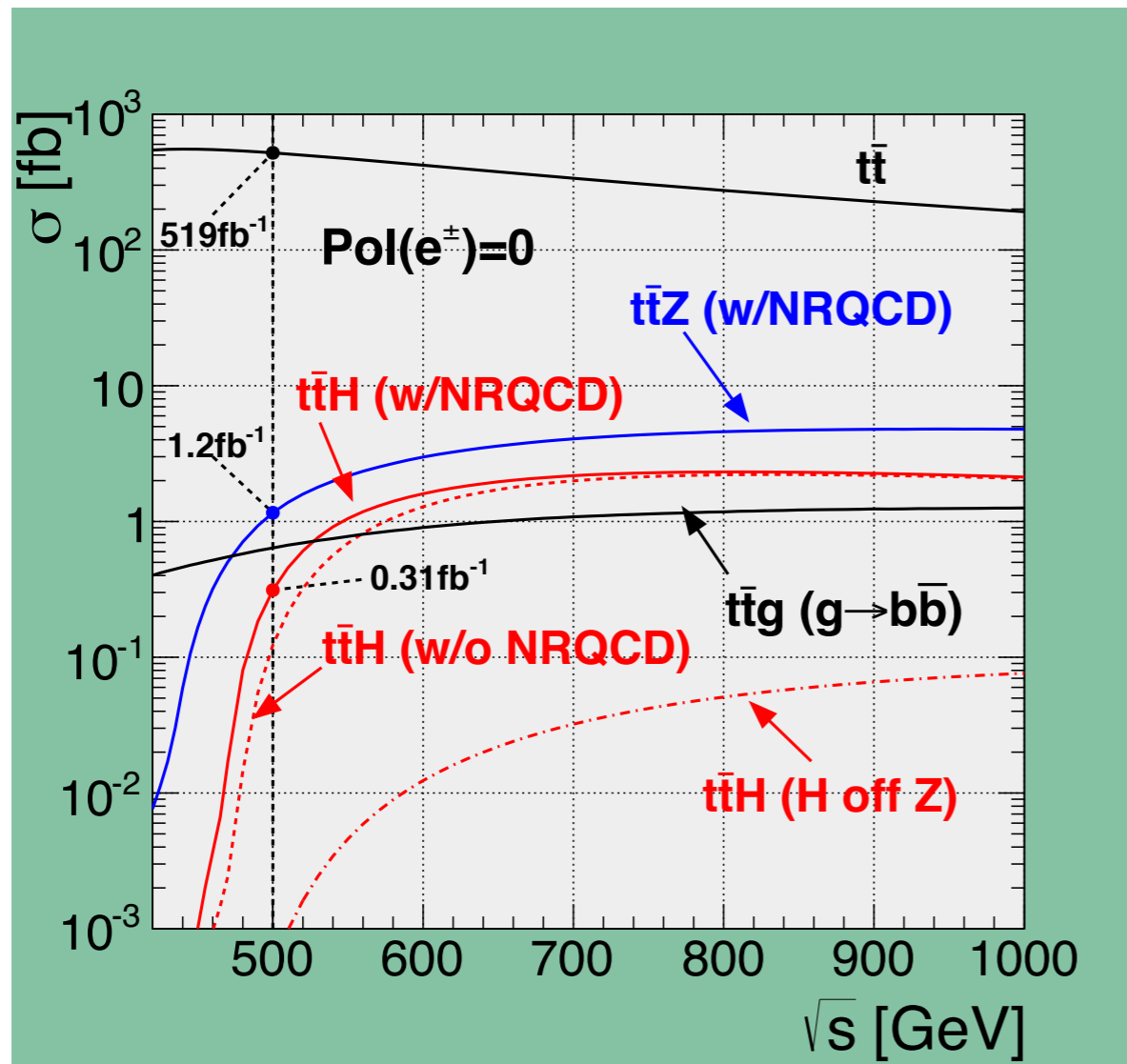
- recoil technique: Higgs mass fully reconstructed even it decays invisibly
- right-handed beam polarization helps: much lower background
- $\text{BR}(H \rightarrow \text{inv.}) < 0.3\%$  (CL95%)

## (iii.2.7) Top-Yukawa coupling

- ▶ largest Yukawa coupling; crucial role
- ▶ non-relativistic  $t\bar{t}$  bound state correction: enhancement by  $\sim 2$  at 500 GeV
- ▶ Higgs CP measurement



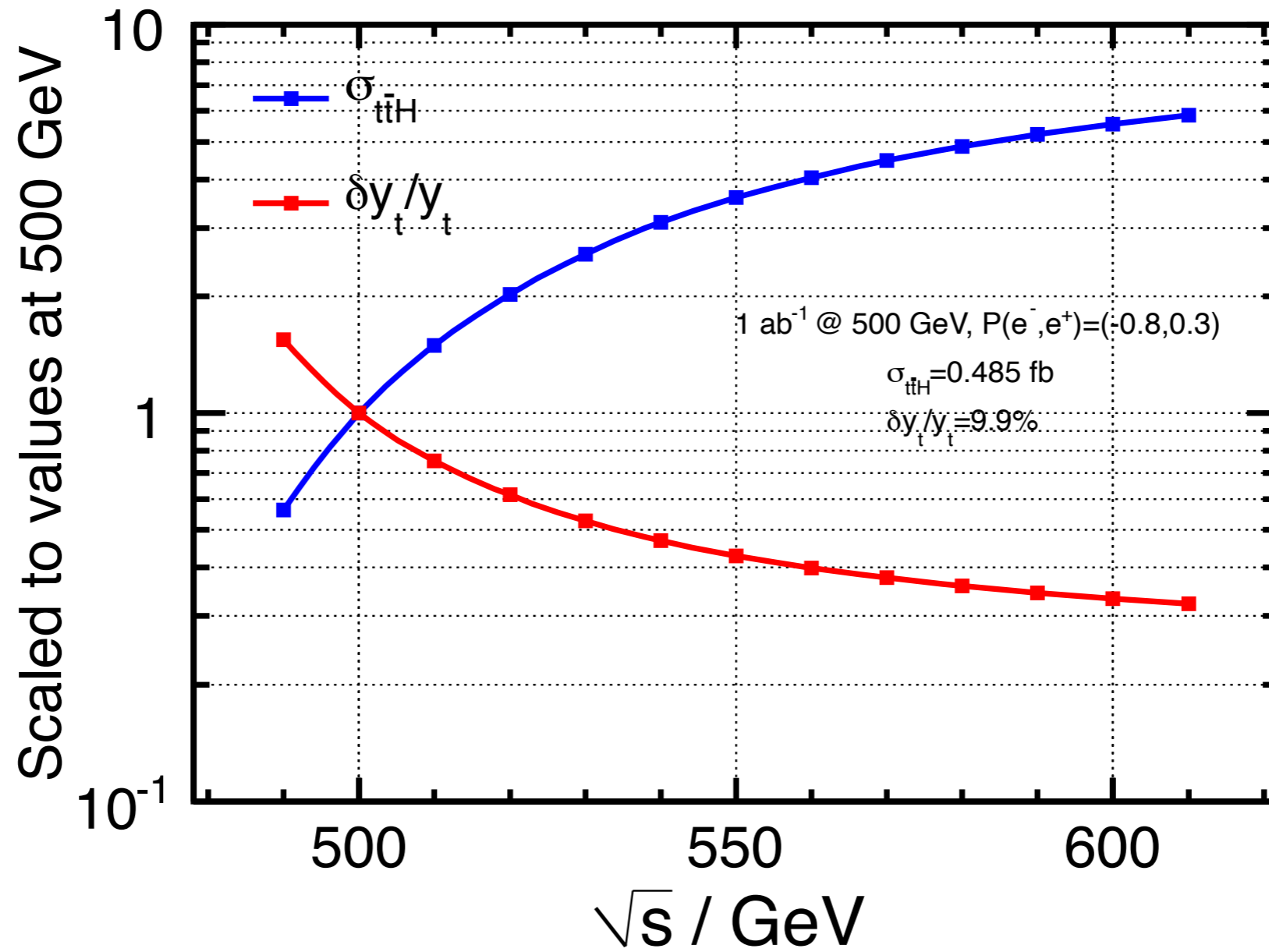
$\Delta g_{ttH} / g_{ttH}$	500 GeV	+ 1 TeV
Snowmass	7.8%	2.0%
H20	6.3%	1.5%



Yonamine, et al., PRD84, 014033;  
Price, et al., Eur. Phys. J. C75 (2015) 309



# Top-Yukawa coupling



Y. Sudo

(iv) precision determination of Higgs couplings

(iv.1) global fit frameworks

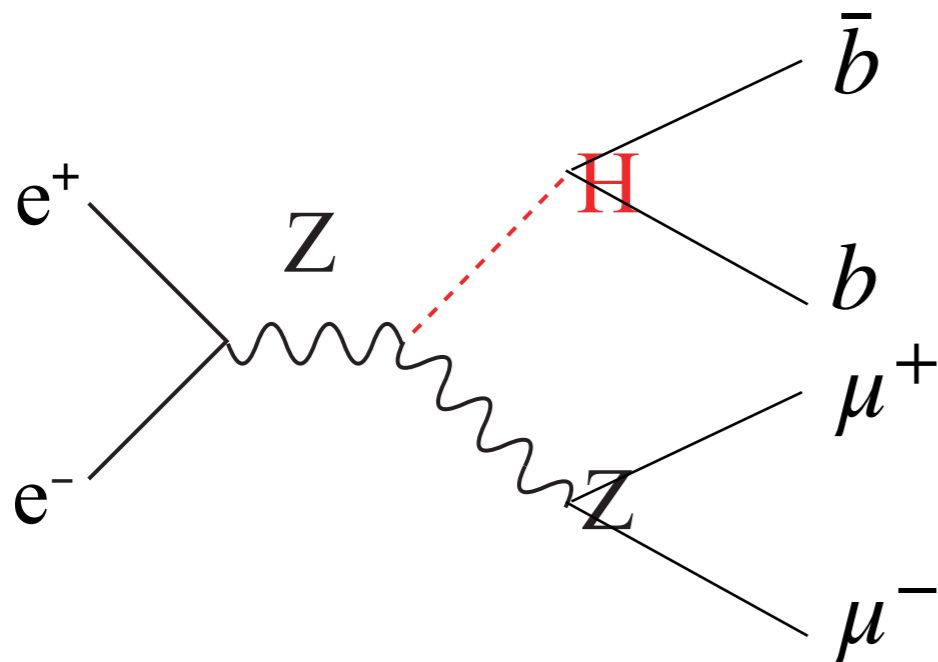
(iv.2) Higgs couplings by SMEFT

# Global Fit: why do we need it?

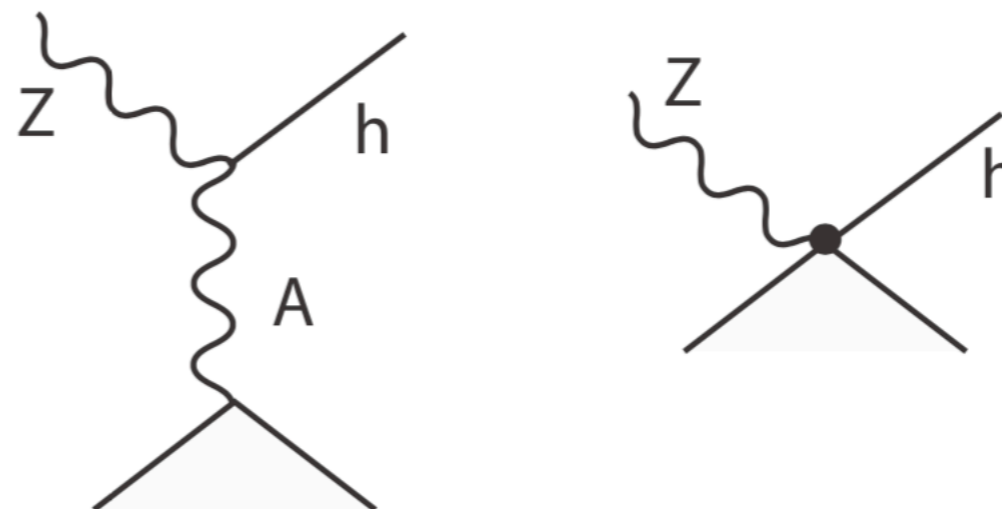
suppose we discover a deviation in, e.g. cross section of

$$e^+e^- \rightarrow ZH \rightarrow (\mu\mu)(bb)$$

then we would like to know which coupling is deviated:



- $hbb$  coupling?
- $hZZ$  coupling?
- $Z\mu\mu$  coupling?
- $Zee$  coupling?
- new diagrams?



# From observables to couplings — Global Fit

$$\chi^2 = \sum_{i=1}^n \left( \frac{Y_i - Y'_i}{\Delta Y_i} \right)^2$$

$Y_i$ : measured values by experiments

$Y'_i$ : predicted values by underlying theory

$\Delta Y_i$ : measurement uncertainty

$n$ : number of independent observables

## ○ kappa formalism

$$Y'_i = F_i \cdot \frac{g_{HA_i A_i}^2 \cdot g_{HB_i B_i}^2}{\Gamma_0} \quad \begin{array}{l} (A_i = Z, W, t) \\ (B_i = b, c, \tau, \mu, g, \gamma, Z, W : \text{decay}) \end{array}$$

$$g_{HXX} = \kappa_X \cdot g_{HXX}^{SM}$$

## ○ effective field theory formalism (Lecture 2)

# From observables to couplings — Global Fit

in case there are correlated observables

$$\chi^2 = \sum_{i=1}^n \left( \frac{Y_i - Y'_i}{\Delta Y_i} \right)^2 + (Y_j - Y'_j)^T C_j^{-1} (Y_j - Y'_j)$$

$Y_j$ : column vector of correlated observables

$C_j$ : covariance matrix for those observables

to learn from Prof. Erler's lectures

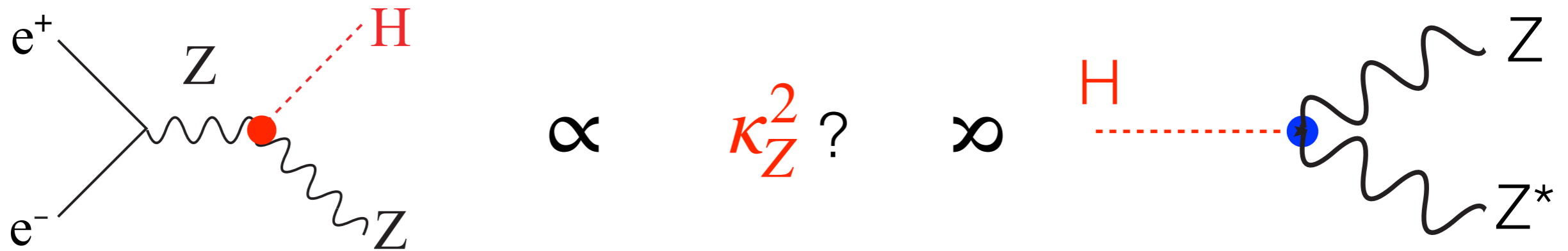
# Higgs coupling determination — kappa formalism

- 1) recoil mass technique  $\longrightarrow$  inclusive  $\sigma_{Zh}$
- 2)  $\sigma_{Zh} \longrightarrow \mathbf{K}_Z \longrightarrow \Gamma(h \rightarrow ZZ^*)$
- 3) W-fusion  $\nu_e \nu_e h \longrightarrow \mathbf{K}_W \longrightarrow \Gamma(h \rightarrow WW^*)$
- 4) total width  $\Gamma_h = \Gamma(h \rightarrow ZZ^*) / \text{BR}(h \rightarrow ZZ^*)$
- 5) or  $\Gamma_h = \Gamma(h \rightarrow WW^*) / \text{BR}(h \rightarrow WW^*)$
- 6) then all other couplings  $\text{BR}(h \rightarrow XX) \cdot \Gamma_h \rightarrow \mathbf{K}_X$



one question in kappa formalism:

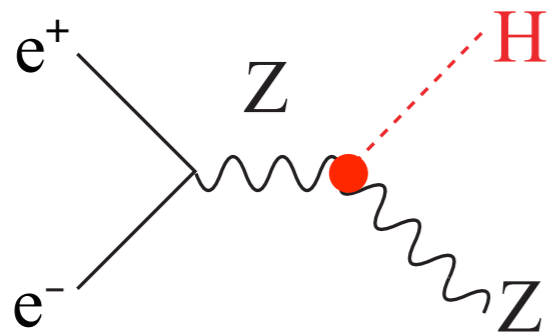
$$\frac{\sigma(e^+e^- \rightarrow Zh)}{SM} = \frac{\Gamma(h \rightarrow ZZ^*)}{SM} = \kappa_Z^2 \quad ?$$



BSM territory: can deviations be represented by single  $\kappa_Z$ ?

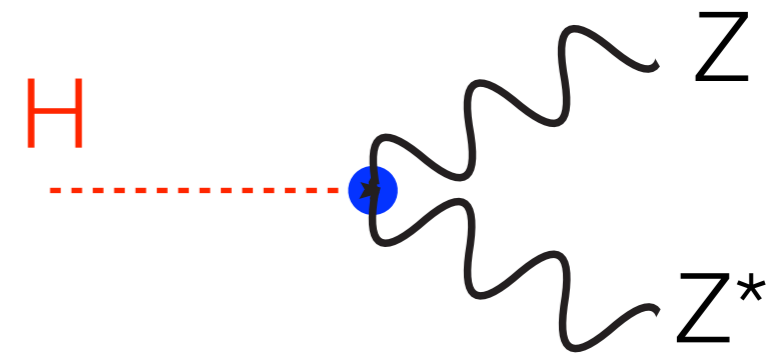
the answer is model dependent

$$\delta\mathcal{L} = (1 + \eta_Z) \frac{m_Z^2}{v} h Z_\mu Z^\mu + \zeta_Z \frac{h}{2v} Z_{\mu\nu} Z^{\mu\nu}$$



$$\sigma(e^+e^- \rightarrow Zh) = (SM) \cdot$$

$$(1 + 2\eta_Z + (5.5)\zeta_Z)$$



$$\Gamma(h \rightarrow ZZ^*) = (SM) \cdot$$

$$(1 + 2\eta_Z - (0.50)\zeta_Z)$$

$\neq$

- BSM can induce new Lorentz structures in  $hZZ$

- need a better, more theoretical sound framework

## new strategy: SM Effective Field Theory

$$\begin{aligned}\mathcal{L}_{\text{eff}} &= \mathcal{L}_{\text{SM}} + \Delta\mathcal{L} \\ &= \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^{d_i-4}} O_i\end{aligned}$$

- a more model independent formalism
- most general effects from BSM represented
- respect  $SU(3)\times SU(2)\times U(1)$  gauge symmetries
- a consistent quantum field theory unifying BSM effects in Higgs, W/Z, top, 2-fermion physics

## SM Effective Field Theory: some simplifications

$$\begin{aligned}\mathcal{L}_{\text{eff}} &= \mathcal{L}_{\text{SM}} + \Delta\mathcal{L} \\ &= \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^{d_i-4}} O_i\end{aligned}$$

the new particle searches at LHC Run 2 suggest  $\Lambda > 500$  GeV

justify the analysis at dimension-**6** operators

there are **84** of such operators for 1 fermion generation

assuming B & L number conservation, there are **59**

- there exists a smaller but complete set relevant to Higgs physics at  $e^+e^-$

# SM Effective Field Theory @ e+e-

(Barklow, Fujii, Jung, Peskin, JT, arXiv:1708.09079)

$$\begin{aligned} \Delta\mathcal{L} = & \frac{c_H}{2v^2} \partial^\mu (\Phi^\dagger \Phi) \partial_\mu (\Phi^\dagger \Phi) + \frac{c_T}{2v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\Phi^\dagger \overleftrightarrow{D}_\mu \Phi) - \frac{c_6 \lambda}{v^2} (\Phi^\dagger \Phi)^3 \\ & + \frac{g^2 c_{WW}}{m_W^2} \Phi^\dagger \Phi W_{\mu\nu}^a W^{a\mu\nu} + \frac{4gg' c_{WB}}{m_W^2} \Phi^\dagger t^a \Phi W_{\mu\nu}^a B^{\mu\nu} \\ & + \frac{g'^2 c_{BB}}{m_W^2} \Phi^\dagger \Phi B_{\mu\nu} B^{\mu\nu} + \frac{g^3 c_{3W}}{m_W^2} \epsilon_{abc} W_{\mu\nu}^a W^{b\nu\rho} W^{c\rho\mu} \\ & + i \frac{c_{HL}}{v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\bar{L} \gamma_\mu L) + 4i \frac{c'_{HL}}{v^2} (\Phi^\dagger t^a \overleftrightarrow{D}^\mu \Phi) (\bar{L} \gamma_\mu t^a L) \\ & + i \frac{c_{HE}}{v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\bar{e} \gamma_\mu e) . \end{aligned}$$

$\Phi$ : higgs field  
 $W, B$ : SU(2), U(1) gauge  
 $L, e$ : left/right electron

- 10 operators modifying couplings for h/Z/W/ $\gamma$
- in total, 23 parameters (see later slides)

next: highlight a few important implications

## recap 1: absolute Higgs couplings (unique role of inclusive $\sigma_{Zh}$ )

$$\frac{c_H}{2v^2} \partial^\mu (\Phi^\dagger \Phi) \partial_\mu (\Phi^\dagger \Phi)$$

$$\frac{c_H}{2} \partial^\mu h \partial_\mu h$$

→ renormalize kinetic term  
of SM Higgs field

$$h \longrightarrow (1 - c_H/2)h$$

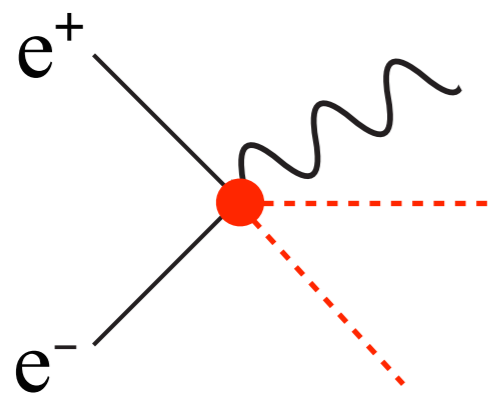
→ **shift all SM Higgs couplings by  $-c_H/2$**

- $c_H$  can not be determined by any BR or ratio of couplings
- $c_H$  has to rely on inclusive cross section of  $e^+e^- \rightarrow Zh$ , enabled by recoil mass technique at  $e^+e^-$

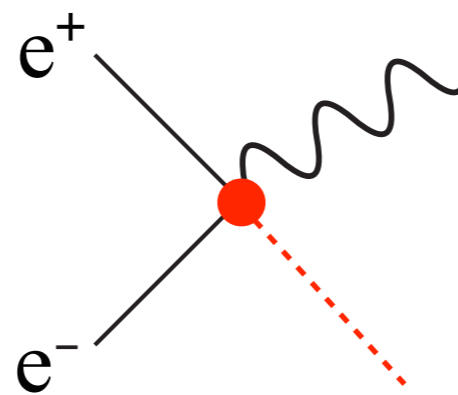
## recap 2: Higgs couplings are related to W-/Z- couplings (EWPOs)

$$i \frac{c_{HL}}{v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\bar{L} \gamma_\mu L)$$

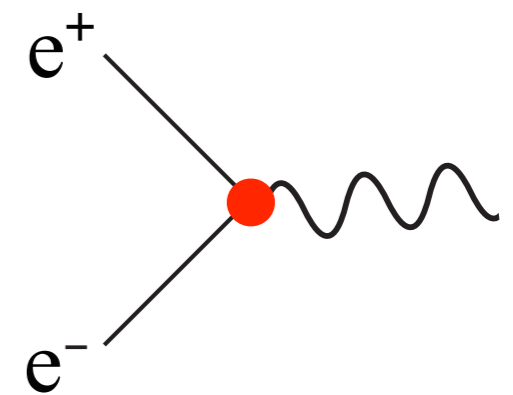
$$+ (c'_{HL}, c_{HE})$$



$e^+e^- \rightarrow Zhh$



$e^+e^- \rightarrow Zh$



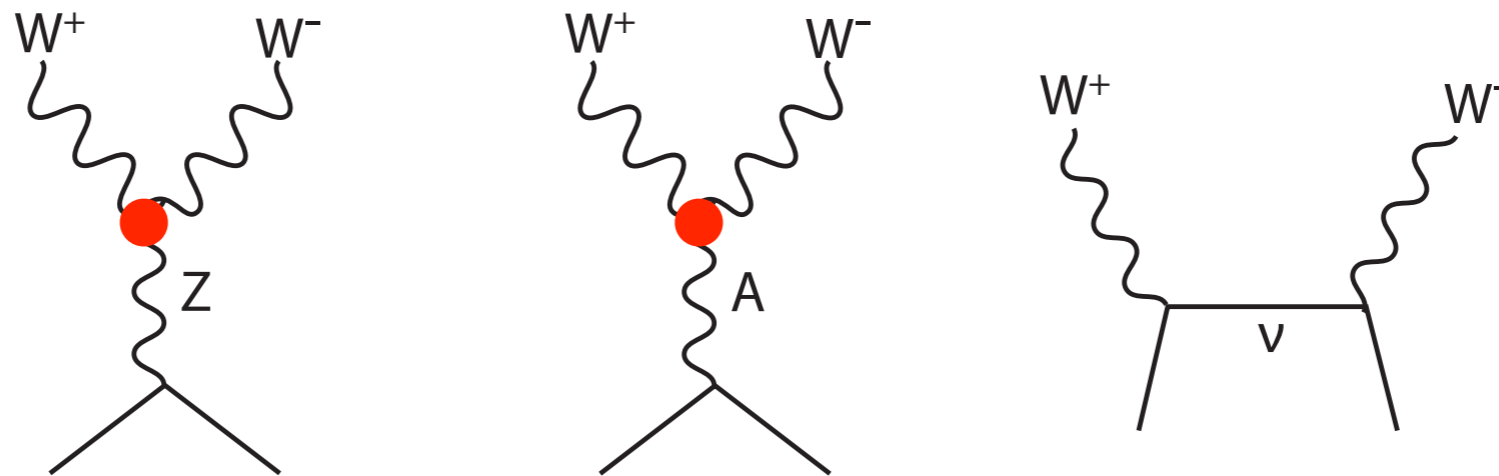
Z-pole

- Higgs coupling helped by EWPOs at Z-pole:  $\mathbf{A}_{LR}, \Gamma_I$
- Z coupling helped by Higgs meas. at high  $\sqrt{s}$ :  $\delta\sigma \sim \mathbf{s}/m^2_Z$



## recap 2: Higgs couplings are related to W-/Z- couplings (TGCs)

$$\frac{4gg'c_{WB}}{m_W^2} \Phi^\dagger t^a \Phi W_{\mu\nu}^a B^{\mu\nu} + (c_{WW}, c_{BB})$$



- longitudinal modes of W/Z are from Higgs fields
- higgs coupling helped by meas. of TGCs in  $e^+e^- \rightarrow WW$

## recap 3: Higgs couplings are related to themselves

$$\begin{aligned}
 \Delta\mathcal{L}_h = & \frac{1}{2}\partial_\mu h\partial^\mu h - \frac{1}{2}m_h^2 h^2 - (1 + \eta_h)\bar{\lambda}vh^3 + \frac{\theta_h}{v}h\partial_\mu h\partial^\mu h \\
 & + (1 + \eta_W)\frac{2m_W^2}{v}W_\mu^+W^{-\mu}h + (1 + \eta_{WW})\frac{m_W^2}{v^2}W_\mu^+W^{-\mu}h^2 \\
 & + (1 + \eta_Z)\frac{m_Z^2}{v}Z_\mu Z^\mu h + \frac{1}{2}(1 + \eta_{ZZ})\frac{m_Z^2}{v^2}Z_\mu Z^\mu h^2 \\
 & + \zeta_W\hat{W}_{\mu\nu}^+\hat{W}^{-\mu\nu}\left(\frac{h}{v} + \frac{1}{2}\frac{h^2}{v^2}\right) + \frac{1}{2}\zeta_Z\hat{Z}_{\mu\nu}\hat{Z}^{\mu\nu}\left(\frac{h}{v} + \frac{1}{2}\frac{h^2}{v^2}\right) \\
 & + \frac{1}{2}\zeta_A\hat{A}_{\mu\nu}\hat{A}^{\mu\nu}\left(\frac{h}{v} + \frac{1}{2}\frac{h^2}{v^2}\right) + \zeta_{AZ}\hat{A}_{\mu\nu}\hat{Z}^{\mu\nu}\left(\frac{h}{v} + \frac{1}{2}\frac{h^2}{v^2}\right).
 \end{aligned}$$

(SM structure: kappa like)

$$\eta_h = \delta\bar{\lambda} + \delta v - \frac{3}{2}c_H + c_6$$

$$\eta_W = 2\delta m_W - \delta v - \frac{1}{2}c_H$$

$$\eta_{WW} = 2\delta m_W - 2\delta v - c_H$$

$$\eta_Z = 2\delta m_Z - \delta v - \frac{1}{2}c_H - c_T$$

$$\eta_{ZZ} = 2\delta m_Z - 2\delta v - c_H - 5c_T$$

(Anomalous: new Lorentz structure)

$$\theta_h = c_H$$

$$\zeta_W = \delta Z_W = (8c_{WW})$$

$$\zeta_Z = \delta Z_Z = c_w^2(8c_{WW}) + 2s_w^2(8c_{WB}) + s_w^4/c_w^2(8c_{BB})$$

$$\zeta_A = \delta Z_A = s_w^2\left((8c_{WW}) - 2(8c_{WB}) + (8c_{BB})\right)$$

$$\zeta_{AZ} = \delta Z_{AZ} = s_w c_w \left( (8c_{WW}) - \left(1 - \frac{s_w^2}{c_w^2}\right)(8c_{WB}) - \frac{s_w^2}{c_w^2}(8c_{BB}) \right)$$

- hZZ/hWW/hγZ/hγγ highly related: SU(2)xU(1) gauge symmetries

## recap 3: Higgs couplings are related to themselves (synergy w/ LHC)

two measurements from LHC (model independent)

$$R_{\gamma\gamma} = \frac{BR(h \rightarrow \gamma\gamma)}{BR(h \rightarrow ZZ^*)} \quad R_{\gamma Z} = \frac{BR(h \rightarrow \gamma Z)}{BR(h \rightarrow ZZ^*)}$$

$$\delta\Gamma(h \rightarrow \gamma\gamma) = \mathbf{528} \delta Z_A - c_H + \dots$$

$$\delta\Gamma(h \rightarrow Z\gamma) = \mathbf{290} \delta Z_{AZ} - c_H + \dots$$

$$\delta\Gamma(h \rightarrow ZZ^*) = -0.50\delta Z_Z - c_H + \dots$$

- loop induced  $h \rightarrow \gamma\gamma/\gamma Z$  depend strongly on  $c_{WW}/c_{WB}/c_{BB}$
- $h \rightarrow \gamma\gamma/\gamma Z$  at LHC can nicely help higgs couplings at  $e^+e^-$

## recap 3: Higgs couplings are related to themselves (hWW/hZZ)

$$\Gamma(h \rightarrow ZZ^*) = (SM) \cdot (1 + 2\eta_Z - (0.50)\zeta_Z) ,$$

$$\Gamma(h \rightarrow WW^*) = (SM) \cdot (1 + 2\eta_W - (0.78)\zeta_W)$$

SM-like hVV

$$\eta_W = -\frac{1}{2}c_H$$

$$\eta_Z = -\frac{1}{2}c_H - c_T$$

custodial symmetry is broken by  
 $c_T \rightarrow$  constrained by EWPOs

anomalous hVV

$$\zeta_W = (8c_{WW})$$

$$\zeta_Z = c_w^2(8c_{WW}) + 2s_w^2(8c_{WB}) + (s_w^4/c_w^2)(8c_{BB})$$

$c_i \sim O(10^{-4}-10^{-3})$

- hWW/hZZ ratio can be determined to <0.1%
- very important for physics case of any 250 GeV e+e-
- hWW can be determined as precisely as hZZ at 250 GeV; hence precision total width & other couplings

## SMEFT fit: typical difference with kappa fit

ILC250:  $\int L dt = 2 \text{ ab}^{-1}$  @ 250 GeV

coupling $\Delta g/g$	kappa-fit	EFT-fit
hZZ	0.38%	0.50%
hWW	1.8%	0.50%
hbb	1.8%	0.99%
$\Gamma_h$	3.9%	2.3%

(definition for higgs coupling precision: 1/2 of partial width precision)

## recap 4: role of beam polarizations

$P(e^-, e^+)$			
$(-1, +1)$	$\frac{g}{\cos \theta_w} \left( \frac{1}{2} - \sin^2 \theta_w \right)$	$g \sin \theta_w$	$\frac{g}{\cos \theta_w} (c_{HL} + c'_{HL})$
$(+1, -1)$	$\frac{g}{\cos \theta_w} (-\sin^2 \theta_w)$	$g \sin \theta_w$	$\frac{g}{\cos \theta_w} (c_{HE})$

- sensitive to different couplings  $\rightarrow$  lift degeneracy
- $A_{LR}$  in  $\sigma_{ZH}$   $\rightarrow$  improve  $c_{WW}$ ,  $c_{HL} + c'_{HL}$  and  $c_{HE}$
- large cancellation in **(+1,-1)**  $\rightarrow$  weaker dependence on  $c_{WW}$

## recap 4: role of beam polarizations ( $e^+e^- \rightarrow Zh$ )

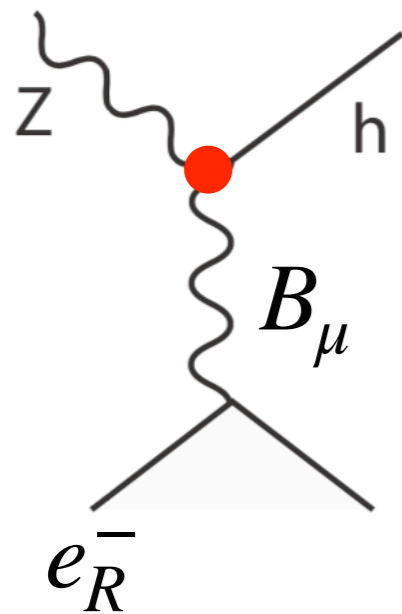
$\sqrt{s}=250$  GeV

$$\delta\sigma_L = -c_H + 7.7(8c_{WW}) + \dots$$

$$\delta\sigma_R = -c_H + 0.6(8c_{WW}) + \dots \quad \text{why?}$$

$$\delta\sigma_0 = -c_H + 4.6(8c_{WW}) + \dots$$

$(8c_{WW}) \sim 0.16\%$  from other meas.



contribution from  
almost cancels out

$$\frac{g^2 c_{WW}}{m_W^2} \Phi^\dagger \Phi W_{\mu\nu}^a W^{a\mu\nu}$$

up to a difference in Z/ $\gamma$  propagator suppressed by  $\frac{m_Z^2}{s}$



## recap 4: role of beam polarizations (overall effects)

**ILC250: 2 ab<sup>-1</sup>**

**FCCee240: 5 ab<sup>-1</sup>**

coupling	2/ab-250	+4/ab-500	5/ab-250	+ 1.5/ab-350
	pol.	pol.	unpol.	unpol
$HZZ$	0.50	0.35	0.41	0.34
$HWW$	0.50	0.35	0.42	0.35
$Hbb$	0.99	0.59	0.72	0.62
$H\tau\tau$	1.1	0.75	0.81	0.71
$Hgg$	1.6	0.96	1.1	0.96
$Hcc$	1.8	1.2	1.2	1.1
$H\gamma\gamma$	1.1	1.0	1.0	1.0
$H\gamma Z$	9.1	6.6	9.5	8.1
$H\mu\mu$	4.0	3.8	3.8	3.7
$Htt$	-	6.3	-	-
$HHH$	-	27	-	-
$\Gamma_{tot}$	2.3	1.6	1.6	1.4
$\Gamma_{inv}$	0.36	0.32	0.34	0.30
$\Gamma_{other}$	1.6	1.2	1.1	0.94

- 250 GeV e<sup>+</sup>e<sup>-</sup>: power of 2 ab<sup>-1</sup> polarized  $\approx$  5 ab<sup>-1</sup> unpolarized

## SM Effective Field Theory: full formalism (23 pars.)

$$\begin{aligned}
 \Delta\mathcal{L} = & \frac{c_H}{2v^2} \partial^\mu (\Phi^\dagger \Phi) \partial_\mu (\Phi^\dagger \Phi) + \frac{c_T}{2v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\Phi^\dagger \overleftrightarrow{D}_\mu \Phi) - \frac{c_6 \lambda}{v^2} (\Phi^\dagger \Phi)^3 \\
 & + \frac{g^2 c_{WW}}{m_W^2} \Phi^\dagger \Phi W_{\mu\nu}^a W^{a\mu\nu} + \frac{4gg' c_{WB}}{m_W^2} \Phi^\dagger t^a \Phi W_{\mu\nu}^a B^{\mu\nu} \\
 & + \frac{g'^2 c_{BB}}{m_W^2} \Phi^\dagger \Phi B_{\mu\nu} B^{\mu\nu} + \frac{g^3 c_{3W}}{m_W^2} \epsilon_{abc} W_{\mu\nu}^a W^{b\nu\rho} W^{c\rho\mu} \\
 & + i \frac{c_{HL}}{v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\bar{L} \gamma_\mu L) + 4i \frac{c'_{HL}}{v^2} (\Phi^\dagger t^a \overleftrightarrow{D}^\mu \Phi) (\bar{L} \gamma_\mu t^a L) \\
 & + i \frac{c_{HE}}{v^2} (\Phi^\dagger \overleftrightarrow{D}^\mu \Phi) (\bar{e} \gamma_\mu e) .
 \end{aligned}$$

**10** operators (h,W,Z, $\gamma$ ):  $c_H, c_T, c_6, c_{WW}, c_{WB}, c_{BB}, c_{3W}, c_{HL}, c'_{HL}, c_{HE}$

+ **4** SM parameters:  $g, g', v, \lambda$

+ **5** operators modifying h couplings to b, c,  $\tau, \mu, g$

+ **2** operators for contact interactions with quarks

+ **2** parameters for h->invisible and exotic

# strategy to determine all the 23 parameters at $e^+e^-$

Electroweak Precision Observables (9)

+

Triple Gauge boson Couplings (3)

+

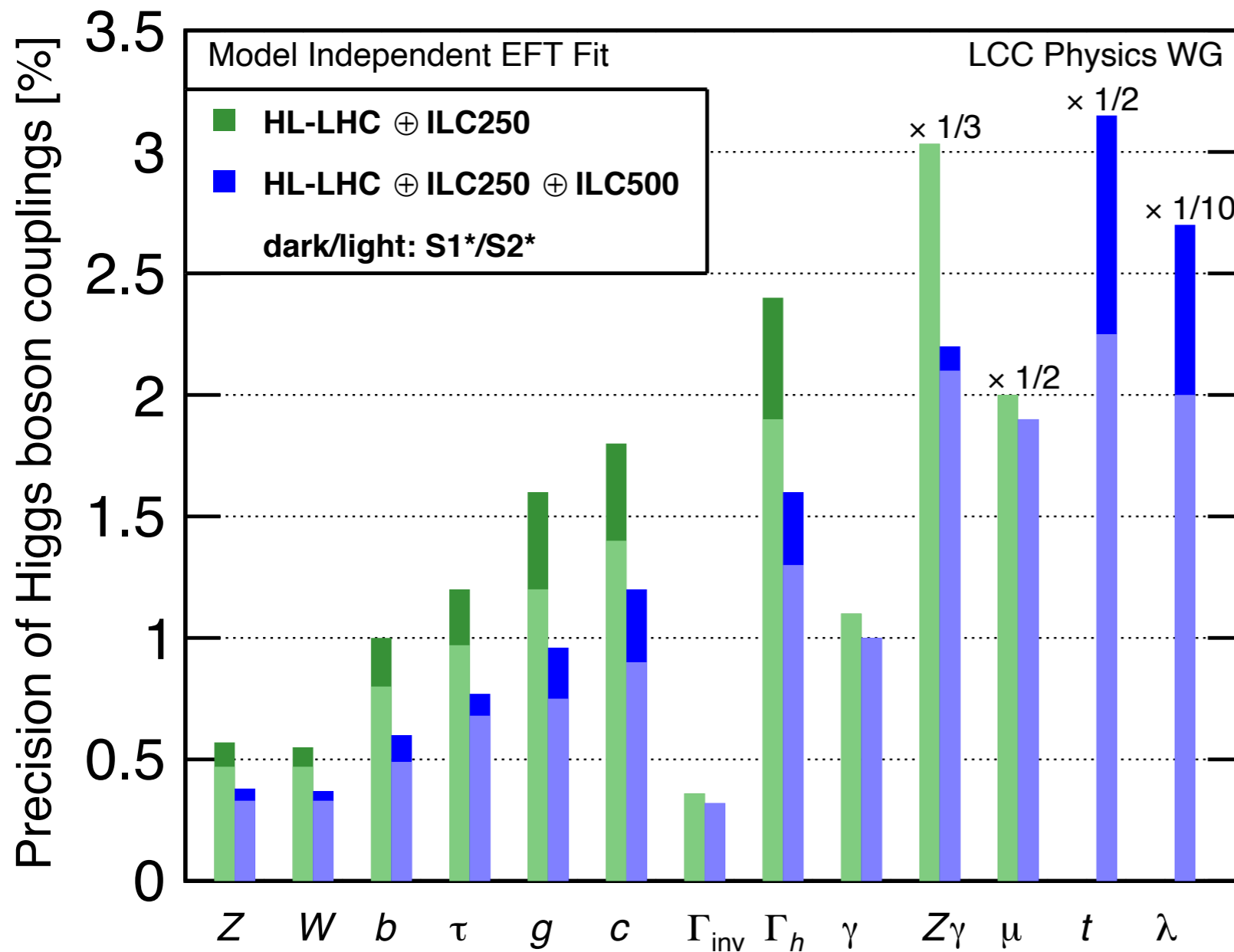
Higgs observables at LHC &  $e^+e^-$  (3+12x2)

↑  
2 for polarized

- at  $e^+e^-$ , all the 23 parameters can be determined ***simultaneously***

(details in backup)

# precisions at Higgs factories: complementarity with LHC



(arXiv:1903.01629)

#qualitative:

model independence,  
hcc coupling

#quantitative (<~1%):

hZZ, hWW, hbb, h $\tau\tau$   
h->invisible/exotic

#synergy:

h $\gamma\gamma$ , h $\gamma Z$ , h $\mu\mu$ , htt,  $\lambda$

## benchmark BSM models

Model	$b\bar{b}$	$c\bar{c}$	$gg$	$WW$	$\tau\tau$	$ZZ$	$\gamma\gamma$	$\mu\mu$
1 MSSM [34]	+4.8	-0.8	-0.8	-0.2	+0.4	-0.5	+0.1	+0.3
2 Type II 2HD [36]	+10.1	-0.2	-0.2	0.0	+9.8	0.0	+0.1	+9.8
3 Type X 2HD [36]	-0.2	-0.2	-0.2	0.0	+7.8	0.0	0.0	+7.8
4 Type Y 2HD [36]	+10.1	-0.2	-0.2	0.0	-0.2	0.0	0.1	-0.2
5 Composite Higgs [38]	-6.4	-6.4	-6.4	-2.1	-6.4	-2.1	-2.1	-6.4
6 Little Higgs w. T-parity [39]	0.0	0.0	-6.1	-2.5	0.0	-2.5	-1.5	0.0
7 Little Higgs w. T-parity [40]	-7.8	-4.6	-3.5	-1.5	-7.8	-1.5	-1.0	-7.8
8 Higgs-Radion [41]	-1.5	-1.5	10.	-1.5	-1.5	-1.5	-1.0	-1.5
9 Higgs Singlet [42]	-3.5	-3.5	-3.5	-3.5	-3.5	-3.5	-3.5	-3.5

Table 4: Deviations from the Standard Model predictions for the Higgs boson couplings, in %, for the set of new physics models described in the text. As in Table 1, the effective couplings  $g(hWW)$  and  $g(hZZ)$  are defined as proportional to the square roots of the corresponding partial widths.

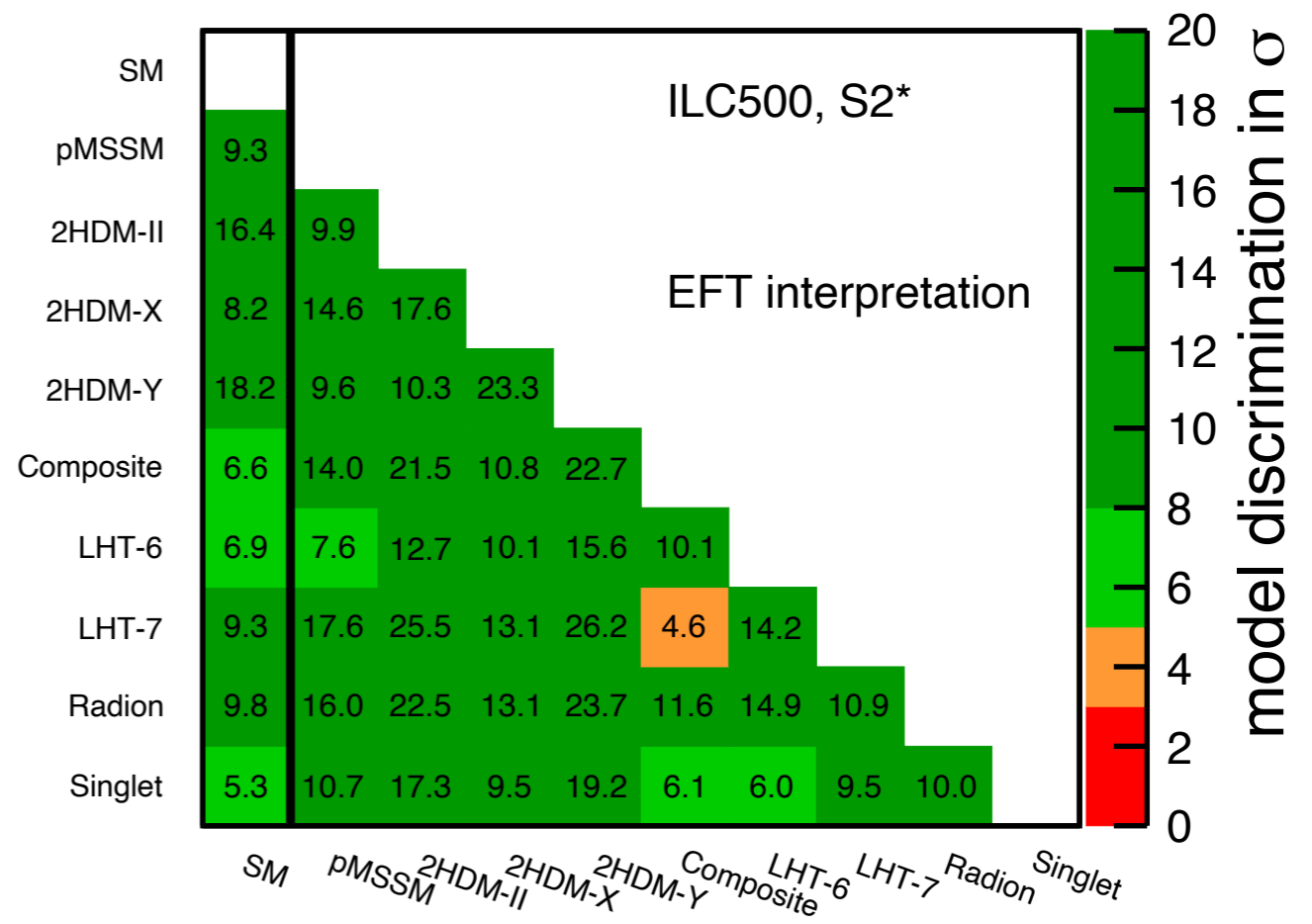
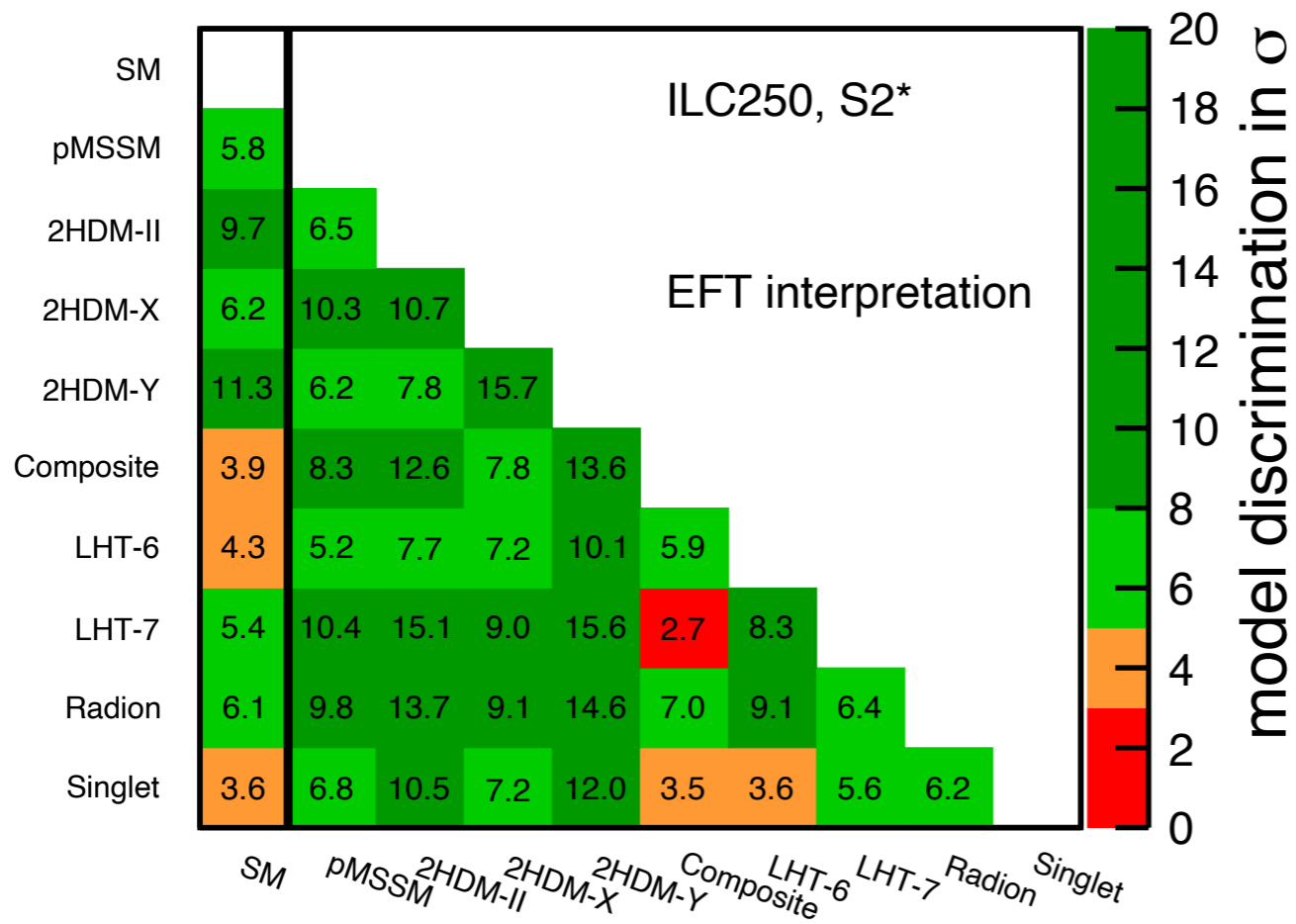
—> quantitative assessment for models discrimination

# model parameters (chosen as escaping direct search at HL-LHC)

- a PMSSM model with b squarks at 3.4 TeV, gluino at 4 TeV
- a Type II 2 Higgs doublet model with  $m_A = 600$  GeV,  $\tan \beta = 7$
- a Type X 2 Higgs doublet model with  $m_A = 450$  GeV,  $\tan \beta = 6$
- a Type Y 2 Higgs doublet model with  $m_A = 600$  GeV,  $\tan \beta = 7$
- a composite Higgs model MCHM5 with  $f = 1.2$  TeV,  $m_T = 1.7$  TeV
- a Little Higgs model with T-parity with  $f = 785$  GeV,  $m_T = 2$  TeV
- A Little Higgs model with couplings to 1st and 2nd generation with  $f = 1.2$  TeV,  $m_T = 1.7$  TeV
- A Higgs-radion mixing model with  $m_r = 500$  GeV
- a model with a Higgs singlet at 2.8 TeV creating a Higgs portal to dark matter and large  $\lambda$  for electroweak baryogenesis

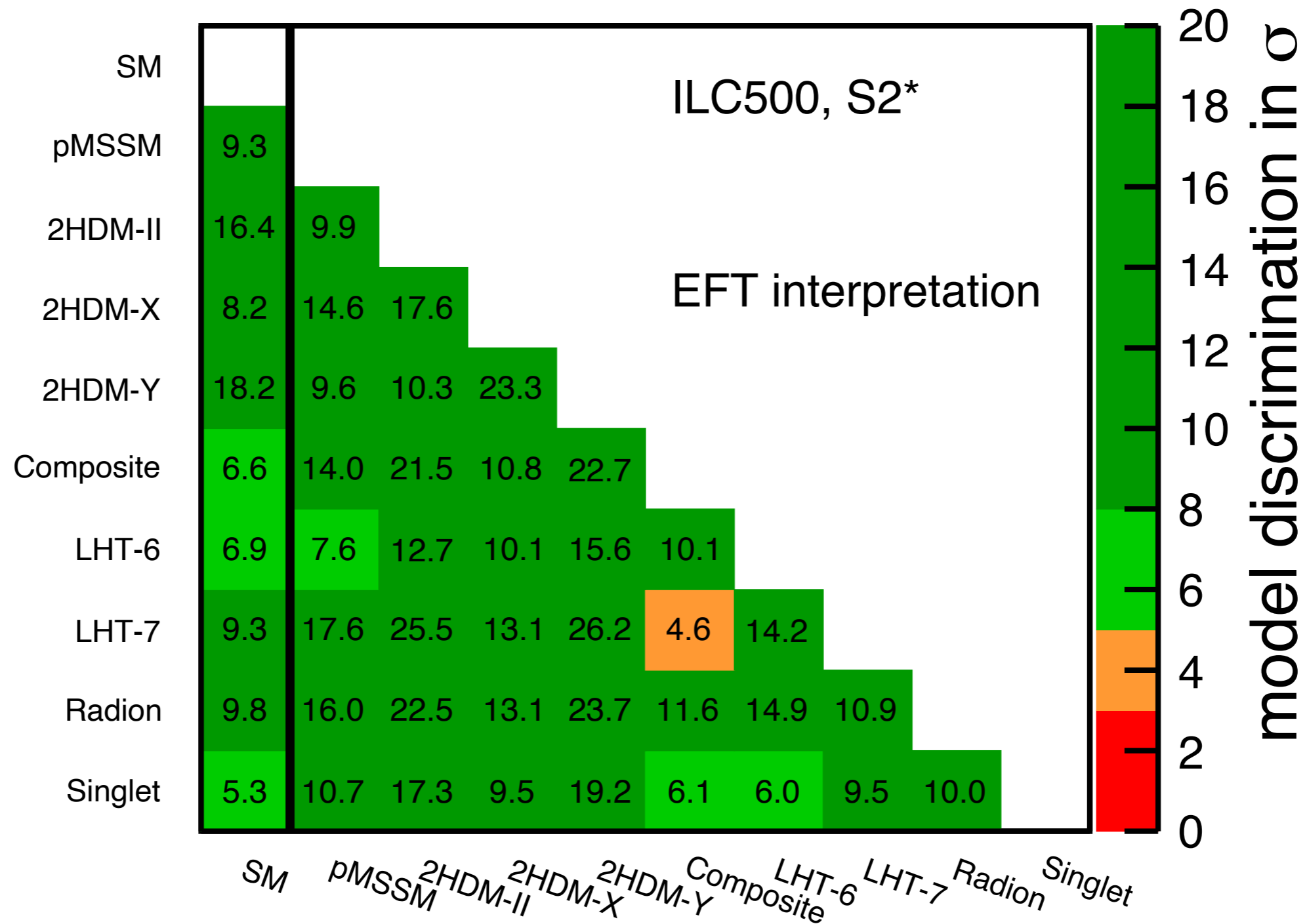


# BSM benchmark models discrimination at ILC250



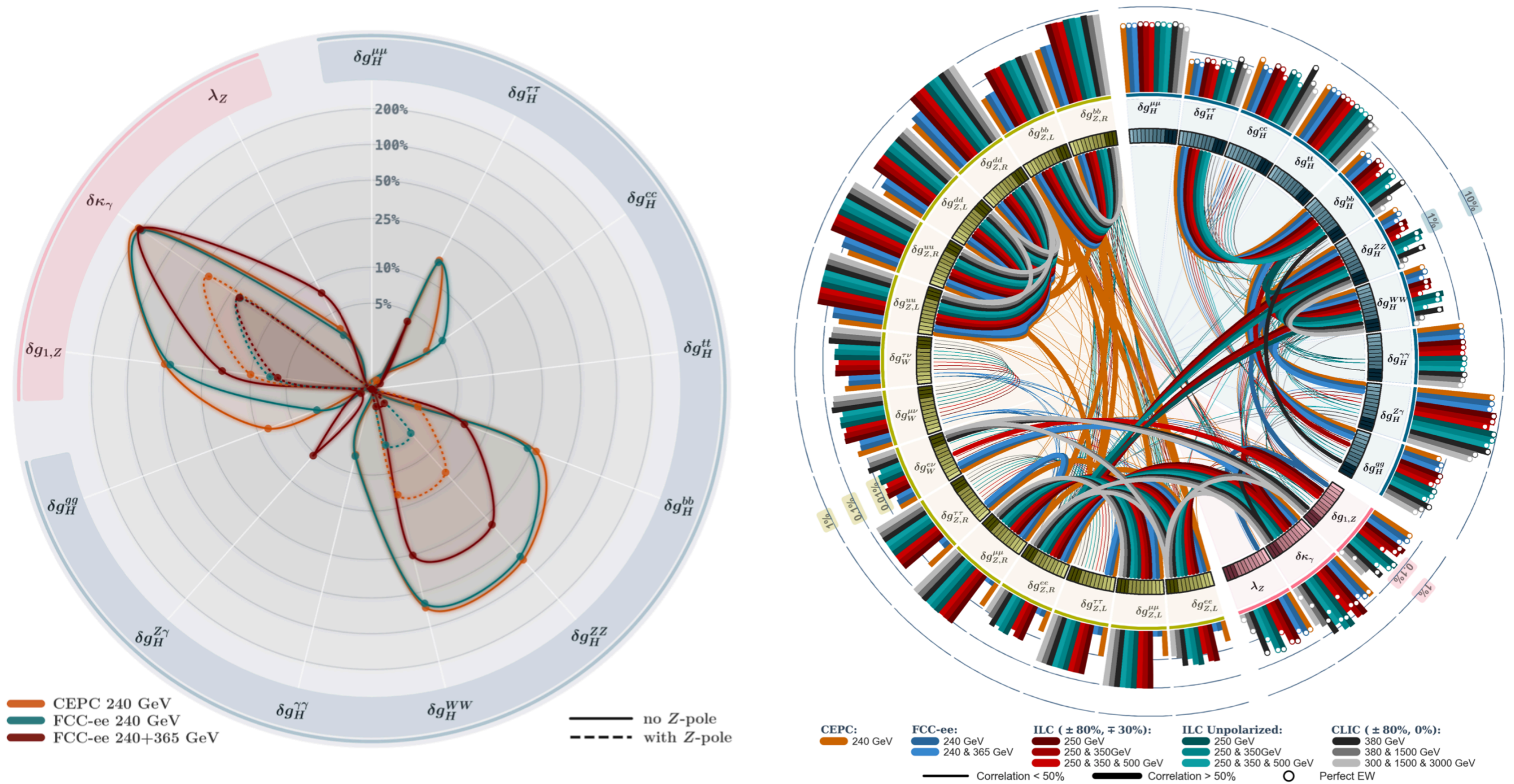


# effect of improvement from TGC, $\nu\nu H$ , ZH at 500GeV



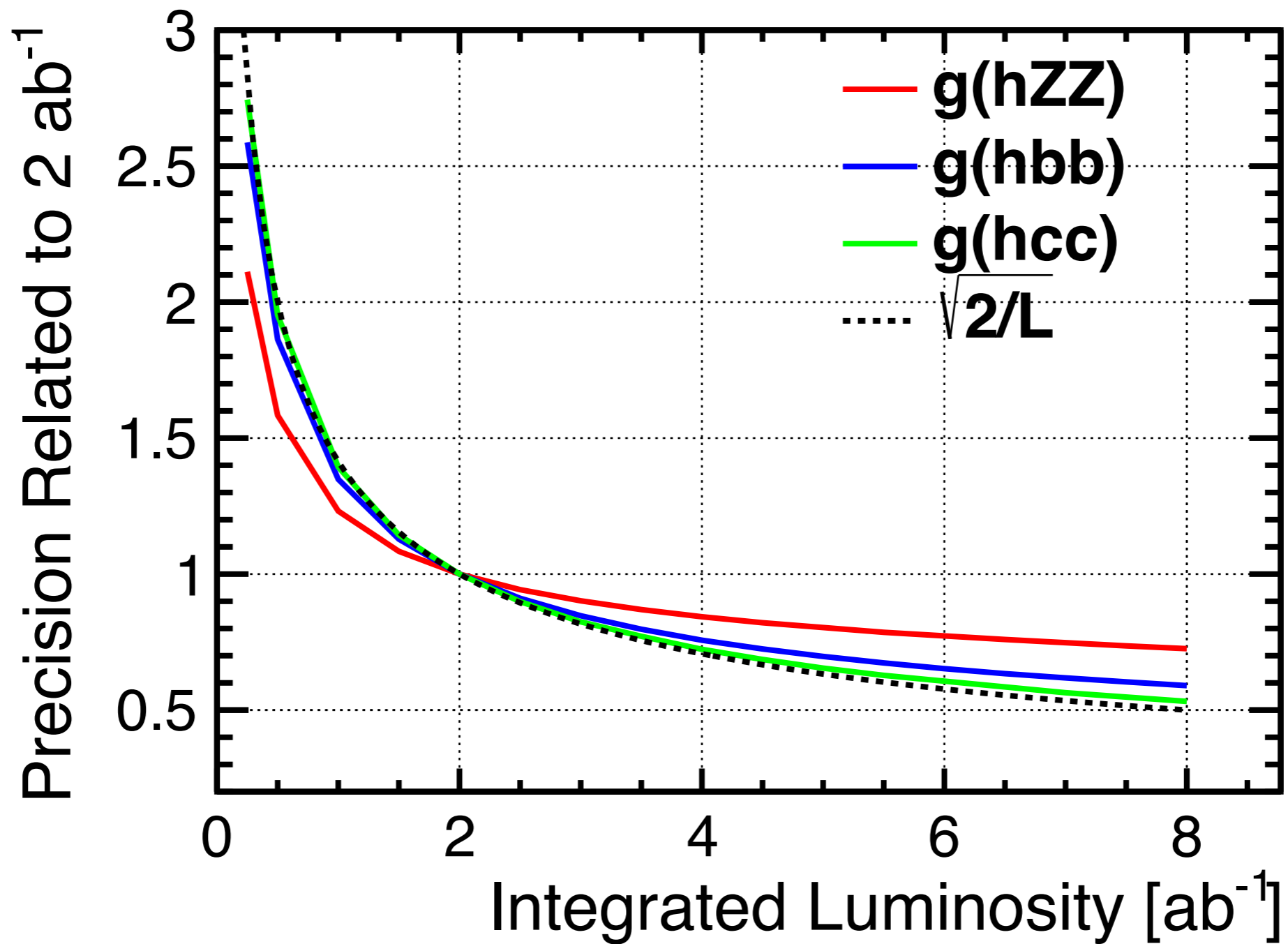
# role of each measurement

(see Prof. Grojean's lectures, also arXiv:1907.04311)



# role of each measurement: more transparent understanding

(Fujii, Peskin, JT, paper in preparation)



why not following  $1/\sqrt{L}$ ? why so different for hZZ/hbb/hcc?

# role of each measurement: more transparent understanding

(Fujii, Peskin, JT, paper in preparation)

every EFT coefficient and Higgs coupling  
can be expressed directly by a set of input observables

for example: unpolarized  $e^+e^-$  at 250 GeV

$$\delta g_{hZZ} = \frac{1}{2} \delta \sigma_{Zh} + 6.4 \delta \Gamma_l + 5.3 \delta g_{Z,eff} - 0.015 \delta R_{\gamma Z} - 2.4 \delta \kappa_{A,eff} + 8.9 \delta m_h + 0.098 \delta A_l + \dots$$

$$\delta X = \frac{\Delta X}{X}$$

$\sigma_{Zh}$  : cross section of  $e^+e^- \rightarrow Zh$   
 $A_l, \Gamma_l$  :  $A_{LR}$  and  $\Gamma(Z \rightarrow ll)$  at Z-pole  
 $g_{Z,eff}, \kappa_{A,eff}$  : Triple Gauge Couplings  
 $R_{\gamma Z}$  :  $BR(h \rightarrow \gamma Z) / BR(h \rightarrow ZZ^*)$   
 $m_h$  : Higgs mass

# role of each measurement: more transparent understanding

(Fujii, Peskin, JT, paper in preparation)

for example: unpolarized e+e- at 250 GeV

plug in measurement precisions for current EWPOs + 2 ab-1

$$\delta g_{hZZ} = \frac{1}{2} \delta \sigma_{Zh} + 6.4 \delta \Gamma_l + 5.3 \delta g_{Z,eff} - 0.015 \delta R_{\gamma Z} - 2.4 \delta \kappa_{A,eff} + 8.9 \delta m_h + 0.098 \delta A_l + \dots$$

$$= 41 \oplus 66 \oplus 30 \oplus 23 \oplus 14 \oplus 11 \oplus 8.7 \oplus \dots \times 10^{-4}$$

|  
|  
|

$\sigma_{Zh}$

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**EWPOs**

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**TGCs**

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**BR(h→γZ)**

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**Higgs mass**

importance  
hierarchy

# role of each measurement: more transparent understanding

(Fujii, Peskin, JT, paper in preparation)

for example: unpolarized  $e^+e^-$  at 250 GeV

plug in measurement precisions for current EWPOs + 2 ab<sup>-1</sup>

$$\begin{aligned}
 \delta g_{hbb} &= \frac{1}{2} \delta B_{bb} - \frac{1}{2} \delta B_{WW} + \frac{1}{2} \delta \sigma_{Zh} - 5.79 \delta \Gamma_l - 0.016 \delta \Gamma_{\gamma Z} + \dots \\
 &= 28 \oplus 91 \oplus 41 \oplus 59 \oplus 32 \oplus \dots \times 10^{-4}
 \end{aligned}$$

$\text{BR}(h \rightarrow bb)$        $\text{BR}(h \rightarrow WW)$        $\sigma_{Zh}$       EWPOs       $\text{BR}(h \rightarrow \gamma Z)$



# role of each measurement: more transparent understanding

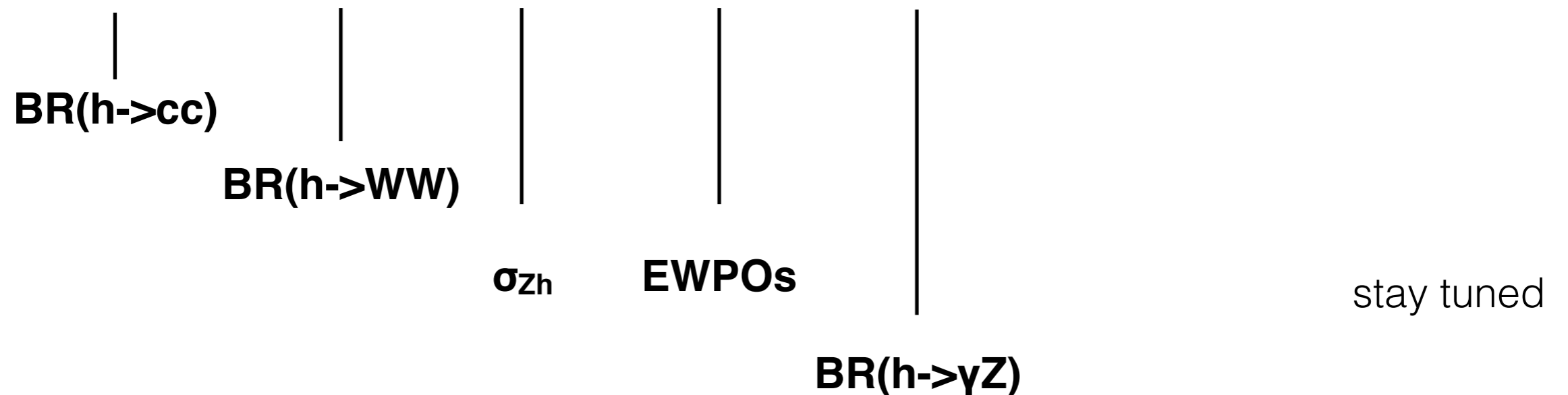
(Fujii, Peskin, JT, paper in preparation)

for example: unpolarized  $e^+e^-$  at 250 GeV

plug in measurement precisions for current EWPOs + 2 ab<sup>-1</sup>

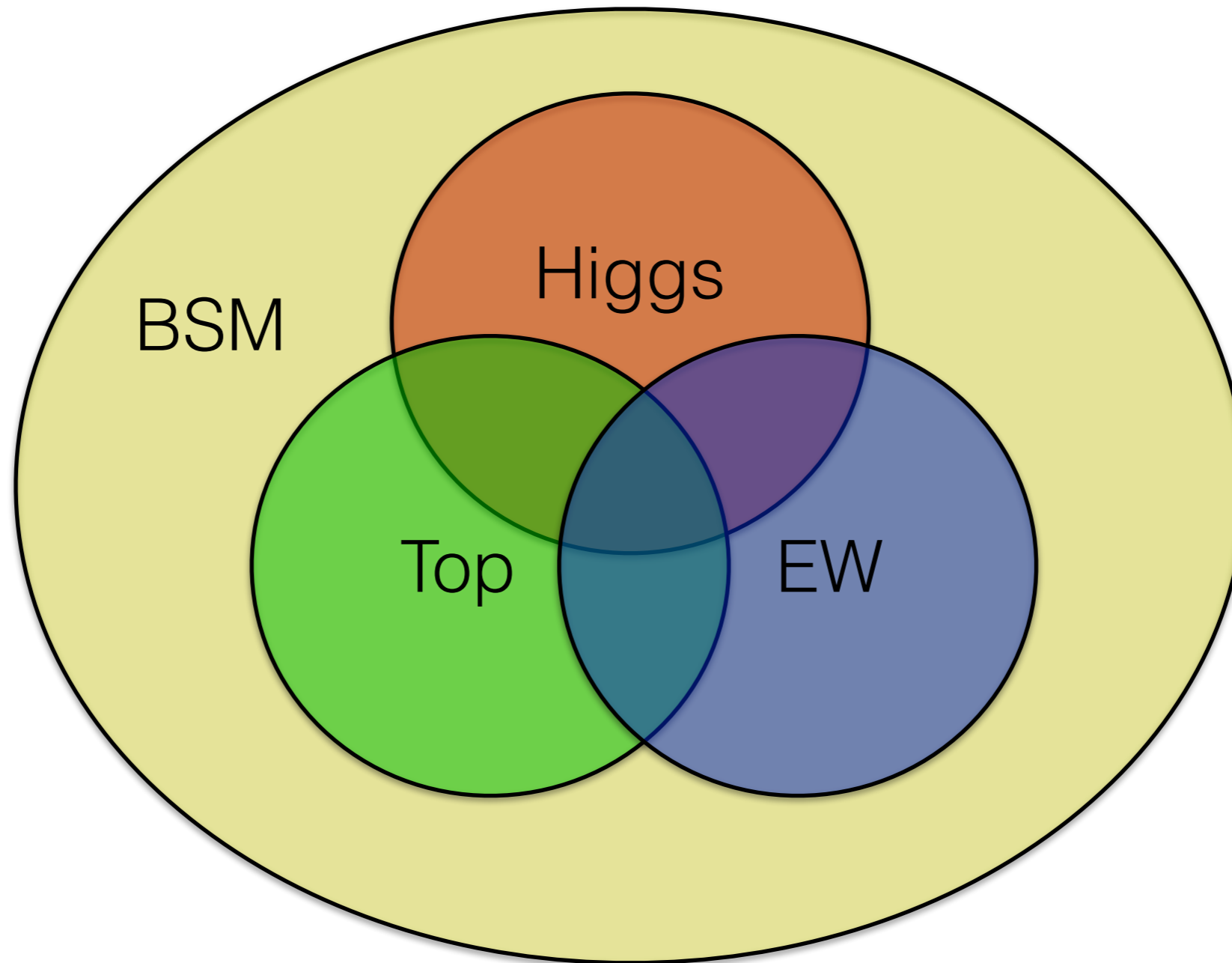
$$\delta g_{hcc} = \frac{1}{2} \delta B_{cc} - \frac{1}{2} \delta B_{WW} + \frac{1}{2} \delta \sigma_{Zh} - 5.79 \delta \Gamma_l - 0.016 \delta \Gamma_{\gamma Z} + \dots$$

$$= 160 \oplus 91 \oplus 41 \oplus 59 \oplus 32 \oplus \dots \times 10^{-4}$$





# a global view of physics @ $e^+e^-$



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